

AMENDED IN SENATE JUNE 26, 2022

AMENDED IN ASSEMBLY FEBRUARY 18, 2021

CALIFORNIA LEGISLATURE—2021–22 REGULAR SESSION

## ASSEMBLY BILL

**No. 188**

---

**Introduced by Committee on Budget (Assembly Members Ting (Chair), Arambula, Bennett, Bloom, Carrillo, ~~Chiu~~, Cooper, Frazier, Friedman, Cristina Garcia, Jones-Sawyer, Lee, McCarty, Medina, Mullin, Nazarian, O'Donnell, Ramos, Reyes, Luz Rivas, Blanca Rubio, Stone, Wicks, and Wood)**

January 8, 2021

---

An act relating to the Budget Act of 2021; to amend Sections 95007, 95008, 95012, 95014, 95018, 95020, 95024, 95026, and 95028 of, and to add Section 14670.31 to, the Government Code, to amend Section 1095 of the Unemployment Insurance Code, to amend Sections 4418.25, 4474.16, 4519.2, 4519.10, 4571, 4640.6, 4646, 4646.4, 4659.2, 4685.8, 4688.21, 4706, 4725, 4726, 4728, 4729, 4731, 4783, 4785, 4851, 4863, 7505, and 11464 of, to amend and repeal Sections 4690.6 and 4712.7 of, to amend, repeal, and add Sections 4705, 4707, 4710, 4710.5, 4710.6, 4710.7, 4710.8, 4710.9, 4711, 4711.5, 4711.7, 4712, 4712.2, 4712.5, 4713, 4714, and 4715, of, to add Sections 4685.10, 4688.06, 4690.7, 4705.5, 4708, 4713.5, 4717, 4785.1, and 4870.2 to, to add Chapter 6.5 (commencing with Section 4699) to Division 4.5 of, to add and repeal Section 4511.6 of, and to repeal and add Article 1 (commencing with Section 4700) of Chapter 7 of Division 4.5 of, the Welfare and Institutions Code, relating to developmental services, and making an appropriation therefor, to take effect immediately, bill related to the budget.

## LEGISLATIVE COUNSEL'S DIGEST

AB 188, as amended, Committee on Budget. ~~Budget Act of 2021.~~  
*Developmental services omnibus.*

*(1) Existing law requires each state agency to make a review of all proprietary state lands over which it has jurisdiction, except as specified, on or before December 31 of each year to determine what, if any, land is in excess of its foreseeable needs and report on those properties in writing to the Department of General Services (DGS). Existing law requires DGS to determine whether the excess land is needed by any other state agency and authorizes the transfer of the excess land to a state agency, as specified. Under existing law, if land within the grounds of the Fairview Developmental Center is reported as excess and DGS determines that the land is needed by more than one state agency, authorizes DGS to conduct a public hearing and receive public input regarding the use of the land before transferring it to a state agency. Existing law also authorizes the Director of General Services, with the consent of the State Department of Developmental Services (the department), to let to a nonprofit corporation, for a period not to exceed 55 years, up to 20 acres of real property located within the grounds of the Fairview Developmental Center, for specified purposes and subject to certain conditions.*

*This bill would authorize the department to enter into an agreement with the City of Costa Mesa for the city to develop a specific plan for the Fairview Developmental Center property, and to manage the land use planning process integrated with a disposition process for the property, to be carried out by DGS. The bill would require the agreement to require that housing be a priority in the planning process, and would require any housing proposal for the property to include affordable housing. The bill would authorize the department to enter into additional agreements to provide for the management, operations, and maintenance of the property, upon terms and conditions that it determines to be in the best interests of the state. The bill would authorize the Director of the Department of General Services to dispose of the property, as the director deems to be in the best interests of the state, if they determine that the transfer, sale, or final disposition of the property has been unduly delayed. The bill would require that, following CEQA review, the agreement and specified related actions would only be subject to approval by the Director of the Department of General Services and the city council. The bill would require the city to provide*

quarterly reports to the department, including expenditures, contracts, and an update describing the progress of the expedited planning process for the property. The bill would appropriate \$3,500,000 from the General Fund to the State Department of Developmental Services for allocation to the city to facilitate the disposition of the property, as specified.

(2) Existing federal law, known as Part C of the federal Individuals with Disabilities Education Act (Part C), generally provides funding for states for the purpose of operating a comprehensive statewide program of early intervention services for infants and toddlers with disabilities, from birth through 2 years of age, and their families. Part B of that federal act generally provides funding to states to provide public education available to children from 3 to 5 years of age, inclusive, who have disabilities. Existing state law, the California Early Intervention Services Act, provides a statewide system of coordinated, comprehensive, family-centered, multidisciplinary, and interagency programs that are responsible for providing appropriate early intervention services and supports to all eligible infants and toddlers and their families. Under existing law, direct services for eligible infants and toddlers and their families are provided by regional centers and local educational agencies.

Existing law defines the term “eligible infant or toddler” for purposes of the act to mean infants and toddlers from birth through 2 years of age for whom a need for early intervention services is documented by means of assessment and evaluation and who meet specified criteria. These criteria include having a developmental delay in one or more of 5 specified areas, including communication development, meaning they are determined to have a significant difference between the expected level of development for their age and their current level of functioning. Existing law defines significant difference for this purpose as a 33% delay in one or more developmental areas. The criteria also include infants and toddlers with established risk conditions, including conditions of known etiology or conditions with established harmful developmental consequences.

This bill would add a 6th specified area for an eligible infant or toddler to have a developmental delay by bifurcating communication development to include both expressive and receptive communication development and would change the definition of significant difference to require a 25% delay in one or more of those developmental areas. The bill would also clarify that fetal alcohol syndrome is a condition

*with established harmful developmental consequences for purposes of the eligibility criteria described above. By imposing a higher level of service on local educational agencies, the bill would impose a state-mandated local program.*

*Existing law requires the department, in collaboration with the State Department of Education, to plan, develop, implement, and monitor the statewide system of early intervention services, as specified. Existing law requires the department to serve as the lead agency responsible for the administration and coordination of the statewide system and makes the department responsible for various duties, including, among others, establishing a single point of contact with the federal Office of Special Education Programs for the administration of Part C and establishing interagency procedures, to share agency information and to coordinate policymaking activities. Existing law requires the department and the State Department of Education to develop, approve, and implement regulations, as necessary to implement the act, as specified.*

*This bill would require the department, in establishing those interagency procedures, to make efforts to schedule meetings with, and engage, parents and legal guardians in transition-related activities and to require each regional center to designate a main point of contact for coordinating and completing, with other agencies and persons, the transition of a child and family from Part C to Part B. The bill would require those regulations to be updated, on or before October 1, 2024, to include a process for Part C programs to incorporate family feedback to help update and improve the transition process, training, and family satisfaction.*

*Existing law requires the State Department of Education to be responsible for administering services and programs for infants with solely visual, hearing, and severe orthopedic impairments, as specified.*

*This bill would, among other things, require the State Department of Education to require each local educational agency to designate a main point of contact for coordinating and completing with other agencies and persons the transition of a child and family from Part C to Part B. By imposing new duties on a local educational agency, the bill would constitute a state-mandated local program.*

*Under existing law, direct services for eligible infants and toddlers and their families are provided by regional centers and local educational agencies. Existing law requires an eligible infant or toddler receiving services under the act to have an individualized family service plan and*

*be provided a service coordinator, as specified. Existing law requires parents to be fully informed of their rights, including the right to invite another person, including a family member or an advocate or peer parent, to accompany them to any or all individualized family service plan meetings. Existing law requires a referral to be made to the local family resource center or network and conditions this referral on parent or guardian consent.*

*This bill would require a service coordinator to conduct at least quarterly reviews of the individualized family service plan. The bill would require a request for consent for the above-described referral to be offered to the parents or the legal guardian at the initial individualized family service plan meeting and at any subsequent individualized family service plan meeting, if consent was not previously obtained.*

*Existing law requires that any increased costs to a local educational agency or to a regional center due to the implementation of the California Early Intervention Services Act be funded from specified federal funds. Existing law authorizes the department, in consultation with the State Department of Education to allocate funds to support family resource services, including, but not limited to, parent-to-parent support, information dissemination and referral, public awareness, family-professional collaboration activities, and transition assistance for families.*

*This bill would authorize the department, in consultation with the State Department of Education, to provide additional resources to families with information on specified areas, including, among others, options for services for families after their child reaches three years of age.*

*(3) Under existing law, the information obtained in the administration of the Unemployment Insurance Code is for the exclusive use and information of the Director of Employment Development in the discharge of the director's duties and is not open to the public. Existing law makes it a crime for a person to knowingly access, use, or disclose this confidential information without authorization. Existing law requires the Director of Employment Development to permit the use of information in the director's possession for, among other purposes, enabling the State Department of Developmental Services to obtain quarterly wage data of consumers served by that department for the purposes of monitoring and evaluating employment outcomes to determine the effectiveness of the Employment First Policy.*

*This bill would additionally authorize the director to permit the use of that information to enable the State Department of Developmental Services to obtain unemployment insurance claim data of consumers served by that department, and would expand the purposes for that information obtained to include monitoring program operation and evaluations of the Employment First Policy. By allowing for additional distribution of this information, and thereby expanding the number of persons subject to the access, use, and confidentiality restrictions, this bill would expand the scope of a crime and impose a state-mandated local program.*

*(4) Existing law, the Lanterman Developmental Disabilities Services Act, requires the department to contract with regional centers for the provision of community services and supports for persons with developmental disabilities and their families. Existing law also vests in the department jurisdiction over various state developmental centers for the provision of care to persons with developmental disabilities.*

*Existing law requires the department, when closing a developmental center, to comply with procedural requirements, including the submission of a detailed safety net plan to the Legislature. Existing law required the department, on or before January 10, 2020, to submit an update of a safety net plan regarding how the department will provide access to crisis services after the closure of a developmental center and how the state will maintain its role in providing residential services to those whom private sector vendors cannot or will not serve.*

*This bill would require the department to submit an update of that plan to the Legislature on or before January 10, 2023. The bill would require the updated plan to include specified additional information, including the department's strategic planning process. The bill would require the department, between July 1, 2023, and December 31, 2026, to provide quarterly updates to the appropriate policy and fiscal committees of the Legislature on the steps foreseen, planned, and completed in the development of services under the updated plan.*

*(5) Under existing law, the regional centers purchase needed services and supports for individuals with developmental disabilities through approved service providers, or arrange for their provision through other publicly funded agencies. The services and supports to be provided to a regional center consumer are contained in an individual program plan (IPP), developed in accordance with prescribed requirements. Existing law requires the department to establish guidelines and oversee a program to increase paid internship opportunities for individuals*

with developmental disabilities that produce outcomes consistent with the IPP.

*This bill would require, in addition to that program and subject to an appropriation in the Annual Budget Act for this purpose, the department to establish a 3-year pilot program that focuses on competitive integrated employment, postsecondary education, and career readiness for individuals with developmental disabilities exiting work activity programs or secondary education. The bill would require the pilot program to satisfy specified requirements, including that it be developed in consultation with stakeholders.*

*Existing law authorizes a consumer of developmental services to choose a tailored day service or vouchered community-based training service, in lieu of any other regional center vendored day program, look-alike day program, supported employment program, or work activity program.*

*This bill would authorize a consumer to choose a tailored day service or vouchered community-based training services in lieu of, or in conjunction with, those other programs, based on a daily rate of 6 hours per day, as specified. The bill would require a consumer's individualized service design requirements for tailored day service to be developed using a person-centered planning process, as specified. The bill would delete existing regional center requirements for negotiating vendor rates for the tailored day service option for both currently vendored and new programs, and instead would require the regional center to vendor those services, commencing July 1, 2022, at an hourly rate calculated pursuant to specified provisions. The bill would prohibit delivery of tailored day services on the same day as any other regional center vendored day program, look-alike day program, supported employment program, or work activity program, except under prescribed circumstances. The bill would prohibit the total monthly hours of tailored day services from exceeding the number of days in the month tailored day services are authorized, multiplied by 4. The bill would require the rate for vouchered community-based training service to be the most recent rate posted on the department's public internet website.*

*Existing law requires activity centers, adult development centers, behavior management programs, and other look-alike day programs with a daily rate to bill regional centers for services provided to consumers in terms of half days of service and full days of service. Existing law defines a full day of service as a day in which the consumer's attendance is at least 65% of the declared and approved*

*program day, and a half day of service as a day in which the consumer's attendance does not meet the criteria for billing for a full day of service.*

*This bill would repeal the requirement to bill in terms of full days and half days, effective July 1, 2022. The bill would make related conforming changes.*

*Existing law, for purposes of developmental services, defines "nonresidential services" to include all services provided by any vendor other than a residential facility.*

*This bill would authorize a provider of nonresidential services to utilize Alternative Nonresidential Services, as authorized in a specified directive of the department, if needed, to meet a consumer's service needs, until December 31, 2022. The bill would require these services to be responsive to each consumer's current needs and be mindful of the importance of safety during the COVID-19 pandemic, including compliance with applicable state and local health orders and licensing requirements.*

*Existing law requires the department to establish and implement a statewide Self-Determination Program to provide participants and their families, within an individual budget, increased flexibility and choice, and greater control over decisions, resources, and needed and desired services and supports to implement their IPP, in accordance with prescribed requirements. Existing law provides participants with a choice of financial management services providers who assist the participant to manage and direct the distribution of funds contained in the individual budget, and ensure that the participant has the financial resources to implement their IPP throughout the year. Existing law requires the costs of the financial management services to be paid by the participant out of the participant's individual budget, except as specified. Existing law requires the State Council on Developmental Disabilities to issue a report to the Legislature by December 31, 2022, on specified topics related to the program.*

*This bill would instead require the regional center to pay the full costs of the participant's financial management services provider. The bill would also require the council to issue the report by June 30, 2023.*

*Existing law requires all regional center vendors that provide crisis or residential services or supported living services, long-term health care facilities, and acute psychiatric hospitals to report on a monthly basis, among other things, the number of incidents of seclusion and the duration of time spent per incident in seclusion, to a designated agency.*



*This bill would require the regional center vendors to also report to the department, the regional center providing service to the consumer, and the vendoring regional center, if different.*

*Existing law required the department, on or before March 1, 2019, to submit a rate study to specified committees of the Legislature regarding community-based services for individuals with developmental disabilities. Existing law requires the department to implement rate increases between April 1, 2022, and July 1, 2025, to raise service providers' rates based on a formula that takes into account the fully funded rate reflected in the rate models that were included in the rate study. Existing law requires the department, commencing April 1, 2022, and continuing through the 2022–23 fiscal year, to implement a rate increase for service providers that equals  $\frac{1}{4}$  of the difference between the current rates and the fully funded rate model for each provider. Existing law requires the department, commencing July 1, 2023, and continuing through the 2024–25 fiscal year, to adjust rates to equal  $\frac{1}{2}$  of the difference between rates in effect March 31, 2022, and the fully funded rate model for each provider. Existing law requires the department, commencing July 1, 2025, to implement the fully funded rate models, as specified.*

*This bill would accelerate the timeline for those rate increases so that, commencing January 1, 2023, and continuing through the 2023–24 fiscal year, rates would be adjusted to equal  $\frac{1}{2}$  of the difference between rates in effect March 31, 2022, and the fully funded rate model for each provider. The bill would require the department, commencing July 1, 2024, to implement the fully funded rate models, as specified. The bill would prohibit a provider, commencing January 1, 2023, from spending a smaller percentage of the rate increase on direct care staff wages and benefit costs than the corresponding percentage included for direct care staff wages and benefit costs in the rate models for each specific service. The bill would require a provider granted a rate increase to maintain documentation that the portion of the rate increase was used to increase wages, salaries, or benefits of eligible staff members spending a minimum of 75% of their time providing direct services to consumers at least at the same percentage as provided in the rate models. The bill would require a vendor to be in compliance with the home- and community-based final rule, as specified, or implementing a corrective action plan, to be eligible for a certain quality incentive program.*

*Under existing law, regional center contracts require certain specified staffing levels and expertise, including service coordinator-to-consumer ratios.*

*This bill would require those regional center contracts to require caseloads for all consumers 5 years of age or younger to be an average coordinator-to-consumer ratio of 1 to 40. The bill would also provide a process of enhanced service coordination, including a service coordinator-to-consumer ratio of 1 to 40 and routine contact, as prescribed, to consumers identified as having low or no purchase-of-service expenditures, as defined, until one of a set of specified criteria are met, including the family or consumer no longer being interested in receiving enhanced service coordination or when the family or consumer feels confident they can continue and be successful without receiving enhanced service coordination.*

*Existing law requires the department to contract with an independent agency or organization to implement a quality assurance instrument that assesses consumer and family satisfaction, provision of services in a linguistically and culturally competent manner, and personal outcomes.*

*This bill would, until there is more than one available assessment that meets the statutory requirements, exempt the quality assurance instrument contract from the state personal services contracting requirements, the Public Contract Code, the State Contracting Manual, the State Administration Manual, the approval of the Department of General Services, and the approval of the Department of Technology.*

*Existing law sets forth various provisions for regional center worker retention and for training of direct care staff employed in community care facilities that receive regional center funding, as specified.*

*This bill would require, subject to an appropriation, the department to establish, and regional centers to administer, prescribed programs relating to developmental services workforce stabilization, including a program to provide training stipends to direct support professionals, an entry-level training and internship program with retention stipends for individuals interested in becoming direct support professionals, and a tuition reimbursement program for regional center employees who seek a degree or certification in a health or human services-related field. The bill would set forth the eligibility requirements, monetary ranges, and requirements for data reporting to the department. The bill would require the department to report to the Legislature, as specified, evaluating the success of the above-described programs.*

*This bill would require, subject to an appropriation, the department to develop a pilot project to test the feasibility of remote consumer services and supports that use technology solutions. The bill would require the department to pilot remote services and supports based on certain factors. The bill would require the department to report to the Legislature at quarterly briefings, and to submit a final evaluation report no later than January 10, 2026.*

*Existing law, until June 30, 2022, requires a meeting regarding the provision of services and supports by the regional center, including a meeting to develop or revise a consumer's IPP, to be held by remote electronic communications if requested by the consumer or, if appropriate, if requested by the consumer's parents, legal guardian, conservator, or authorized representative.*

*This bill would extend that requirement until June 30, 2023.*

*(6) Existing law establishes the Family Cost Participation Program, which requires the department to develop and establish a sliding scale for families with an annual gross income of not less than 400% of the federal poverty guideline, as specified, to be used by regional centers to assess the parents' cost participation for providing respite, daycare, and camping services to their children under 18 years of age who have developmental disabilities and who are not eligible for Medi-Cal, among other eligibility criteria. Existing law also requires a regional center to assess an annual family program fee, as specified, from parents whose adjusted gross family income is at or above 400% of the federal poverty level and who have a child meeting prescribed requirements, including receiving specified services from a regional center.*

*This bill, from July 1, 2022, to June 30, 2023, inclusive, would require regional centers to suspend existing and new assessments and reassessments of the cost participation and existing and new assessments, reassessments, and collections of the annual family program fee described above. The bill would also require the department to submit to the Legislature, on or before January 10, 2023, and as part of the annual budget process, a plan to revise the Family Cost Participation Program and the annual family program fee, including consideration of changes that include, but are not limited to, those that promote administrative efficiency and program compliance.*

*(7) Existing law establishes skilled nursing centers designated as institutions for mental disease. Existing law requires each institution for mental disease that has admitted a regional center consumer in the preceding year to report annually on February 1 to the contractor for*

*regional center clients' rights advocacy services the total number and age of consumers placed in that facility, among other things.*

*This bill would require each institution for mental disease that in the preceding year has admitted a regional center consumer, including consumers whose placements are not funded by a regional center, to submit quarterly reports to the department, the regional center for providing services to the consumer, and the contractor for regional center clients' rights advocacy services, that include specified information, including the total number and age, race, and ethnicity of consumers placed in that facility.*

*Existing law establishes requirements for family homes that provide services and supports for adults with developmental disabilities who do not require continuous skilled nursing care. Existing federal regulations require home and community-based settings to meet specified standards.*

*This bill would recognize the right of adults with disabilities to reside in the family home and that adults with developmental disabilities, and their families, may need coordinated family support services that are tailored to their unique needs. The bill would require the department to establish a Coordinated Family Support Services Pilot Program, as specified, for adults who live with their families. The bill would authorize the department to issue administrative program directives for coordinated family support services, subject to various requirements, including that any administrative program directive or regulation include key elements of the pilot program.*

*(8) Existing law refers to regional centers and developmental centers, collectively, as service agencies and requires every service agency, as a condition of continued receipt of state funds, to have an agency fair hearing procedure for resolving conflicts between the service agency and recipients of, or applicants for, service. Existing law also requires the department to implement a mediation process for resolving conflicts between regional centers and recipients of services, and establishes a process for a voluntary informal meeting to resolve conflicts. Existing law requires adequate notice, as defined, to be given to an applicant for, or recipient of, services prior to specified actions being taken by the service agency, including a decision to reduce, terminate, or change services set forth in an IPP, and requires adequate notice to be sent no more than 5 working days after the agency makes a decision to deny the initiation of a service or support requested for inclusion in the IPP.*

*This bill, commencing March 1, 2023, would revise and recast those provisions and, instead, would require every regional center or state-operated facility, as a condition of continued receipt of state funds, to have an appeals procedure for resolving conflicts between the regional center or state-operated facility and recipients of, or applicants for, services. The bill would require the appeals process to include options for an informal meeting, mediation, and a fair hearing and would prescribe requirements governing those options, including notice, timeline, and procedural requirements, and the rights and duties of the parties.*

*The bill would require the department to create, with input from stakeholders, standard appeals process information packets. The bill would require one information packet to be related to appeals under the California Early Intervention Services Act and another information packet to be related to appeals under the Lanterman Developmental Disabilities Services Act. The bill would require the hearing office, in collaboration with the department, to establish and maintain an advisory committee composed of various stakeholders, including recipients and family members, on at least a semiannual basis to assist the hearing office by providing nonbinding recommendations for improvements to fair hearing and mediation operations.*

*(9) Existing law prohibits the department from admitting anyone to a developmental center unless the person meets certain requirements. Existing law authorizes commitment to the Canyon Springs Community Facility prior to June 30, 2022, as specified, and prohibits admission to certain facilities, including the Canyon Springs Community Facility and the Porterville Developmental Center, to extend beyond a certain date. Existing law requires quarterly updates to legislative staff, as specified.*

*This bill would extend the date by which an admission is permitted to the Canyon Springs facility to June 30, 2023, and would also extend the date after which a commitment to the Canyon Springs facility and the Porterville facility would be required to end. The bill would require a person admitted to the Canyon Springs Community Facility to be subject to enhanced monitoring, as provided, and would require the quarterly update for persons admitted to the Canyon Springs facility to include all alternative placement options examined prior to admission.*

*(10) Existing law, the Aid to Families with Dependent Children-Foster Care (AFDC-FC) program, requires foster care providers to be paid a per-child per-month rate, established by the State*

*Department of Social Services, for the care and supervision of the child placed with the provider. Existing law establishes the Approved Relative Caregiver Funding Program (ARC), in counties that choose to participate, for the purpose of making the amount paid to relative caregivers for the in-home care of children placed with them who are ineligible for AFDC-FC payments equal to the amount paid on behalf of children who are eligible for AFDC-FC payments. Existing law establishes the state-funded Kinship Guardianship Assistance Payment Program (Kin-GAP), which provides aid on behalf of eligible children who are placed in the home of a relative guardian. Existing law requires specified rates for children who are both regional center consumers and recipients of AFDC-FC, ARC, or Kin-GAP benefits. Existing law requires the State Department of Social Services and the State Department of Developmental Services to provide specified data related to the provision of those services to the Joint Legislative Budget Committee on a semiannual basis.*

*This bill would instead require that data to be provided on an annual basis. The bill would additionally require the State Department of Social Services and the State Department of Developmental Services to provide public transparency regarding the implementation of those provisions relating to the services provided to children who are both regional center consumers and recipients of AFDC-FC, ARC, or Kin-GAP benefits through the annual posting of that data on their respective internet websites.*

*(11) The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.*

*This bill would provide that with regard to certain mandates no reimbursement is required by this act for a specified reason.*

*With regard to any other mandates, this bill would provide that, if the Commission on State Mandates determines that the bill contains costs so mandated by the state, reimbursement for those costs shall be made pursuant to the statutory provisions noted above.*

*This bill would declare that it is to take effect immediately as a bill providing for appropriations related to the Budget Bill.*

~~*This bill would express the intent of the Legislature to enact statutory changes, relating to the Budget Act of 2021.*~~

Vote: majority. Appropriation: ~~no~~-yes. Fiscal committee: ~~no~~ yes. State-mandated local program: ~~no~~-yes.

*The people of the State of California do enact as follows:*

1     *SECTION 1. Section 14670.31 is added to the Government*  
2     *Code, to read:*

3     *14670.31. (a) The Legislature finds and declares all of the*  
4     *following:*

5     *(1) The Fairview Developmental Center is located in the City*  
6     *of Costa Mesa, in the County of Orange, and is composed of a*  
7     *developed campus covering approximately 102 acres adjacent to*  
8     *Costa Mesa Country Club.*

9     *(2) The Fairview Developmental Center opened in 1959 and is*  
10    *a state-run residential care facility dedicated to serving individuals*  
11    *with developmental disabilities.*

12    *(3) The State Department of Developmental Services announced*  
13    *the warm shutdown of the facility and acknowledged that it was*  
14    *not the intent of the state to follow the traditional state surplus*  
15    *property process.*

16    *(4) The State Department of Developmental Services is*  
17    *relocating all Fairview Developmental Center residents to homes*  
18    *in the community, and may use former active units for short-term*  
19    *needs.*

20    *(5) California is experiencing an acute affordable housing crisis.*  
21    *The cost of land significantly limits the development of affordable*  
22    *housing. It is the intent of the Legislature that priority be given to*  
23    *affordable housing in the disposition of the Fairview*  
24    *Developmental Center state real property.*

25    *(6) It is the intent of the Legislature to establish a partnership*  
26    *among the Department of General Services, the State Department*  
27    *of Developmental Services, and the City of Costa Mesa that*  
28    *provides for an expedited land use planning process. During this*  
29    *process, the City of Costa Mesa will manage the planning process.*  
30    *The planning and disposition process is expected to be less than*  
31    *three years in duration.*

32    *(7) It is the intent of the Legislature that the Fairview*  
33    *Developmental Center property be utilized for a mixed-use*  
34    *development, including mixed-income housing. The development*  
35    *would include and prioritize affordable housing, including at least*  
36    *200 units of permanent supportive housing, and open space.*

37    *(8) It is further the intent of the Legislature that priority be*  
38    *given to redevelopment concepts that include housing that is deed*

1 *restricted to provide housing for individuals with developmental*  
2 *disabilities.*

3 *(b) The following definitions apply for purposes of this section:*

4 *(1) "Agreement" means the formal agreement between the State*  
5 *Department of Developmental Services and the City of Costa Mesa*  
6 *to implement a disposition and land use planning process.*

7 *(2) "City" means the City of Costa Mesa.*

8 *(3) "Council" means the City Council of the City of Costa Mesa.*

9 *(4) "Director" means the Director of the Department of General*  
10 *Services.*

11 *(5) "Department" means the State Department of*  
12 *Developmental Services.*

13 *(6) "Property" means all state-owned real property comprising*  
14 *the Fairview Developmental Center, less any acreage transferred*  
15 *to other state agencies by the Department of General Services.*

16 *(7) "Specific plan" means a comprehensive planning and zoning*  
17 *document for the Fairview Developmental Center property.*

18 *(c) (1) The department, upon those terms and conditions that it*  
19 *deems to be in the best interests of the state, may enter into an*  
20 *agreement with the city for the city to develop a specific plan for*  
21 *the property, and to manage the land use planning process*  
22 *integrated with a disposition process for the property, to be carried*  
23 *out by the Department of General Services. The disposition may*  
24 *include the sale or lease of the property, or property interest, the*  
25 *director deems to be in the best interests of the state. From funds*  
26 *appropriated by the Legislature for this purpose, the department*  
27 *shall allocate three million five hundred thousand dollars*  
28 *(\$3,500,000) to the city to facilitate the disposition of the property*  
29 *by amending the general plan of the city and any appropriate*  
30 *planning documents and zoning ordinances, completing any*  
31 *environmental review, and addressing the economic feasibility of*  
32 *future development for the purposes intended by the Legislature.*

33 *(2) In carrying out the land use planning and disposition process*  
34 *pursuant to the agreement, the department, the director, and the*  
35 *city shall provide for the expeditious planning of future land uses*  
36 *for the site and an opportunity for community input, with the intent*  
37 *to provide certainty for the community and a potential developer;*  
38 *expedite marketing, and maximize interested third-party potential*  
39 *purchasers.*



1     (3) *The disposition of the property or property interests shall*  
2 *provide for affordable housing to the greatest extent feasible, and*  
3 *shall be upon terms and conditions the director deems to be in the*  
4 *best interests of the state.*

5     (4) *The agreement shall require that housing be a priority in*  
6 *the planning process and that any housing proposal determined*  
7 *to be appropriate for the property shall include affordable housing.*  
8 *The agreement and the development plan shall provide for housing*  
9 *and affordable housing at a level consistent with the January 2020*  
10 *council-adopted strategy of 1,500 units and the housing assessment*  
11 *in the Department of General Services' 2021 Infrastructure*  
12 *Assessment of up to 2,500 units for the site.*

13     (d) (1) *The department may enter into any additional*  
14 *agreements, upon terms and conditions that the department*  
15 *determines to be in the best interests of the state, to provide for*  
16 *the management, operations, and maintenance of the property.*

17     (2) *The intent of the Legislature is for expeditious planning and*  
18 *disposition for affordable and permanent supportive housing at*  
19 *the property. The agreement, any necessary land use approvals,*  
20 *including modifying the general plan, rezoning the property,*  
21 *approving a specific plan or plans, and any other action necessary*  
22 *for the implementation of the development plan or the disposition*  
23 *of the property, following CEQA review, shall only be subject to*  
24 *approval by the director and the council. Should the director*  
25 *determine that the transfer, sale, or final disposition of the property*  
26 *has been unduly delayed, the director may dispose of the property*  
27 *as deemed to be in the best interests of the state.*

28     (e) *The city shall provide quarterly reports to the department*  
29 *that shall include expenditures, contracts, and an update describing*  
30 *the progress of the expedited planning process.*

31     SEC. 2. *Section 95007 of the Government Code is amended to*  
32 *read:*

33     95007. The State Department of Developmental Services shall  
34 serve as the lead agency responsible for administration and  
35 coordination of the statewide system. The specific duties and  
36 responsibilities of the State Department of Developmental Services  
37 shall include, but are not limited to, all of the following:

38     (a) Establishing a single point of contact with the federal Office  
39 of Special Education Programs for the administration of Part C of  
40 the federal Individuals with Disabilities Education Act.

1 (b) Administering the state early intervention system in  
2 accordance with Part C of the federal Individuals with Disabilities  
3 Education Act (20 U.S.C. Sec. 1431 et seq.), applicable regulations,  
4 and an approved state application.

5 (c) Administering mandatory and discretionary components as  
6 specified in Sections 95022 and 95024.

7 (d) Administering fiscal arrangements and interagency  
8 agreements with participating agencies and community-based  
9 organizations to implement this title.

10 (e) (1) Establishing interagency procedures, including the  
11 designation of local coordinating structures, as are necessary to  
12 share agency information and to coordinate policymaking activities.  
13 *In developing these procedures, efforts shall be made to schedule*  
14 *meetings with, and engage parents and legal guardians in,*  
15 *transition-related activities.*

16 (2) *Require each regional center to designate a main point of*  
17 *contact for coordinating and completing, with other agencies and*  
18 *persons, the transition of a child and family from Part C to Part*  
19 *B of the federal Individuals with Disabilities Education Act,*  
20 *including establishing practices to educate and support families*  
21 *during transition.*

22 (f) Adopting written procedures for receiving and resolving  
23 complaints regarding violations of Part C of the federal Individuals  
24 with Disabilities Education Act by public agencies covered under  
25 this title, as specified in Section 1435(a)(10) of Title 20 of the  
26 United States Code and appropriate federal regulations.

27 (g) Establishing, adopting, and implementing procedural  
28 safeguards that comply with the requirements of Part C of the  
29 federal Individuals with Disabilities Education Act, as specified  
30 in Section 1439 of Title 20 of the United States Code and  
31 appropriate federal regulations.

32 (h) (1) Monitoring of agencies, institutions, and organizations  
33 receiving assistance under this title.

34 (2) Monitoring shall be conducted by interagency teams that  
35 are sufficiently trained to ensure compliance. Interagency teams  
36 shall consist of, but not be limited to, representatives from the  
37 State Department of Developmental Services, the State Department  
38 of Education, the interagency coordinating council, or a local  
39 family resource center or network, parent, direct service provider,

1 or any other agency responsible for providing early intervention  
2 services.

3 (3) All members of an interagency team shall have access to all  
4 information that is subject to review. Members of each interagency  
5 team shall maintain the confidentiality of the information, and  
6 each member of the interagency team shall sign a written agreement  
7 of confidentiality.

8 (4) A summary of monitoring issues and findings shall be  
9 forwarded biannually to the interagency coordinating council for  
10 review.

11 (i) Establishing innovative approaches to information  
12 distribution, family support services, and interagency coordination  
13 at the local level. *This shall include the posting of information for*  
14 *parents specific to transition requirements along with other parent*  
15 *training opportunities on the lead agency's internet website, the*  
16 *regional centers' websites, and other appropriate internet websites.*

17 (j) Ensuring the provision of appropriate early intervention  
18 services to all infants eligible under Part C of the federal  
19 Individuals with Disabilities Education Act (20 U.S.C. Sec. 1431  
20 et seq.) and under Section 95014, except for those infants who  
21 have solely a low incidence disability as defined in Section 56026.5  
22 of the Education Code and who are not eligible for services under  
23 the Lanterman Developmental Disabilities Services Act (Division  
24 4.5 (commencing with Section 4500) of the Welfare and  
25 Institutions Code).

26 The development and implementation of subdivisions (e) to (h),  
27 inclusive, shall be a collaborative effort between the State  
28 Department of Developmental Services and the State Department  
29 of Education. In establishing the written procedures for receiving  
30 and resolving complaints as specified in subdivision (f) and in  
31 establishing and implementing procedural safeguards as specified  
32 in subdivision (g), it is the intent of the Legislature that these  
33 procedures be identical for all infants served under this act and  
34 shall be in accordance with Sections 303.400 and 303.420(b) of  
35 Title 34 of the Code of Federal Regulations. The procedural  
36 safeguards and due process requirements established under this  
37 title shall replace and be used in lieu of due process procedures  
38 contained in Chapter 1 (commencing with Section 4500) of  
39 Division 4.5 of the Welfare and Institutions Code and Part 30

(commencing with Section 56500) of the Education Code for infants and their families eligible under this title.

*SEC. 3. Section 95008 of the Government Code is amended to read:*

95008. (a) The State Department of Education shall be responsible for administering services and programs for infants with solely visual, hearing, and severe orthopedic impairments, and any combination thereof, who meet the criteria in Sections 56026 and 56026.5 of the Education Code, and in Section 3030(a), (b), (d), or (e) of, and Section 3031 of, Title 5 of the California Code of Regulations and Part C of the federal Individuals with Disabilities Education Act (20 U.S.C. Sec. 1431 et seq.) and who are not eligible for services under the Lanterman Developmental Disabilities Services Act (Division 4.5 (commencing with Section 4500) of the Welfare and Institutions Code).

(b) *The State Department of Education shall require each local educational agency to designate a main point of contact for coordinating and completing, with other agencies and persons, the transition of a child and family from Part C to Part B of the federal Individuals with Disabilities Education Act, including establishing practices to educate and support families during transition.*

*SEC. 4. Section 95012 of the Government Code is amended to read:*

95012. (a) The following departments shall cooperate and coordinate their early intervention services for eligible infants and their families under this title, and need to collaborate with families and communities, to provide a family-centered, comprehensive, multidisciplinary, interagency, community-based early intervention system:

- (1) State Department of Developmental Services.
- (2) State Department of Education.
- (3) State Department of Health Care Services.
- (4) State Department of Social Services.

(b) Each participating department shall enter into an interagency agreement with the State Department of Developmental Services. Each interagency agreement shall specify, at a minimum, the agency's current and continuing level of financial participation in providing services to infants and toddlers with disabilities and their families. Each interagency agreement shall also specify procedures

for resolving disputes in a timely manner. Interagency agreements shall also contain provisions for ensuring effective cooperation and coordination among agencies concerning policymaking activities associated with the implementation of this title, including legislative proposals, regulation development, and fiscal planning. All interagency agreements shall be reviewed annually and revised as necessary.

*(c) In addition to the provisions specified in subdivision (b), the interagency agreement with the State Department of Education shall include provisions related to the joint development and dissemination of educational information about transitioning from Part C of the federal Individuals with Disabilities Education Act.*

*SEC. 5. Section 95014 of the Government Code is amended to read:*

95014. (a) The term “eligible infant or toddler” for the purposes of this title means infants and toddlers from birth through two years of age, for whom a need for early intervention services, as specified in the federal Individuals with Disabilities Education Act (20 U.S.C. Sec. 1431 et seq.) and applicable regulations, is documented by means of assessment and evaluation as required in Sections 95016 and 95018 and who meet one of the following criteria:

(1) Infants and toddlers with a developmental delay in one or more of the following ~~five~~ *six* areas: cognitive development; physical and motor development, including vision and hearing; *expressive* communication development; *receptive communication development*; social or emotional development; or adaptive development. Developmentally delayed infants and toddlers are those who are determined to have a significant difference between the expected level of development for their age and their current level of functioning. This determination shall be made by qualified personnel who are recognized by, or part of, a multidisciplinary team, including the parents. A significant difference is defined as ~~a 33-percent~~ *25-percent* delay in one or more developmental areas.

(2) Infants and toddlers with established risk conditions, who are infants and toddlers with conditions of known etiology or ~~conditions~~ *conditions, including fetal alcohol syndrome*, with established harmful developmental consequences. The conditions shall be diagnosed by qualified personnel recognized by, or part of, a multidisciplinary team, including the parents. The condition

1 shall be certified as having a high probability of leading to  
2 developmental delay if the delay is not evident at the time of  
3 diagnosis.

4 (3) Infants and toddlers who are at high risk of having substantial  
5 developmental disability due to a combination of biomedical risk  
6 factors, the presence of which are diagnosed by qualified personnel  
7 recognized by, or part of, a multidisciplinary team, including the  
8 parents.

9 (b) Regional centers and local educational agencies shall be  
10 responsible for ensuring that eligible infants and toddlers are served  
11 as follows:

12 (1) The State Department of Developmental Services and  
13 regional centers shall be responsible for the provision of  
14 appropriate early intervention services that are required for  
15 California's participation in Part C of the federal Individuals with  
16 Disabilities Education Act (20 U.S.C. Sec. 1431 et seq.) for all  
17 infants eligible under this section, except for those infants with  
18 solely a visual, hearing, or severe orthopedic impairment, or any  
19 combination of those impairments, who meet the criteria in  
20 Sections 56026 and 56026.5 of the Education Code, and in Section  
21 3030(a) or (b) of, and Section 3031 of, Title 5 of the California  
22 Code of Regulations.

23 (2) The State Department of Education and local educational  
24 agencies shall be responsible for the provision of appropriate early  
25 intervention services in accordance with Part C of the federal  
26 Individuals with Disabilities Education Act (20 U.S.C. Sec. 1431  
27 et seq.) for infants with solely a visual, hearing, or severe  
28 orthopedic impairment, or any combination of those impairments,  
29 who meet the criteria in Sections 56026 and 56026.5 of the  
30 Education Code, and in Section 3030(a) or (b) of, and Section  
31 3031 of, Title 5 of the California Code of Regulations, and who  
32 are not eligible for services under the Lanterman Developmental  
33 Disabilities Services Act (Division 4.5 (commencing with Section  
34 4500) of the Welfare and Institutions Code).

35 (3) The transfer procedures and timelines, as provided under  
36 subdivision (d) of Section 4643.5 of the Welfare and Institutions  
37 Code, shall apply if the circumstances pertaining to an eligible  
38 infant or toddler are that the child (A) has an order for foster care  
39 placement, is awaiting foster care placement, or is placed in  
40 out-of-home care through voluntary placement as defined in

subdivision (o) of Section 11400 of the Welfare and Institutions Code, and (B) transfers between regional centers.

(c) For infants and toddlers and their families who are eligible to receive services from both a regional center and a local educational agency, the regional center shall be the agency responsible for providing or purchasing appropriate early intervention services that are beyond the mandated responsibilities of local educational agencies and that are required for California's participation in Part C of the federal Individuals with Disabilities Education Act (20 U.S.C. Sec. 1431 et seq.). The local educational agency shall provide special education services up to its funded program capacity as established annually by the State Department of Education in consultation with the State Department of Developmental Services and the Department of Finance.

(d) An agency or multidisciplinary team, including any agency listed in Section 95012, shall not presume or determine eligibility, including eligibility for medical services, for any other agency. However, regional centers and local educational agencies shall coordinate intake, evaluation, assessment, and individualized family service plans for infants and toddlers and their families who are served by an agency.

(e) Upon termination of the program pursuant to Section 95003, the State Department of Developmental Services shall be responsible for the payment of services pursuant to this title.

(f) This section shall become operative on January 1, 2015.

*SEC. 6. Section 95018 of the Government Code is amended to read:*

95018. Each eligible infant or toddler and *their* family shall be provided a service coordinator who will be responsible for facilitating the implementation of the individualized family service plan and for coordinating with other agencies and persons providing services to the family. The qualifications, responsibilities, and functions of service coordinators shall be consistent with the statutes and regulations under Part C and this title, and shall be specified in regulations adopted pursuant to Section 95028. The State Department of Developmental Services shall ensure that service coordinators, as defined in federal law, meet federal and state regulation requirements, are trained to work with infants and their families, and meet competency requirements set forth in ~~Section 303.22(d) of Title 34 of the Code of Federal~~ *Section 52122*

1 of Title 17 of the California Code of Regulations. Service  
2 coordinator caseloads shall be ~~an overall average of 62 consumers~~  
3 ~~to each staff member, as specified in subdivision (c) of Section~~  
4 ~~4640.6 of the Welfare and Institutions Code.~~ A service coordinator  
5 for each eligible infant or toddler and their family shall conduct  
6 at least quarterly reviews of the individualized family service plan.

7 Pursuant to Section 303.521 of Title 34 of the Code of Federal  
8 Regulations, service coordination is not subject to any fees that  
9 might be established for any other federal or state program.

10 SEC. 7. Section 95020 of the Government Code is amended to  
11 read:

12 95020. (a) An eligible infant or toddler shall have an  
13 individualized family service plan. The individualized family  
14 service plan shall be used in place of an ~~individualized education~~  
15 ~~program~~ individual program plan required pursuant to Sections  
16 4646 and 4646.5 of the Welfare and Institutions Code, the  
17 individualized education program plan required pursuant to Section  
18 56340 of the Education Code, or any other applicable service plan.

19 (b) For an infant or toddler who has been evaluated for the first  
20 time, a meeting to share the results of the evaluation, to determine  
21 eligibility and, for children who are eligible, to develop the initial  
22 individualized family service plan shall be conducted within 45  
23 calendar days of receipt of the written referral. Evaluation results  
24 and determination of eligibility may be shared in a meeting with  
25 the family prior to the individualized family service plan. Written  
26 parent consent, or consent by a legal guardian, to evaluate and  
27 assess shall be obtained within the 45-day timeline. A regional  
28 center, local educational agency, or the designee of one of those  
29 entities shall initiate and conduct this meeting. Families shall be  
30 afforded the opportunity to participate in all decisions regarding  
31 eligibility and services. During intake and assessment, but no later  
32 than the individualized family service plan meeting, the parents,  
33 legal guardian, or conservator shall provide copies of any health  
34 benefit cards under which the consumer is eligible to receive health  
35 benefits, including, but not limited to, private health insurance, a  
36 health care service plan, Medi-Cal, Medicare, and TRICARE. If  
37 the individual, or, if appropriate, the parents, legal guardians, or  
38 conservators, do not have any benefits, the regional center shall  
39 not use that fact to negatively impact the services that the individual  
40 may or may not receive from the regional center.



1 (c) (1) Parents and legal guardians shall be fully informed of  
2 their rights, including the right to invite another person, including  
3 a family member or an advocate or peer parent, or any or all of  
4 them, to accompany them to any or all individualized family  
5 service plan meetings. Notwithstanding any other law, until June  
6 30, ~~2022~~, 2023, and at the request of the parent or legal guardian,  
7 an individualized family service plan meeting shall be held by  
8 remote electronic communications.

9 (2) With parental consent, or consent by a legal guardian, a  
10 referral shall be made to the local family resource center or  
11 network. *A request for consent shall be offered to the parents or*  
12 *the legal guardian at the initial individualized family service plan*  
13 *meeting and at any subsequent individualized family service plan*  
14 *meeting, if consent was not previously obtained.*

15 (d) The individualized family service plan shall be in writing  
16 and shall address all of the following:

17 (1) A statement of the infant's or toddler's present levels of  
18 physical development including vision, hearing, and health status,  
19 cognitive development, communication development, social and  
20 emotional development, and adaptive developments.

21 (2) With the concurrence of the family, a statement of the  
22 family's concerns, priorities, and resources related to meeting the  
23 special developmental needs of the eligible infant or toddler.

24 (3) A statement of the major outcomes expected to be achieved  
25 for the infant or toddler and family where services for the family  
26 are related to meeting the special developmental needs of the  
27 eligible infant or toddler.

28 (4) The criteria, procedures, and timelines used to determine  
29 the degree to which progress toward achieving the outcomes is  
30 being made and whether modifications or revisions are necessary.

31 (5) (A) A statement of the specific early intervention services  
32 necessary to meet the unique needs of the infant or toddler as  
33 identified in paragraph (3), including, but not limited to, the  
34 frequency, intensity, location, duration, and method of delivering  
35 the services, including by remote electronic communications, and  
36 ways of providing services in natural generic environments,  
37 including group training for parents and legal guardians on  
38 behavioral intervention techniques in lieu of some or all of the  
39 in-home parent and legal guardian training component of the  
40 behavior intervention services, and purchase of neighborhood

1 preschool services and needed qualified personnel in lieu of infant  
2 development programs.

3 (B) Effective July 1, 2009, at the time of development, review,  
4 or modification of an infant's or toddler's individualized family  
5 service plan, the regional center shall consider both of the  
6 following:

7 (i) The use of group training for parents and legal guardians on  
8 behavior intervention techniques, in lieu of some or all of the  
9 in-home parent and legal guardian training component of the  
10 behavior intervention services.

11 (ii) The purchase of neighborhood preschool services and needed  
12 qualified personnel, in lieu of infant development programs.

13 (6) A statement of the agency responsible for providing the  
14 identified services.

15 (7) The name of the service coordinator who shall be responsible  
16 for facilitating implementation of the plan and coordinating with  
17 other agencies and persons.

18 (8) The steps to be taken to ensure transition of the infant or  
19 toddler upon reaching three years of age to other appropriate  
20 services. These may include, as appropriate, special education or  
21 other services offered in natural environments.

22 (9) The projected dates for the initiation of services in paragraph  
23 (5) and the anticipated duration of those services.

24 (e) Each service identified in the individualized family service  
25 plan shall be designated as one of three types:

26 (1) An early intervention service, as defined in subsection (4)  
27 of Section 1432 of Title 20 of the United States Code, and  
28 applicable regulations, that is provided or purchased through the  
29 regional center, local educational agency, or other participating  
30 agency. The State Department of Health Care Services and the  
31 State Department of Social Services shall provide services in  
32 accordance with state and federal law and applicable regulations,  
33 and up to the level of funding as appropriated by the Legislature.  
34 Early intervention services identified in an individualized family  
35 service plan that exceed the funding, statutory, and regulatory  
36 requirements of these departments shall be provided or purchased  
37 by regional centers or local educational agencies under subdivisions  
38 (b) and (c) of Section 95014. The State Department of Health Care  
39 Services and the State Department of Social Services shall not be

1 required to provide early intervention services over their existing  
2 funding, statutory, and regulatory requirements.

3 (2) Another service, other than those specified in paragraph (1),  
4 that the eligible infant or toddler or their family may receive from  
5 other state programs, subject to the eligibility standards of those  
6 programs.

7 (3) A referral to a nonrequired service that may be provided to  
8 an eligible infant or toddler or their family. Nonrequired services  
9 are those services that are not defined as early intervention services  
10 or do not relate to meeting the special developmental needs of an  
11 eligible infant or toddler related to the disability, but that may be  
12 helpful to the family. The granting or denial of nonrequired services  
13 by a public or private agency is not subject to appeal under this  
14 title. Notwithstanding any other law or regulation to the contrary,  
15 effective July 1, 2009, with the exception of durable medical  
16 equipment, regional centers shall not purchase nonrequired  
17 services, but may refer a family to a nonrequired service that may  
18 be available to an eligible infant or toddler or their family.

19 (f) An annual review, and other periodic reviews, of the  
20 individualized family service plan for an infant or toddler and the  
21 infant's or toddler's family shall be conducted to determine the  
22 degree of progress that is being made in achieving the outcomes  
23 specified in the plan and whether modification or revision of the  
24 outcomes or services is necessary. The frequency, participants,  
25 purpose, and required processes for annual and periodic reviews  
26 shall be consistent with the statutes and regulations under Part C  
27 of the federal Individuals with Disabilities Education Act (20  
28 U.S.C. Sec. 1400 et seq.) and this title, and shall be specified in  
29 regulations adopted pursuant to Section 95028. At the time of the  
30 review, the parents, legal guardian, or conservator shall provide  
31 copies of any health benefit cards under which the consumer is  
32 eligible to receive health benefits, including, but not limited to,  
33 private health insurance, a health care service plan, Medi-Cal,  
34 Medicare, and TRICARE. If the parents, legal guardian, or  
35 conservator have no such benefit cards, the regional center shall  
36 not use that fact to negatively impact the services that the individual  
37 may or may not receive from the regional center.

38 (g) (1) A regional center shall communicate and provide written  
39 materials in the family's native language during the assessment,  
40 evaluation, and planning process for the individualized family

1 service plan, as required by Part C of the federal Individuals with  
2 Disabilities Education Act (20 U.S.C. Sec. 1400 et seq.) and  
3 implementing regulations, and as required by Sections 11135 to  
4 11139, inclusive, and implementing regulations, including  
5 providing alternative communication services pursuant to Sections  
6 11161 and 11162 of Title 2 of the California Code of Regulations.

7 (2) The family's native language shall be documented in the  
8 individualized family service plan.

9 *SEC. 8. Section 95024 of the Government Code is amended to*  
10 *read:*

11 95024. (a) Any increased cost to local educational agencies  
12 due to the implementation of this title shall be funded from the  
13 Part C federal funds provided for the purposes of this title.

14 (b) Any increased costs to regional centers due to the  
15 implementation of this title shall be funded from the Part C federal  
16 funds provided for the purposes of this title.

17 (c) The annual Budget Act shall specify the amount of federal  
18 Part C funds allocated for local assistance and for state operations  
19 individually, for the State Department of Developmental Services,  
20 and for the State Department of Education.

21 (d) If federal funds are available after mandatory components  
22 and increased costs in subdivisions (a) and (b), if any, are funded,  
23 the lead agency, in consultation with the State Department of  
24 Education, may do the following:

25 (1) Designate local interagency coordination areas throughout  
26 the state and allocate available Part C federal funds to fund  
27 interagency coordination activities, including, but not limited to,  
28 outreach and public awareness, and interagency approaches to  
29 service planning and delivery. If the lead agency chooses to  
30 designate and fund local interagency coordination areas, the lead  
31 agency shall first offer to enter into a contract with the regional  
32 center or a local educational agency. If the regional center or any  
33 of the local educational agencies do not accept the offer, the lead  
34 agency, in consultation with the State Department of Education  
35 and the approval of the regional center and local educational  
36 agencies in the area, directly may enter into a contract with a  
37 private, nonprofit organization. Nothing in this section shall  
38 preclude a regional center or local educational agency that enters  
39 into a contract with the lead agency from subcontracting with a  
40 private, nonprofit organization.

(2) Allocate funds to support family resource services, including, but not limited to, parent-to-parent support, information dissemination and referral, public awareness, family-professional collaboration activities, and transition assistance for families. *In providing these services, resources shall be made available to families with information on the following areas:*

(A) *The difference between Part B and Part C services.*

(B) *Information about local Part B programs and other services in a family's community.*

(C) *Options for services for families after their child reaches three years of age.*

(D) *An overview of the process and timelines for a child's transition at three years of age.*

(e) If an expenditure plan is developed under subdivision (d), the lead agency, in consultation with the State Department of Education, shall give high priority to funding family resource services.

(f) Nothing in this section shall be construed to limit the lead agency's authority, in consultation with the State Department of Education, to allocate discretionary Part C federal funds for any legitimate purpose consistent with the statutes and regulations under Part C (20 U.S.C. Secs. 1431 to 1444, inclusive) and this title.

*SEC. 9. Section 95026 of the Government Code is amended to read:*

95026. (a) The lead agency shall maintain a system for compiling data required by the federal Office of Special Education Programs, through Part C of the federal Individuals with Disabilities Education Act, including the number of eligible infants and toddlers and their families in need of appropriate early intervention services, the number of eligible infants and toddlers and their families served, the types of services provided, and other information required by the federal Office of Special Education Programs. All participating agencies listed in Section 95012 shall assist in the development of the system and shall cooperate with the lead agency in meeting federal data requirements. The feasibility of using existing systems and including social security numbers shall be explored to facilitate data collection.

(b) *Data collected pursuant to this section shall be used to improve service delivery and the quality of transition from Part C*

1 *to Part B of the federal Individuals with Disabilities Education*  
2 *Act for children and families to the extent it is possible to do so.*

3 *SEC. 10. Section 95028 of the Government Code is amended*  
4 *to read:*

5 95028. (a) On or before October 1, 1995, the State Department  
6 of Developmental Services, on behalf of the Secretary of the Health  
7 and Human Services Agency, and the State Department of  
8 Education, on behalf of the Superintendent of Public Instruction,  
9 jointly shall develop, approve, and implement regulations, as  
10 necessary, to comply with the requirements of this title and Part  
11 C, as specified in federal statutes and regulations.

12 (b) The regulations developed pursuant to this section shall  
13 include, but are not limited to, the following requirements:

14 (1) The administrative structure for planning and implementation  
15 of the requirements of this title and Part C.

16 (2) Eligibility for Part C services.

17 (3) Evaluation and assessment.

18 (4) Individualized family service plans.

19 (5) Service coordination.

20 (6) The program and service components of the statewide system  
21 for early intervention services.

22 (7) The duties and responsibilities of the lead agency as specified  
23 in Section 95006, including procedural safeguards and the process  
24 for resolving complaints against a public agency for violation of  
25 the requirements of Part C.

26 (c) The State Department of Developmental Services shall adopt  
27 regulations to implement this title in accordance with Chapter 3.5  
28 (commencing with Section 11340) of Part 1 of Division 3 of Title  
29 2. Initial regulations to implement this title shall be adopted as  
30 emergency regulations. The adoption of these initial emergency  
31 regulations shall be considered by the Office of Administrative  
32 Law to be an emergency and necessary for the immediate  
33 preservation of the public peace, health and safety, or general  
34 welfare. The initial emergency regulations shall remain in effect  
35 for no more than 180 days. These regulations shall be jointly  
36 developed by the State Department of Developmental Services  
37 and the State Department of Education by July 1, 1994. The  
38 Department of Finance shall review and comment upon the  
39 emergency regulations prior to their adoption.

1     (d) *On or before October 1, 2024, the regulations developed*  
2 *pursuant to this section shall be updated to include a process for*  
3 *Part C programs to incorporate family feedback to help update*  
4 *and improve the transition process from Part C, training, and*  
5 *family satisfaction.*

6     SEC. 11. *Section 1095 of the Unemployment Insurance Code*  
7 *is amended to read:*

8     1095. The director shall permit the use of any information in  
9 the director's possession to the extent necessary for any of the  
10 following purposes, and may require reimbursement for all direct  
11 costs incurred in providing any and all information specified in  
12 this section, except information specified in subdivisions (a) to  
13 (e), inclusive:

14     (a) To enable the director or the director's representative to  
15 carry out their responsibilities under this code.

16     (b) To properly present a claim for benefits.

17     (c) To acquaint a worker or their authorized agent with the  
18 worker's existing or prospective right to benefits.

19     (d) To furnish an employer or their authorized agent with  
20 information to enable the employer to fully discharge their  
21 obligations or safeguard their rights under this division or Division  
22 3 (commencing with Section 9000).

23     (e) To enable an employer to receive a reduction in contribution  
24 rate.

25     (f) To enable federal, state, or local governmental departments  
26 or agencies, subject to federal law, to verify or determine the  
27 eligibility or entitlement of an applicant for, or a recipient of, public  
28 social services provided pursuant to Division 9 (commencing with  
29 Section 10000) of the Welfare and Institutions Code, or Part A of  
30 Subchapter IV of the federal Social Security Act (42 U.S.C. Sec.  
31 601 et seq.), when the verification or determination is directly  
32 connected with, and limited to, the administration of public social  
33 services.

34     (g) To enable county administrators of general relief or  
35 assistance, or their representatives, to determine entitlement to  
36 locally provided general relief or assistance, when the  
37 determination is directly connected with, and limited to, the  
38 administration of general relief or assistance.

39     (h) To enable state or local governmental departments or  
40 agencies to seek criminal, civil, or administrative remedies in

1 connection with the unlawful application for, or receipt of, relief  
2 provided under Division 9 (commencing with Section 10000) of  
3 the Welfare and Institutions Code or to enable the collection of  
4 expenditures for medical assistance services pursuant to Part 5  
5 (commencing with Section 17000) of Division 9 of the Welfare  
6 and Institutions Code.

7 (i) To provide any law enforcement agency with the name,  
8 address, telephone number, birth date, social security number,  
9 physical description, and names and addresses of present and past  
10 employers, of any victim, suspect, missing person, potential  
11 witness, or person for whom a felony arrest warrant has been  
12 issued, when a request for this information is made by any  
13 investigator or peace officer as defined by Sections 830.1 and  
14 830.2 of the Penal Code, or by any federal law enforcement officer  
15 to whom the Attorney General has delegated authority to enforce  
16 federal search warrants, as defined under Sections 60.2 and 60.3  
17 of Title 28 of the Code of Federal Regulations, as amended, and  
18 when the requesting officer has been designated by the head of  
19 the law enforcement agency and requests this information in the  
20 course of and as a part of an investigation into the commission of  
21 a crime when there is a reasonable suspicion that the crime is a  
22 felony and that the information would lead to relevant evidence.  
23 The information provided pursuant to this subdivision shall be  
24 provided to the extent permitted by federal law and regulations,  
25 and to the extent the information is available and accessible within  
26 the constraints and configurations of existing department records.  
27 Any person who receives any information under this subdivision  
28 shall make a written report of the information to the law  
29 enforcement agency that employs the person, for filing under the  
30 normal procedures of that agency.

31 (1) This subdivision shall not be construed to authorize the  
32 release to any law enforcement agency of a general list identifying  
33 individuals applying for or receiving benefits.

34 (2) The department shall maintain records pursuant to this  
35 subdivision only for periods required under regulations or statutes  
36 enacted for the administration of its programs.

37 (3) This subdivision shall not be construed as limiting the  
38 information provided to law enforcement agencies to that pertaining  
39 only to applicants for, or recipients of, benefits.



1 (4) The department shall notify all applicants for benefits that  
2 release of confidential information from their records will not be  
3 protected should there be a felony arrest warrant issued against  
4 the applicant or in the event of an investigation by a law  
5 enforcement agency into the commission of a felony.

6 (j) To provide public employee retirement systems in California  
7 with information relating to the earnings of any person who has  
8 applied for or is receiving a disability income, disability allowance,  
9 or disability retirement allowance, from a public employee  
10 retirement system. The earnings information shall be released only  
11 upon written request from the governing board specifying that the  
12 person has applied for or is receiving a disability allowance or  
13 disability retirement allowance from its retirement system. The  
14 request may be made by the chief executive officer of the system  
15 or by an employee of the system so authorized and identified by  
16 name and title by the chief executive officer in writing.

17 (k) To enable the Division of Labor Standards Enforcement in  
18 the Department of Industrial Relations to seek criminal, civil, or  
19 administrative remedies in connection with the failure to pay, or  
20 the unlawful payment of, wages pursuant to Chapter 1  
21 (commencing with Section 200) of Part 1 of Division 2 of, and  
22 Chapter 1 (commencing with Section 1720) of Part 7 of Division  
23 2 of, the Labor Code.

24 (l) To enable federal, state, or local governmental departments  
25 or agencies to administer child support enforcement programs  
26 under Part D of Title IV of the federal Social Security Act (42  
27 U.S.C. Sec. 651 et seq.).

28 (m) To provide federal, state, or local governmental departments  
29 or agencies with wage and claim information in its possession that  
30 will assist those departments and agencies in the administration  
31 of the Victims of Crime Program or in the location of victims of  
32 crime who, by state mandate or court order, are entitled to  
33 restitution that has been or can be recovered.

34 (n) To provide federal, state, or local governmental departments  
35 or agencies with information concerning any individuals who are  
36 or have been:

37 (1) Directed by state mandate or court order to pay restitution,  
38 fines, penalties, assessments, or fees as a result of a violation of  
39 law.

1 (2) Delinquent or in default on guaranteed student loans or who  
2 owe repayment of funds received through other financial assistance  
3 programs administered by those agencies. The information released  
4 by the director for the purposes of this paragraph shall not include  
5 unemployment insurance benefit information.

6 (o) To provide an authorized governmental agency with any  
7 and all relevant information that relates to any specific workers'  
8 compensation insurance fraud investigation. The information shall  
9 be provided to the extent permitted by federal law and regulations.  
10 For purposes of this subdivision, "authorized governmental agency"  
11 means the district attorney of any county, the office of the Attorney  
12 General, the Contractors State License Board, the Department of  
13 Industrial Relations, and the Department of Insurance. An  
14 authorized governmental agency may disclose this information to  
15 the State Bar of California, the Medical Board of California, or  
16 any other licensing board or department whose licensee is the  
17 subject of a workers' compensation insurance fraud investigation.  
18 This subdivision shall not prevent any authorized governmental  
19 agency from reporting to any board or department the suspected  
20 misconduct of any licensee of that body.

21 (p) To enable the Director of Consumer Affairs, or the director's  
22 representative, to access unemployment insurance quarterly wage  
23 data on a case-by-case basis to verify information on school  
24 administrators, school staff, and students provided by those schools  
25 who are being investigated for possible violations of Chapter 8  
26 (commencing with Section 94800) of Part 59 of Division 10 of  
27 Title 3 of the Education Code.

28 (q) To provide employment tax information to the tax officials  
29 of Mexico, if a reciprocal agreement exists. For purposes of this  
30 subdivision, "reciprocal agreement" means a formal agreement to  
31 exchange information between national taxing officials of Mexico  
32 and taxing authorities of the State Board of Equalization, the  
33 Franchise Tax Board, and the Employment Development  
34 Department. Furthermore, the reciprocal agreement shall be limited  
35 to the exchange of information that is essential for tax  
36 administration purposes only. Taxing authorities of the State of  
37 California shall be granted tax information only on California  
38 residents. Taxing authorities of Mexico shall be granted tax  
39 information only on Mexican nationals.

1 (r) To enable city and county planning agencies to develop  
2 economic forecasts for planning purposes. The information shall  
3 be limited to businesses within the jurisdiction of the city or county  
4 whose planning agency is requesting the information, and shall  
5 not include information regarding individual employees.

6 (s) To provide the State Department of Developmental Services  
7 with wage and employer information that will assist in the  
8 collection of moneys owed by the recipient, parent, or any other  
9 legally liable individual for services and supports provided pursuant  
10 to Chapter 9 (commencing with Section 4775) of Division 4.5 of,  
11 and Chapter 2 (commencing with Section 7200) and Chapter 3  
12 (commencing with Section 7500) of Division 7 of, the Welfare  
13 and Institutions Code.

14 (t) To provide the State Board of Equalization with employment  
15 tax information that will assist in the administration of tax  
16 programs. The information shall be limited to the exchange of  
17 employment tax information essential for tax administration  
18 purposes to the extent permitted by federal law and regulations.

19 (u) This section shall not be construed to authorize or permit  
20 the use of information obtained in the administration of this code  
21 by any private collection agency.

22 (v) The disclosure of the name and address of an individual or  
23 business entity that was issued an assessment that included  
24 penalties under Section 1128 or 1128.1 shall not be in violation  
25 of Section 1094 if the assessment is final. The disclosure may also  
26 include any of the following:

27 (1) The total amount of the assessment.

28 (2) The amount of the penalty imposed under Section 1128 or  
29 1128.1 that is included in the assessment.

30 (3) The facts that resulted in the charging of the penalty under  
31 Section 1128 or 1128.1.

32 (w) To enable the Contractors State License Board to verify the  
33 employment history of an individual applying for licensure  
34 pursuant to Section 7068 of the Business and Professions Code.

35 (x) To provide any peace officer with the Division of  
36 Investigation in the Department of Consumer Affairs information  
37 pursuant to subdivision (i) when the requesting peace officer has  
38 been designated by the chief of the Division of Investigation and  
39 requests this information in the course of and as part of an  
40 investigation into the commission of a crime or other unlawful act

1 when there is reasonable suspicion to believe that the crime or act  
2 may be connected to the information requested and would lead to  
3 relevant information regarding the crime or unlawful act.

4 (y) To enable the Labor Commissioner of the Division of Labor  
5 Standards Enforcement in the Department of Industrial Relations  
6 to identify, pursuant to Section 90.3 of the Labor Code, unlawfully  
7 uninsured employers. The information shall be provided to the  
8 extent permitted by federal law and regulations.

9 (z) To enable the Chancellor of the California Community  
10 Colleges, in accordance with the requirements of Section 84754.5  
11 of the Education Code, to obtain quarterly wage data, commencing  
12 January 1, 1993, on students who have attended one or more  
13 community colleges, to assess the impact of education on the  
14 employment and earnings of students, to conduct the annual  
15 evaluation of district-level and individual college performance in  
16 achieving priority educational outcomes, and to submit the required  
17 reports to the Legislature and the Governor. The information shall  
18 be provided to the extent permitted by federal statutes and  
19 regulations.

20 (aa) To enable the Public Employees' Retirement System to  
21 seek criminal, civil, or administrative remedies in connection with  
22 the unlawful application for, or receipt of, benefits provided under  
23 Part 3 (commencing with Section 20000) of Division 5 of Title 2  
24 of the Government Code.

25 (ab) To enable the State Department of Education, the University  
26 of California, the California State University, and the Chancellor  
27 of the California Community Colleges, pursuant to the  
28 requirements prescribed by the federal American Recovery and  
29 Reinvestment Act of 2009 (Public Law 111-5), to obtain quarterly  
30 wage data, commencing July 1, 2010, on students who have  
31 attended their respective systems to assess the impact of education  
32 on the employment and earnings of those students, to conduct the  
33 annual analysis of district-level and individual district or  
34 postsecondary education system performance in achieving priority  
35 educational outcomes, and to submit the required reports to the  
36 Legislature and the Governor. The information shall be provided  
37 to the extent permitted by federal statutes and regulations.

38 (ac) To provide the Agricultural Labor Relations Board with  
39 employee, wage, and employer information, for use in the  
40 investigation or enforcement of the

1 Alatorre-Zenovich-Dunlap-Berman Agricultural Labor Relations  
2 Act of 1975 (Part 3.5 (commencing with Section 1140) of Division  
3 2 of the Labor Code). The information shall be provided to the  
4 extent permitted by federal statutes and regulations.

5 (ad) (1) To enable the State Department of Health Care  
6 Services, the California Health Benefit Exchange, the Managed  
7 Risk Medical Insurance Board, and county departments and  
8 agencies to obtain information regarding employee wages,  
9 California employer names and account numbers, employer reports  
10 of wages and number of employees, and disability insurance and  
11 unemployment insurance claim information, for the purpose of:

12 (A) Verifying or determining the eligibility of an applicant for,  
13 or a recipient of, state health subsidy programs, limited to the  
14 Medi-Cal program provided pursuant to Chapter 7 (commencing  
15 with Section 14000) of Part 3 of Division 9 of the Welfare and  
16 Institutions Code, and the Medi-Cal Access Program provided  
17 pursuant to Chapter 2 (commencing with Section 15810) of Part  
18 3.3 of Division 9 of the Welfare and Institutions Code, when the  
19 verification or determination is directly connected with, and limited  
20 to, the administration of the state health subsidy programs  
21 referenced in this subparagraph.

22 (B) Verifying or determining the eligibility of an applicant for,  
23 or a recipient of, federal subsidies offered through the California  
24 Health Benefit Exchange, provided pursuant to Title 22  
25 (commencing with Section 100500) of the Government Code,  
26 including federal tax credits and cost-sharing assistance pursuant  
27 to the federal Patient Protection and Affordable Care Act (Public  
28 Law 111-148), as amended by the federal Health Care and  
29 Education Reconciliation Act of 2010 (Public Law 111-152), when  
30 the verification or determination is directly connected with, and  
31 limited to, the administration of the California Health Benefit  
32 Exchange.

33 (C) Verifying or determining the eligibility of employees and  
34 employers for health coverage through the Small Business Health  
35 Options Program, provided pursuant to Section 100502 of the  
36 Government Code, when the verification or determination is  
37 directly connected with, and limited to, the administration of the  
38 Small Business Health Options Program.

39 (2) The information provided under this subdivision shall be  
40 subject to the requirements of, and provided to the extent permitted

1 by, federal law and regulations, including Part 603 of Title 20 of  
2 the Code of Federal Regulations.

3 (ae) To provide any peace officer with the Investigations  
4 Division of the Department of Motor Vehicles with information  
5 pursuant to subdivision (i), when the requesting peace officer has  
6 been designated by the Chief of the Investigations Division and  
7 requests this information in the course of, and as part of, an  
8 investigation into identity theft, counterfeiting, document fraud,  
9 or consumer fraud, and there is reasonable suspicion that the crime  
10 is a felony and that the information would lead to relevant evidence  
11 regarding the identity theft, counterfeiting, document fraud, or  
12 consumer fraud. The information provided pursuant to this  
13 subdivision shall be provided to the extent permitted by federal  
14 law and regulations, and to the extent the information is available  
15 and accessible within the constraints and configurations of existing  
16 department records. Any person who receives any information  
17 under this subdivision shall make a written report of the  
18 information to the Investigations Division of the Department of  
19 Motor Vehicles, for filing under the normal procedures of that  
20 division.

21 (af) Until January 1, 2020, to enable the Department of Finance  
22 to prepare and submit the report required by Section 13084 of the  
23 Government Code that identifies all employers in California that  
24 employ 100 or more employees who receive benefits from the  
25 Medi-Cal program (Chapter 7 (commencing with Section 14000)  
26 of Part 3 of Division 9 of the Welfare and Institutions Code). The  
27 information used for this purpose shall be limited to information  
28 obtained pursuant to Section 11026.5 of the Welfare and  
29 Institutions Code and from the administration of personal income  
30 tax wage withholding pursuant to Division 6 (commencing with  
31 Section 13000) and the disability insurance program and may be  
32 disclosed to the Department of Finance only for the purpose of  
33 preparing and submitting the report and only to the extent not  
34 prohibited by federal law.

35 (ag) To provide, to the extent permitted by federal law and  
36 regulations, the Student Aid Commission with wage information  
37 in order to verify the employment status of an individual applying  
38 for a Cal Grant C award pursuant to subdivision (c) of Section  
39 69439 of the Education Code.

1 (ah) To enable the Department of Corrections and Rehabilitation  
2 to obtain quarterly wage data of former inmates who have been  
3 incarcerated within the prison system in order to assess the impact  
4 of rehabilitation services or the lack of these services on the  
5 employment and earnings of these former inmates. Quarterly data  
6 for a former inmate's employment status and wage history shall  
7 be provided for a period of one year, three years, and five years  
8 following release. The data shall only be used for the purpose of  
9 tracking outcomes for former inmates in order to assess the  
10 effectiveness of rehabilitation strategies on the wages and  
11 employment histories of those formerly incarcerated. The  
12 information shall be provided to the department to the extent not  
13 prohibited by federal law.

14 (ai) To enable federal, state, or local government departments  
15 or agencies, or their contracted agencies, subject to federal law,  
16 including the confidentiality, disclosure, and other requirements  
17 set forth in Part 603 of Title 20 of the Code of Federal Regulations,  
18 to evaluate, research, or forecast the effectiveness of public social  
19 services programs administered pursuant to Division 9  
20 (commencing with Section 10000) of the Welfare and Institutions  
21 Code, or Part A of Subchapter IV of Chapter 7 of the federal Social  
22 Security Act (42 U.S.C. Sec. 601 et seq.), when the evaluation,  
23 research, or forecast is directly connected with, and limited to, the  
24 administration of the public social services programs.

25 (aj) (1) To enable the California Workforce Development  
26 Board, the Chancellor of the California Community Colleges, the  
27 Superintendent of Public Instruction, the Department of  
28 Rehabilitation, the State Department of Social Services, the Bureau  
29 for Private Postsecondary Education, the Department of Industrial  
30 Relations, the Division of Apprenticeship Standards, the  
31 Department of Corrections and Rehabilitation, the Prison Industry  
32 Authority, the Employment Training Panel, and a chief elected  
33 official, as that term is defined in Section 3102(9) of Title 29 of  
34 the United States Code, to access any relevant quarterly wage data  
35 necessary for the evaluation and reporting of their respective  
36 program performance outcomes as required and permitted by  
37 various local, state, and federal laws pertaining to performance  
38 measurement and program evaluation, including responsibilities  
39 arising under ~~Section~~ *Sections* 14013, 14033, and 14042 of this  
40 code and Sections 2032 and 2038 of the Streets and Highways

1 Code; the federal Workforce Innovation and Opportunity Act  
2 (Public Law 113-128); the workforce metrics dashboard pursuant  
3 to paragraph (1) of subdivision (i) of Section 14013; the Adult  
4 Education Block Grant Program consortia performance metrics  
5 pursuant to Section 84920 of the Education Code; the economic  
6 and workforce development program performance measures  
7 pursuant to Section 88650 of the Education Code; and the  
8 California Community Colleges Economic and Workforce  
9 Development Program performance measures established in Part  
10 52.5 (commencing with Section 88600) of Division 7 of Title 3  
11 of the Education Code. Disclosures under this subdivision shall  
12 comply with federal and state privacy laws that require the  
13 informed consent from program participants of city and county  
14 departments or agencies that administer public workforce  
15 development programs for the evaluation, research, or forecast of  
16 their programs regardless of local, state, or federal funding source.

17 (2) The department shall do all of the following:

18 (A) Consistent with this subdivision, develop the minimum  
19 requirements for granting a request for disclosure of information  
20 authorized by this subdivision regardless of local, state, or federal  
21 funding source.

22 (B) Develop a standard application for submitting a request for  
23 disclosure of information authorized by this subdivision.

24 (C) Approve or deny a request for disclosure of information  
25 authorized by this subdivision, or request additional information,  
26 within 20 business days of receiving the standard application. The  
27 entity submitting the application shall respond to any request by  
28 the department for additional information within 20 business days  
29 of receipt of the department's request. Within 30 calendar days of  
30 receiving any additional information, the department shall provide  
31 a final approval or denial of the request for disclosure of  
32 information authorized by this subdivision. Any approval, denial,  
33 or request for additional information shall be in writing. Denials  
34 shall identify the reason or category of reasons for the denial.

35 (D) Make publicly available on the department's internet website  
36 all of the following:

37 (i) The minimum requirements for granting a request for  
38 disclosure of information authorized by this subdivision, as  
39 developed pursuant to subparagraph (A).



1 (ii) The standard application developed pursuant to subparagraph  
2 (B).

3 (iii) The timeframe for information request determinations by  
4 the department, as specified in subparagraph (C).

5 (iv) Contact information for assistance with requests for  
6 disclosures of information authorized by this subdivision.

7 (v) Any denials for requests of disclosure of information  
8 authorized by this subdivision, including the reason or category  
9 of reasons for the denial.

10 (ak) (1) To provide any peace officer with the Enforcement  
11 Branch of the Department of Insurance with both of the following:

12 (A) Information provided pursuant to subdivision (i) that relates  
13 to a specific insurance fraud investigation involving automobile  
14 insurance fraud, life insurance and annuity fraud, property and  
15 casualty insurance fraud, and organized automobile insurance  
16 fraud. That information shall be provided when the requesting  
17 peace officer has been designated by the Chief of the Fraud  
18 Division of the Department of Insurance and requests the  
19 information in the course of, and as part of, an investigation into  
20 the commission of a crime or other unlawful act when there is  
21 reasonable suspicion to believe that the crime or act may be  
22 connected to the information requested and would lead to relevant  
23 information regarding the crime or unlawful act.

24 (B) Employee, wage, employer, and state disability insurance  
25 claim information that relates to a specific insurance fraud  
26 investigation involving health or disability insurance fraud when  
27 the requesting peace officer has been designated by the Chief of  
28 the Fraud Division of the Department of Insurance and requests  
29 the information in the course of, and as part of, an investigation  
30 into the commission of a crime or other unlawful act when there  
31 is reasonable suspicion to believe that the crime or act may be  
32 connected to the information requested and would lead to relevant  
33 information regarding the crime or unlawful act.

34 (2) To enable the State Department of Developmental Services  
35 to obtain quarterly wage data *and unemployment insurance claim*  
36 *data* of consumers served by that department for the purposes of  
37 ~~monitoring~~ *monitoring, program operation and evaluation*, and  
38 evaluating employment ~~outcomes to determine the effectiveness~~  
39 ~~of outcomes~~, of the Employment First Policy, established pursuant  
40 to Section 4869 of the Welfare and Institutions Code.

1 (3) The information provided pursuant to this subdivision shall  
2 be provided to the extent permitted by federal statutes and  
3 regulations.

4 (aI) To provide the CalSavers Retirement Savings Board with  
5 employer tax information for use in the administration of, and to  
6 facilitate compliance with, the CalSavers Retirement Savings Trust  
7 Act (Title 21 (commencing with Section 100000) of the  
8 Government Code). The information should be limited to the tax  
9 information the director deems appropriate, and shall be provided  
10 to the extent permitted by federal laws and regulations.

11 (am) (1) To enable the Joint Enforcement Strike Force as  
12 established by Section 329, and the Labor Enforcement Task Force,  
13 as established pursuant to Assembly Bill 1464 of the 2011–12  
14 Regular Session (Chapter 21 of the Statutes of 2012), to carry out  
15 their duties.

16 (2) To provide an agency listed in subdivision (a) of Section  
17 329 intelligence, data, including confidential tax and fee  
18 information, documents, information, complaints, or lead referrals  
19 pursuant to Section 15925 of the Government Code.

20 (an) To enable the Bureau for Private Postsecondary Education  
21 to access and use any relevant quarterly wage data necessary to  
22 perform the labor market outcome reporting data match pursuant  
23 to Section 94892.6 of the Education Code. The information  
24 provided pursuant to this subdivision shall be provided to the extent  
25 permitted by state and federal laws and regulations.

26 (ao) To enable the Department of Fair Employment and Housing  
27 to carry out its duties, including ensuring compliance with Section  
28 12999 of the Government Code. Conduct related to information  
29 provided pursuant to this subdivision shall not be subject to the  
30 criminal sanctions set forth in subdivision (f) of Section 1094.

31 (ap) To enable the Cradle-to-Career Data System, as established  
32 by Article 2 (commencing with Section 10860) of Chapter 8.5 of  
33 Part 7 of Division 1 of Title 1 of the Education Code, to receive  
34 employment and earnings data and, as required of the director  
35 pursuant to Section 10871 of the Education Code, to provide  
36 information to the data system, to the extent permissible by federal  
37 laws and regulations.

38 (aq) (1) To enable the State Air Resources Board to receive  
39 unpaid final tax assessment information issued to a port drayage  
40 motor carrier or short-haul trucking service for misclassification

1 of a commercial driver, for use in the administration of, and to  
2 facilitate compliance with, Chapter 3.6 (commencing with Section  
3 39680) of Part 2 of Division 26 of the Health and Safety Code.  
4 The information shall be limited to the tax information the director  
5 deems appropriate for disclosure and shall be provided only to the  
6 extent permitted by federal laws and regulations.

7 (2) For purposes of this subdivision, the following definitions  
8 apply:

9 (A) "Commercial driver" has the same meaning as defined in  
10 Section 2810.4 of the Labor Code.

11 (B) "Port drayage motor carrier" has the same meaning as  
12 defined in Section 2810.4 of the Labor Code.

13 (C) "Short-haul trucking service" has the same meaning as  
14 defined in Section 39682 of the Health and Safety Code.

15 *SEC. 12. Section 4418.25 of the Welfare and Institutions Code*  
16 *is amended to read:*

17 4418.25. (a) (1) The department shall establish policies and  
18 procedures for the development of an annual community placement  
19 plan by regional centers. The community placement plan shall be  
20 based upon an individual program plan process as referred to in  
21 subdivision (a) of Section 4418.3 and shall be linked to the  
22 development of the annual State Budget. The department's policies  
23 shall address statewide priorities, plan requirements, and the  
24 statutory roles of regional centers, developmental centers, and  
25 regional resource development projects in the process of assessing  
26 consumers for community living and in the development of  
27 community resources.

28 (2) (A) In addition to the existing priorities to support the  
29 closure of the developmental centers and the development of  
30 services and supports to transition individuals from restrictive  
31 settings, including institutions for mental disease, the department  
32 also shall establish guidelines by which community placement  
33 plan funds appropriated through the budget process may be utilized  
34 for community resource development to address the needs for  
35 services and supports of consumers living in the community in  
36 accordance with Section 4679.

37 (B) The department may allocate funds to regional centers for  
38 purposes of community resource development as provided in this  
39 paragraph when the department determines that sufficient funding  
40 has been appropriated and reserved for a fiscal year for

1 development of the resources that are necessary to address the  
2 needs of persons moving from a developmental center pursuant  
3 to Section 4474.11, and no sooner than 30 days after the department  
4 has provided notice of this determination to the Joint Legislative  
5 Budget Committee and the appropriate policy and fiscal committees  
6 of the Legislature.

7 (b) (1) To reduce reliance on developmental centers and mental  
8 health facilities, including institutions for mental disease as  
9 described in Part 5 (commencing with Section 5900) of Division  
10 5, for which federal funding is not available, and out-of-state  
11 placements, the department shall establish a statewide specialized  
12 resource service that does all of the following:

13 (A) Tracks the availability of specialty residential beds and  
14 services.

15 (B) Tracks the availability of specialty clinical services.

16 (C) Coordinates the need for specialty services and supports in  
17 conjunction with regional centers.

18 (D) Identifies, subject to federal reimbursement, developmental  
19 center services and supports that can be made available to  
20 consumers residing in the community, when no other community  
21 resource has been identified.

22 (2) By September 1, 2012, regional centers shall provide the  
23 department with information about all specialty resources  
24 developed with the use of community placement plan funds and  
25 shall make these resources available to other regional centers.

26 (3) When allocating funding for community placement plans,  
27 priority shall be given to the development of needed statewide  
28 specialty services and supports, including regional community  
29 crisis homes.

30 (4) If approved by the director, funding may be allocated to  
31 facilities that meet the criteria of Sections 1267.75 and 1531.15  
32 of the Health and Safety Code.

33 (5) The department shall not provide community placement  
34 plan funds to develop programs that are ineligible for federal  
35 funding participation unless approved by the director.

36 (c) (1) The community placement plan shall provide for  
37 dedicated funding for comprehensive assessments of developmental  
38 center residents, for identified costs of moving individuals from  
39 developmental centers to the community, and for deflection of  
40 individuals from developmental center admission. The plans shall,

1 where appropriate, include budget requests for regional center  
2 operations, assessments, resource development, and ongoing  
3 placement costs. These budget requests are intended to provide  
4 supplemental funding to regional centers. The plan is not intended  
5 to limit the department's or regional centers' responsibility to  
6 otherwise conduct assessments and individualized program  
7 planning, and to provide needed services and supports in the least  
8 restrictive, most integrated setting in accord with the Lanterman  
9 Developmental Disabilities Services Act (Division 4.5  
10 (commencing with Section 4500)).

11 (2) (A) Regional centers shall complete a comprehensive  
12 assessment of a consumer residing in a developmental center on  
13 July 1, 2012, who meets both of the following criteria:

14 (i) The consumer is not committed pursuant to Section 1370.1  
15 of the Penal Code.

16 (ii) The consumer has not had such an assessment in the prior  
17 two years.

18 (B) The assessment shall include input from the regional center,  
19 the consumer, and, if appropriate, the consumer's family, legal  
20 guardian, conservator, or authorized representative, and shall  
21 identify the types of community-based services and supports  
22 available to the consumer that would enable the consumer to move  
23 to a community setting. Necessary services and supports not  
24 currently available in the community setting shall be considered  
25 for development pursuant to community placement planning and  
26 funding.

27 (C) Regional centers shall specify in the annual community  
28 placement plan how they will complete the required assessment  
29 and the timeframe for completing the assessment for each  
30 consumer. Initial assessments pursuant to this paragraph for  
31 individuals residing in a developmental center on July 1, 2012,  
32 shall be completed by December 31, 2015, unless a regional center  
33 demonstrates to the department that an extension of time is  
34 necessary and the department grants such an extension.

35 (D) The assessment completed in the prior two years, or the  
36 assessment completed pursuant to the requirements of this section,  
37 including any updates pursuant to subparagraph (E), shall be  
38 provided to both of the following:

1 (i) The individual program planning team and clients' rights  
2 advocate for the regional center in order to assist the planning team  
3 in determining the least restrictive environment for the consumer.

4 (ii) The superior court with jurisdiction over the consumer's  
5 placement at the developmental center, including the consumer's  
6 attorney of record and other parties known to the regional center.  
7 For judicial proceedings pursuant to Article 2 (commencing with  
8 Section 6500) of Chapter 2 of Part 2 of Division 6, the  
9 comprehensive assessment shall be included in the regional center's  
10 written report required by Section 6504.5. For all other  
11 proceedings, the regional center shall provide the comprehensive  
12 assessment to the court and parties to the case at least 14 days in  
13 advance of regularly scheduled judicial review. This clause shall  
14 not apply to consumers committed pursuant to Section 1370.1 of  
15 the Penal Code.

16 (E) The assessments described in subparagraph (D) shall be  
17 updated annually as part of the individual program planning process  
18 for as long as the consumer resides in the developmental center.  
19 To the extent appropriate, the regional center shall also provide  
20 relevant information from the statewide specialized resource  
21 service. The regional center shall notify the clients' rights advocate  
22 for the regional center of the time, date, and location of each  
23 individual program plan meeting that includes discussion of the  
24 results of the comprehensive assessment and updates to that  
25 assessment. The regional center shall provide this notice as soon  
26 as practicable following the completion of the comprehensive  
27 assessment or update and not less than 30 calendar days before  
28 the meeting. The clients' rights advocate may participate in the  
29 meeting unless the consumer objects on ~~his or her~~ *their* own behalf.

30 (d) The department shall review, negotiate, and approve regional  
31 center community placement plans for feasibility and  
32 reasonableness, including recognition of each regional centers'  
33 current developmental center population and their corresponding  
34 placement level, as well as each regional centers' need to develop  
35 new and innovative service models. The department shall hold  
36 regional centers accountable for the development and  
37 implementation of their approved plans. The regional centers shall  
38 report, as required by the department, on the outcomes of their  
39 plans. The department shall make aggregate performance data for

1 each regional center available, upon request, as well as data on  
2 admissions to, and placements from, each developmental center.

3 (e) Funds allocated by the department to a regional center for  
4 a community placement plan developed under this section shall  
5 be controlled through the regional center contract to ensure that  
6 the funds are expended for the purposes allocated. Funds allocated  
7 for community placement plans that are not used for that purpose  
8 may be transferred to Item 4300-003-0001 for expenditure in the  
9 state developmental centers if their population exceeds the  
10 budgeted level. Any unspent funds shall revert to the General Fund.

11 (f) Commencing May 1, 2013, and then on April 1, 2014, and  
12 on April 1 annually thereafter, the department shall provide to the  
13 fiscal and appropriate policy committees of the Legislature, and  
14 to the contractor for regional center clients' rights advocacy  
15 services under Section 4433, information on efforts to serve  
16 consumers with challenging service needs, including, but not  
17 limited to, all of the following:

18 (1) For each regional center, the number of consumers admitted  
19 to each developmental center, including the legal basis for the  
20 admissions.

21 (2) For each regional center, the number of consumers described  
22 in paragraph (2) of subdivision (a) of Section 7505 who were  
23 admitted to Fairview Developmental Center by court order pursuant  
24 to Article 2 (commencing with Section 6500) of Chapter 2 of Part  
25 2 of Division 6, and the number and lengths of stay of consumers,  
26 including those who have transitioned back to a community living  
27 arrangement.

28 (3) Outcome data related to the assessment process set forth in  
29 Section 4418.7, including the number of consumers who received  
30 assessments pursuant to Section 4418.7 and the outcomes of the  
31 assessments. Each regional center, commencing March 1, 2013,  
32 and then on February 1, 2014, and on February 1 annually  
33 thereafter, shall provide the department with information on  
34 alternative community services and supports provided to those  
35 consumers who were able to remain in the community following  
36 the assessments, and the unmet service needs that resulted in any  
37 consumers being admitted to Fairview Developmental Center.

38 (4) Progress in the development of needed statewide specialty  
39 services and supports, including regional community crisis options,  
40 as provided in paragraph (3) of subdivision (b). Each regional

1 center shall provide the department with a report containing the  
2 information described in this paragraph commencing March 1,  
3 2013, and then on February 1, 2014, and on February 1 annually  
4 thereafter.

5 (5) Progress in reducing reliance on mental health facilities  
6 ineligible for federal Medicaid funding, and out-of-state  
7 placements, including information on the utilization of those  
8 facilities, which shall include, by regional center, all of the  
9 following:

10 (A) The total number and age range of consumers placed in  
11 those facilities.

12 (B) The number of admissions.

13 (C) The reasons for admissions by category, including, but not  
14 limited to, incompetent-to-stand-trial (IST) commitment, Section  
15 6500 commitment, crisis stabilization, and lack of appropriate  
16 community placement.

17 (D) The lengths of stay of consumers.

18 (E) The type of facility.

19 (6) Information on the utilization of facilities serving consumers  
20 with challenging service needs that utilize delayed egress devices  
21 and secured perimeters, pursuant to Section 1267.75 or 1531.15  
22 of the Health and Safety Code, including the number of admissions,  
23 reasons for admissions, and lengths of stay of consumers, including  
24 those who have transitioned to less restrictive living arrangements.

25 (7) If applicable, any recommendations regarding additional  
26 rate exceptions or modifications beyond those allowed for under  
27 existing law that the department identifies as necessary to meet  
28 the needs of consumers with challenging service needs.

29 (g) Each regional center, commencing March 1, 2013, and then  
30 on February 1, 2014, and on February 1 annually thereafter, shall  
31 provide information to the department regarding the facilities  
32 described in paragraph (6) of subdivision (f), including, but not  
33 limited to, the number of admissions, reasons for admissions, and  
34 lengths of stay of consumers, including those who have transitioned  
35 to less restrictive living arrangements.

36 (h) Each institution for mental disease ~~that that, in the preceding~~  
37 ~~year, has admitted a regional center consumer in the preceding~~  
38 ~~year shall report on February 1, 2016, and on February 1 annually~~  
39 ~~thereafter, to consumer, including consumers whose placements~~  
40 ~~are not funded by a regional center, shall report quarterly on~~



February 1, May 1, August 1, and November 1, to the department, the regional center providing services to the consumer, and the contractor for regional center clients' rights advocacy services under Section 4433, all of the following: following in a format prescribed by the department:

- (1) The total number and—age age, race, and ethnicity of consumers placed in that facility.
- (2) The number of admissions.
- (3) The reasons for admissions by category.
- (4) The lengths of stay of consumers.
- (5) The funding source.

SEC. 13. Section 4474.16 of the Welfare and Institutions Code is amended to read:

4474.16. (a) (1) On or before January 10, 2020, 2023, and in conjunction with the Governor's proposed 2020–21 2023–24 budget, the State Department of Developmental Services shall submit to the Legislature an updated version of the safety net plan that was originally submitted pursuant to subdivision (a) of Section 4474.15. The

(2) The updated plan shall be developed in consultation with stakeholders and shall evaluate the progress made to create a safety net, identify the further areas the stakeholder community suggests evaluating, and recommendations from the stakeholder community, and shall consider new models of care for individuals whom private sector vendors cannot or will not serve. do all of the following:

(A) Evaluate the progress made to create a safety net, including services or residences intended to facilitate transitions or diversions from institutions for mental disease, the Canyon Springs Community Facility, the secure treatment program at Porterville Developmental Center, carceral settings such as prisons or jails, or other restrictive settings. This evaluation shall include data on the number of consumers who transitioned from those facilities since 2019, and to which setting type or living option.

(B) Identify areas the stakeholder community suggests evaluating and recommendations from the stakeholder community, which may include, but is not limited to, best practices for supporting individuals at risk of placement in restrictive settings, expanding or refining existing service or models of care, and developing new models of care for individuals whom private sector vendors cannot or will not serve.

1 (C) Provide data on the number of adolescents and adults with  
2 complex needs, statewide and by regional center, the number of  
3 special incidents involving restraints, as described in subparagraph  
4 (B) of paragraph (1) of subdivision (b) of Section 54327 of Title  
5 17 of the California Code of Regulations, and the number of  
6 admissions to institutions for mental diseases funded by regional  
7 centers and to the Canyon Springs Community Facility between  
8 January 1, 2020, and December 31, 2021.

9 (D) The department's strategic planning process, including how  
10 the department identifies service gaps, and how the department  
11 plans to address future needs in the community.

12 (b) Commencing July 1, 2023, and until December 31, 2026,  
13 the department shall provide quarterly updates to the appropriate  
14 policy and fiscal committees of the Legislature on the steps  
15 foreseen, planned, and completed in the development of services  
16 under the department's update to the Legislature pursuant to  
17 subdivision (a).

18 (c) Commencing July 1, 2023, and until December 31, 2026,  
19 the department shall make quarterly updates to the plan available  
20 on its internet website.

21 ~~(b)~~

22 (d) A report to be submitted pursuant to subdivision (a) shall  
23 be submitted in compliance with Section 9795 of the Government  
24 Code.

25 (e) For purposes of this section, "adolescents and adults with  
26 complex needs" has the same meaning as a "consumer with  
27 complex needs," as defined in subparagraph (C) of paragraph (4)  
28 of subdivision (c) of Section 4640.6.

29 SEC. 14. Section 4511.6 is added to the Welfare and Institutions  
30 Code, to read:

31 4511.6. (a) The department shall develop a pilot project to  
32 test the feasibility of remote consumer services and supports that  
33 use technology solutions.

34 (b) It is the intent of the Legislature to test whether remote  
35 services and supports could provide an effective option for  
36 consumers to lead more independent lives, increase their access  
37 to bilingual services, and, when chosen and safe, reduce system  
38 reliance on one-to-one in-person direct support.

39 (c) The department shall pilot remote services and supports as  
40 specified in subdivision (d).

1     (d) *In choosing consumers to pilot remote services and supports,*  
2 *the department shall consider consumer preference, the type and*  
3 *amount of services or supports and staffing needed to meet the*  
4 *consumer's individual program plan, and the consumer's*  
5 *individualized choices and their unique health, safety, and other*  
6 *needs. Consumers chosen for the pilot project shall represent*  
7 *diverse cultural and ethnic backgrounds, ages, and urban and*  
8 *rural regions of the state.*

9     (e) *The department shall work with an external entity with*  
10 *relevant program evaluation experience to both design and*  
11 *evaluate the pilot project.*

12     (f) *Providers shall be selected to participate in the pilot project*  
13 *by no later than March 1, 2023.*

14     (g) *The department shall report to the Legislature at quarterly*  
15 *briefings for legislative staff about the progress of the pilot project.*  
16 *Reporting shall include summaries of the relevant data collected*  
17 *by service providers and regional centers.*

18     (h) *The department shall submit a final evaluation report of the*  
19 *pilot project to the Legislature, in accordance with Section 9795*  
20 *of the Government Code, no later than January 10, 2026.*

21     (i) *Contracts to procure services to implement this section shall*  
22 *be exempt from the requirements contained in the Public Contract*  
23 *Code and the State Administrative Manual and from approval by*  
24 *the Department of General Services.*

25     (j) *Implementation of this section shall be subject to an*  
26 *appropriation by the Legislature for the purpose of this section.*

27     (k) *This section shall remain in effect only until January 1, 2030,*  
28 *and as of that date is repealed.*

29     SEC. 15. *Section 4519.2 of the Welfare and Institutions Code*  
30 *is amended to read:*

31     4519.2. (a) *Through the Developmental Services Task Force,*  
32 *the department shall identify key indicators to track the regional*  
33 *center system's delivery of services. These indicators shall include*  
34 *both local and statewide measures and shall include a*  
35 *recommendation for analysis and followup of any concerning*  
36 *trends, as well as a plan for reporting of best practices for use*  
37 *statewide. The department, with stakeholder input, shall also*  
38 *identify recommendations for measuring outcomes and improving*  
39 *outcomes for consumers. Goals for system improvement include*  
40 *enhancement of customer services for consumers and their families,*

1 facilitation of enhanced communication between regional centers  
2 and the state, and identification and dissemination of best practices  
3 for developmental services providers. The department shall report  
4 these recommended indicators, best practices, and  
5 recommendations for analysis to the Legislature no later than  
6 January 10, 2021.

7 (b) (1) Each regional center shall post the following information  
8 on its internet website in a format determined by the department  
9 no later than April 1, 2020, and shall update the information no  
10 less frequently than every six months until the department  
11 determines that statewide compliance with the federal Home and  
12 Community-Based Services (HCBS) Final Rule has been met, or  
13 January 1, 2025, whichever is earlier:

14 (A) The number of providers identified as needing assessment  
15 for HCBS compliance, broken down by provider type, as defined  
16 by the department.

17 (B) The number of providers within each provider type that  
18 have been inspected or reviewed for HCBS compliance.

19 (C) The number of providers within each provider type that  
20 have been determined to be HCBS compliant.

21 (D) The number of providers within each provider type that  
22 have been determined not to be HCBS compliant and the reason  
23 for lack of compliance.

24 (E) The number of providers, broken down by provider type,  
25 that have been identified as presumed to have the qualities of an  
26 institutional setting, as described in Sections 441.301(c)(5)(v) and  
27 441.710(a)(2)(v) of Title 42 of the Code of Federal Regulations.

28 (2) The department shall provide this information to the  
29 Legislature as statewide data and for each regional center, no later  
30 than May 1, 2020, and shall post that summary on its internet  
31 website.

32 (c) (1) The department shall update the Legislature annually,  
33 beginning on January 10, 2020, with the number of complaints  
34 filed at each regional center pursuant to Section 4731 for the prior  
35 fiscal year, and include the following information:

36 (A) The subject matter of complaints filed.

37 (B) How complaints were resolved.

38 (C) The timeframe within which resolutions to those complaints  
39 were provided by the regional center.

1 (D) The number of complaints that were appealed to the  
2 department, their resolution, and the timeframe within which a  
3 written administrative decision was issued.

4 (E) Demographic information, as identified by the department,  
5 about consumers on whose behalf the complaint was ~~filed~~, *filed*,  
6 *including the ethnicity of the consumer*.

7 (2) The update shall include data for the prior two fiscal years,  
8 as available.

9 (3) The department shall also post this data on its internet  
10 website.

11 ~~(d) The department shall update the Legislature annually,~~  
12 ~~beginning on January 10, 2020, with the number of fair hearing~~  
13 ~~requests filed pursuant to Section 4710.5 and the number of fair~~  
14 ~~hearing requests resolved or decided during the prior fiscal year~~  
15 ~~for each regional center and statewide, and include the following~~  
16 ~~information:~~

17 ~~(1) The reason for the fair hearing request aggregated by issue~~  
18 ~~type, as specified by the department.~~

19 ~~(2) The number of fair hearing requests resolved or decided by~~  
20 ~~type and average length of time between filing and resolution or~~  
21 ~~disposition of the case, as specified by the department.~~

22 ~~(3) The outcome of the resolution, if known.~~

23 ~~(4) Demographic information, as identified by the department,~~  
24 ~~about consumers on whose behalf the complaint was filed.~~

25 ~~(e)~~

26 ~~(d)~~ The department and each regional center shall include on  
27 their internet websites a link to the protection and advocacy agency  
28 designated pursuant to Division 4.7 (commencing with Section  
29 4900) and the clients' rights advocate contracted with pursuant to  
30 Section ~~15610.20~~. ~~4433~~. This ~~posting shall be completed no later~~  
31 ~~than March 1, 2020, and link~~ shall be posted on the home page of  
32 their internet websites, or in another standard location determined  
33 by the department.

34 ~~(f)~~

35 ~~(e)~~ On and after October 1, 2019, the department shall post all  
36 new directives that it issues to regional centers on its internet  
37 website.

38 ~~(g)~~

(f) Any reports submitted by the department to the Legislature pursuant to this section shall be submitted in compliance with Section 9795 of the Government Code.

*SEC. 16. Section 4519.10 of the Welfare and Institutions Code is amended to read:*

4519.10. (a) The Legislature finds and declares all of the following:

(1) The current service provider rate structure in the system administered by the State Department of Developmental Services lacks transparency, remains complex, is not tied to person-centered outcomes, and varies across providers who provide the same service in the same region.

(2) In 2016, the Legislature funded a rate study to address the sustainability, quality, and transparency of community-based services for individuals with developmental disabilities.

(3) The department, with the help of a consultant, completed the rate study in 2019 and subsequently submitted the study's findings and recommendations to the Legislature. Among other things, the study recommended all of the following:

(A) Within each service category, rate models that include components that may be regularly updated.

(B) Regional differentials to account for regional variance in the cost of living and doing business.

(C) Enhanced rates for services delivered in other languages, including American Sign Language.

(D) An optional add-on for direct service professional levels and wage differentials based on training and demonstrated competency.

(E) The consolidation of certain service codes.

(4) The rate study's fiscal impact analysis indicated that full implementation of these rate models would cost an additional one billion one hundred million dollars (\$1,100,000,000) from the General Fund, or one billion eight hundred million dollars (\$1,800,000,000) of total funds, in the 2019–20 fiscal year.

(5) The recommendations from the rate study and the associated rate models have not been implemented, even as rate study findings informed supplemental rate increases for many service categories in the 2019–20 fiscal year and three additional service categories in the 2020–21 fiscal year.

1 (6) For Medi-Cal eligible consumers, the department receives  
2 federal Medicaid reimbursements to support home- and  
3 community-based services provided to those consumers.

4 (7) Direct service professionals employed by service providers  
5 are critical to the quality and provision of services and supports  
6 to individuals with intellectual and developmental disabilities.

7 (8) A prevailing need and challenge within the developmental  
8 services system is moving from a compliance-based system to an  
9 outcomes-based system. Outcome measures must reinforce the  
10 system's core values of meeting individual needs based on  
11 person-centered planning. The implementation of rates, pursuant  
12 to this section, should support this person-centered transformation  
13 through consideration of incentive payments, alternative payment  
14 models, alternative service delivery, lessons learned from the  
15 COVID-19 pandemic period, person-centered and culturally and  
16 linguistically sensitive and competent approaches, training of direct  
17 service professionals, compliance with the federal home- and  
18 community-based services rule set to take effect on March 17,  
19 2023, and methods for assessing and reporting outcomes.

20 (9) To improve consumer outcomes and experiences and  
21 measure overall system performance, four goals should guide rate  
22 reform:

23 (A) Consumer experience.

24 (B) Equity.

25 (C) Quality and outcomes.

26 (D) System efficiencies.

27 (b) Therefore, it is the intent of the Legislature to phase in  
28 funding and policies beginning in the 2021–22 fiscal year to  
29 implement rate reform, which shall include a quality incentive  
30 program, create an enhanced person-centered, outcomes-based  
31 system, and complete this transformation by July 1, 2025.

32 (c) (1) (A) Commencing April 1, 2022, the department shall  
33 implement a rate increase for service providers that equals  
34 one-quarter of the difference between current rates and the  
35 ~~fully-funded~~ *fully funded* rate model for each provider.

36 ~~(B) Commencing in the 2022–23 fiscal year, the department~~  
37 ~~shall continue the adjusted rate provided in the 2021–22 fiscal year~~  
38 ~~and, additional funding shall be available for the quality incentive~~  
39 ~~program described in subdivision (c).~~

40 (C)

1 (B) Commencing ~~July~~ January 1, 2023, and continuing through  
2 the ~~2024-25~~ 2023-24 fiscal year, the department shall adjust rates  
3 to equal one-half of the difference between rates in effect March  
4 31, 2022, and the ~~fully-funded~~ fully funded rate model for each  
5 provider, and additional funding shall be available for the quality  
6 incentive program described in subdivision (e).

7 (i) Notwithstanding any other law or regulation, it is the intent  
8 of the Legislature that the majority of the rate increase described  
9 in this subparagraph for the 2022-23 fiscal year be used for the  
10 purpose of enhancing wages and benefits for staff who spend a  
11 minimum of 75 percent of their time providing direct services to  
12 consumers.

13 (ii) Commencing January 1, 2023, a provider shall not spend  
14 a smaller percentage of the rate increase on direct care staff wages  
15 and benefit costs than the corresponding percentage included for  
16 direct care staff wages and benefit costs in the rate models for  
17 each specific service.

18 (iii) A provider granted a rate increase pursuant to this section  
19 shall maintain documentation, subject to audit by the department  
20 or regional center, that the portion of the rate increase identified  
21 in this subparagraph was used to increase wages, salaries, or  
22 benefits of eligible staff members spending a minimum of 75  
23 percent of their time providing direct services to consumers at  
24 least at the same percentage as provided in the rate models.

25 (iv) For the purpose of this subparagraph, “direct services”  
26 are services, supports, care, supervision, or assistance provided  
27 by staff directly to a consumer to address the consumer’s needs,  
28 as identified in the individual program plan, and includes staff’s  
29 participation in training and other activities directly related to  
30 providing services to consumers, as well as program preparation  
31 functions as defined in Section 54302 of Title 17 of the California  
32 Code of Regulations.

33 (v) Commencing July 1, 2023, a vendor shall be in compliance  
34 with the home- and community-based final rule, effective March  
35 17, 2014, or implementing a corrective action plan, to be eligible  
36 for the quality incentive program described in subdivision (e).

37 ~~(D)~~

38 (C) Commencing July 1, ~~2025~~, 2024, the department shall  
39 implement the ~~fully-funded rate models~~ fully funded rate models.  
40 The fully funded rate models shall be implemented using two



1 payment components, a base rate equaling 90 percent of the rate  
2 model, and a quality incentive payment, equaling up to 10 percent  
3 of the rate model, to be implemented through the quality incentive  
4 program described in subdivision (e).

5 (2) (A) Effective July 1, ~~2025~~, 2024, it is the intent of the  
6 Legislature that rates be uniform within service categories and  
7 adjusted for geographic cost differentials, including differentials  
8 in wages, the cost of travel, and the cost of real estate.

9 (B) Providers who were not identified as requiring a rate increase  
10 in the rate study are not eligible for rate adjustments pursuant to  
11 paragraph (1).

12 (d) (1) Beginning in the 2021–22 fiscal year, the department  
13 shall implement a hold harmless policy for providers whose rates  
14 exceed rate model recommendations. The policy shall freeze a  
15 provider's existing rates until June 30, 2026, after which time the  
16 provider's rates shall be adjusted to equal the rates for other  
17 providers in the provider's service category and region.

18 (2) Notwithstanding paragraph 1, the department may adjust  
19 rates as a result of reviews or audits.

20 (e) In conjunction with implementing rate reform, the  
21 department shall implement a quality incentive program in order  
22 to improve consumer outcomes, service provider performance,  
23 and the quality of services.

24 (1) (A) The department shall, with input from stakeholders  
25 develop quality measures or benchmarks, or both, for consumer  
26 outcomes and regional center and service provider performance.  
27 Measures or benchmarks, or both, shall initially include process-  
28 and performance-related measures for service providers and, by  
29 the conclusion of the 2025–26 fiscal year, shall also evolve to  
30 include outcome measures at the individual consumer level. In  
31 developing the proposed measures or benchmarks, or both, the  
32 department shall do all of the following:

33 (i) Gather public input through regularly held public meetings  
34 that are accessible both virtually and by telephone. Public meeting  
35 agendas and meeting materials shall be posted at least three days  
36 in advance of any meeting and shared by various means, including  
37 internet website updates, focus groups, and other communication.

38 (ii) Provide documents, which may include, but are not limited  
39 to, updates, concept papers, interim reports, proposals, and  
40 performance and quality measures and benchmarks, and revisions

1 to these materials, to the Legislature and post these materials on  
2 an internet website for public comment at least 30 days, as required  
3 by the Centers for Medicare and Medicaid Services prior to  
4 submitting a request for federal funding.

5 (iii) Seek input from subject matter experts to understand options  
6 for outcomes-based system structures using person-centered  
7 planning and alternative payment models.

8 (B) (i) On or before April 1, 2022, proposed quality measures  
9 or benchmarks, or both, shall be provided to the Legislature and  
10 posted for public comment, as described in subparagraph (A).  
11 After the department has considered public comments and modified  
12 the proposed quality measures or benchmarks, or both, as needed,  
13 the measures or benchmarks, or both, shall be finalized and  
14 implemented in the 2022–23 fiscal year.

15 (ii) On or before April 1 of any subsequent year in which the  
16 department proposes new or revised quality measures or  
17 benchmarks, or both, the proposed measures or benchmarks, or  
18 both, shall be provided to the Legislature and posted for public  
19 comment, as described in subparagraph (A). After the department  
20 has considered public comments and modified the proposed quality  
21 measures or benchmarks, or both, as needed, the measures or  
22 benchmarks, or both, shall be finalized and implemented in the  
23 upcoming fiscal year.

24 (2) The department shall develop a quality incentive payment  
25 structure for providers meeting the quality measures or  
26 benchmarks, or both, developed pursuant to paragraph (1). The  
27 department shall issue written directives to define the way quality  
28 incentive payments will be made to service providers based on  
29 quality measures or benchmarks, or both, developed and  
30 implemented under this subdivision.

31 (f) On or before March 1, 2022, the department shall provide a  
32 status update to the Legislature regarding progress toward  
33 implementing rate reform and creating an enhanced  
34 person-centered, outcomes-based system. The status update may  
35 include, but is not limited to, information about all of the following:

36 (1) Additional changes that may be necessary to effectively  
37 implement rate reform, including adding and amending statutes,  
38 regulations, and other departmental policies.

39 (2) Compliance with rules of the federal Medicaid program,  
40 including the home- and community-based services final rule

1 effective on March 17, 2014, and state compliance consistent with  
2 the current federal guidance, including all of the following:

3 (A) A definition of what it means to be compliant with the rules  
4 of the federal Medicaid program.

5 (B) Whether there are certain service categories that are unlikely  
6 to achieve compliance due to the structure of the service, and, if  
7 so, which categories this includes.

8 (C) Data about the total number of providers within each service  
9 category and the estimated number of providers that have not yet  
10 achieved compliance.

11 (3) Program and system improvement efforts made as a result  
12 of the state's home- and community-based services additional  
13 federal funding, including the one-time investment implemented  
14 beginning in the 2021–22 state fiscal year, including a description  
15 of how the department will build on the investments.

16 (g) For purposes of this section, “rate model” means a rate model  
17 included in the rate study submitted to the Legislature pursuant to  
18 Section 4519.8.

19 (h) Notwithstanding the rulemaking provisions of the  
20 Administrative Procedure Act (Chapter 3.5 (commencing with  
21 Section 11340) of Part 1 of Division 3 of Title 2 of the Government  
22 Code), the department may implement, interpret, or make specific  
23 this section by means of written directives or similar instructions  
24 through July 1, 2025.

25 (i) Implementation of this section is contingent upon the  
26 approval of federal funding.

27 *SEC. 17. Section 4571 of the Welfare and Institutions Code is*  
28 *amended to read:*

29 4571. (a) It is the intent of the Legislature to ensure the  
30 well-being of consumers, taking into account their informed and  
31 expressed choices. It is further the intent of the Legislature to  
32 support the satisfaction and success of consumers through the  
33 delivery of quality services and supports. Evaluation of the services  
34 that consumers receive is a key aspect to the service system.  
35 Utilizing the information that consumers and their families provide  
36 about those services in a reliable and meaningful way is also critical  
37 to enable the department to assess the performance of the state's  
38 developmental services system and to improve services for  
39 consumers in the future. To that end, the State Department of  
40 Developmental Services, on or before January 1, 2010, shall

1 implement an improved, unified quality assessment system, in  
2 accordance with this section.

3 (b) The department, in consultation with stakeholders, shall  
4 identify a valid and reliable quality assurance instrument that  
5 assesses consumer and family satisfaction, provision of services  
6 in a linguistically and culturally competent manner, and personal  
7 outcomes. The instrument shall do all of the following:

8 (1) Provide nationally validated, benchmarked, consistent,  
9 reliable, and measurable data for the department's Quality  
10 Management System.

11 (2) Enable the department and regional centers to compare the  
12 performance of California's developmental services system against  
13 other states' developmental services systems and to assess quality  
14 and performance among all of the regional centers.

15 (3) Include outcome-based measures such as health, safety,  
16 well-being, relationships, interactions with people who do not have  
17 a disability, employment, quality of life, integration, choice,  
18 service, and consumer satisfaction.

19 (4) Include outcome-based measures to evaluate the linguistic  
20 and cultural competency of regional center services that are  
21 provided to consumers across their lifetimes.

22 (c) To the extent that funding is available, the instrument  
23 identified in subdivision (b) may be expanded to collect additional  
24 data requested by the State Council on Developmental Disabilities.

25 (d) (1) The department shall contract with an independent  
26 agency or organization to ~~implement~~ *implement*, by January 1,  
27 2010, the quality assurance instrument described in subdivision  
28 (b). The contractor shall be experienced in all of the following:

29 ~~(1)~~

30 (A) Designing valid quality assurance instruments for  
31 developmental service systems.

32 ~~(2)~~

33 (B) Tracking outcome-based measures such as health, safety,  
34 well-being, relationships, interactions with people who do not have  
35 a disability, employment, quality of life, integration, choice,  
36 service, and consumer satisfaction.

37 ~~(3)~~

38 (C) Developing data systems.

39 ~~(4)~~

40 (D) Data analysis and report preparation.

1     ~~(5)~~

2     (E) Assessments of the services received by consumers who are  
3 moved from developmental centers to the community, given the  
4 Legislature's historic recognition of a special obligation to ensure  
5 the well-being of these persons.

6     ~~(6)~~

7     (F) Issues related to linguistic and cultural competency.

8     (2) *Notwithstanding any other law, the contract and any*  
9 *amendments pursuant to this section shall be exempt from all of*  
10 *the following:*

11     (A) *The personal services contracting requirements of Article*  
12 *4 (commencing with Section 19130) of Chapter 5 of Part 2 of*  
13 *Division 5 of Title 2 of the Government Code.*

14     (B) *The Public Contract Code, the State Contracting Manual,*  
15 *and the State Administration Manual.*

16     (C) *The approval of the Department of General Services.*

17     (D) *The approval of the Department of Technology.*

18     (3) *The exemptions specified in paragraph (2) shall remain in*  
19 *effect until there is more than one available assessment that meets*  
20 *the criteria in subdivision (b) from an organization that also meets*  
21 *the criteria in this subdivision.*

22     (e) The department, in consultation with the contractor described  
23 in subdivision (d), shall establish the methodology by which the  
24 quality assurance instrument shall be administered, including, but  
25 not limited to, how often and to whom the quality assurance will  
26 be administered, and the design of a stratified, random sample  
27 among the entire population of consumers served by regional  
28 centers. The contractor shall provide aggregate information for all  
29 regional centers and the state as a whole. At the request of a  
30 consumer or the family member of a consumer, the survey shall  
31 be conducted in the primary language of the consumer or family  
32 member surveyed.

33     (f) The department shall contract with the state council to collect  
34 data for the quality assurance instrument described in subdivision  
35 (b). If, during the data collection process, the state council identifies  
36 any suspected violation of the legal, civil, or service rights of a  
37 consumer, or if it determines that the health and welfare of a  
38 consumer is at risk, that information shall be provided immediately  
39 to the regional center providing case management services to the  
40 consumer. At the request of the consumer or family, when

1 appropriate, a copy of the completed survey shall be provided to  
2 the regional center providing case management services to improve  
3 the consumer's quality of services through the individual planning  
4 process.

5 (g) The department, in consultation with stakeholders, shall  
6 annually review the data collected from and the findings of the  
7 quality assurance instrument described in subdivision (b) and  
8 accept recommendations regarding additional or different criteria  
9 for the quality assurance instrument in order to assess the  
10 performance of the state's developmental services system and  
11 improve services for consumers.

12 (h) (1) Each regional center shall annually present data collected  
13 from, and the findings of, the quality assurance instrument  
14 described in subdivision (b) for that regional center, at a public  
15 meeting of its governing board in order to assess the comparative  
16 performance of the regional center and identify needed  
17 improvements in services for consumers, including, but not limited  
18 to, case management services. Notice of this meeting shall also be  
19 posted on the regional center's internet website at least 30 days  
20 prior to the meeting and shall be sent to regional center consumers  
21 and families and individual stakeholders at least 30 days prior to  
22 the meeting. The governing board shall provide a sufficient public  
23 comment period so members of the public may provide comments.  
24 Each regional center, in holding the meeting required by this  
25 subdivision, shall ensure that the meeting and meeting materials  
26 provide language access, as required by state and federal law.

27 (2) All regional center-specific reports generated by the  
28 department pursuant to this subdivision shall be made publicly  
29 available on the regional center's internet website in a  
30 machine-readable format, but shall not contain any personal  
31 identifying information about any person assessed.

32 (3) Within 60 days following its annual presentation, each  
33 regional center shall submit a report to the department regarding  
34 its implementation of the requirements of this section. The report  
35 shall include, but shall not be limited to, both of the following:

36 (A) Copies of the presentation described in paragraph (1),  
37 minutes from the meeting, and attendee comments.

38 (B) The regional center's recommendations and plans to use  
39 the information to address regional center priorities, strategic  
40 directions to improve specific areas of performance, or both.

1 (i) All reports generated pursuant to this section shall be made  
2 publicly available, but shall not contain any personal identifying  
3 information about any person assessed.

4 (j) All data collected pursuant to subdivision (c) shall be  
5 provided to the state council, but shall *not* contain ~~no~~ personal  
6 identifying information about the persons being surveyed.

7 (k) Implementation of this section shall be subject to an annual  
8 appropriation of funds in the Budget Act for this purpose.

9 *SEC. 18. Section 4640.6 of the Welfare and Institutions Code*  
10 *is amended to read:*

11 4640.6. (a) In approving regional center contracts, the  
12 department shall ensure that regional center staffing patterns  
13 demonstrate that direct service coordination are the highest priority.

14 (b) Contracts between the department and regional centers shall  
15 require that regional centers implement an emergency response  
16 system that ensures that a regional center staff person will respond  
17 to a consumer, or individual acting on behalf of a consumer, within  
18 two hours of the time an emergency call is placed. This emergency  
19 response system shall be operational 24 hours per day, 365 days  
20 per year.

21 (c) Contracts between the department and regional centers shall  
22 require regional centers to have service coordinator-to-consumer  
23 ratios, as follows:

24 (1) An average service coordinator-to-consumer ratio of 1 to  
25 62 for all consumers who have not moved from the developmental  
26 centers to the community since April 14, 1993. In no case shall a  
27 service coordinator for these consumers have an assigned caseload  
28 in excess of 79 consumers for more than 60 days.

29 (2) An average service coordinator-to-consumer ratio of 1 to  
30 45 for all consumers who have moved from a developmental center  
31 to the community since April 14, 1993. In no case shall a service  
32 coordinator for these consumers have an assigned caseload in  
33 excess of 59 consumers for more than 60 days.

34 (3) ~~Commencing January 1, 2004, the~~ *The* following  
35 coordinator-to-consumer ratios shall apply:

36 (A) ~~All consumers three years of age and younger and for~~  
37 consumers enrolled in the Home and Community-based Services  
38 Waiver program for persons with developmental disabilities, an  
39 average service coordinator-to-consumer ratio of 1 to 62.

(B) All consumers who have moved from a developmental center to the community since April 14, 1993, and have lived continuously in the community for at least 12 months, an average service coordinator-to-consumer ratio of 1 to 62.

(C) All consumers who have not moved from the developmental centers to the community since April 14, 1993, and who are not described in subparagraph (A), an average service coordinator-to-consumer ratio of 1 to 66.

*(4) Notwithstanding paragraphs (1) to (3), inclusive, an average service coordinator-to-consumer ratio of 1 to 40 for all consumers five years of age and younger.*

*(5) (A) Notwithstanding paragraphs (1) to (3), inclusive, enhanced service coordination, including a service coordinator-to-consumer ratio of 1 to 40, shall be available to consumers identified as having low or no purchase-of-service expenditures, as identified in the annual Budget Act.*

*(B) Enhanced service coordination, including the coordinator-to-consumer ratio specified in this paragraph shall be available to specified consumers until one of the following criteria are met:*

*(i) The family or consumer is no longer interested in receiving enhanced service coordination.*

*(ii) All of the consumer's identified service needs have been thoroughly explored and offered.*

*(iii) All information and training were provided to the family and consumer and the consumer's focused support goals were completed.*

*(iv) The family or consumer feels confident that they can continue to receive the assistance they need to be successful without receiving enhanced service coordination.*

*(C) For the purposes of this paragraph, "enhanced service coordination" may include, but is not limited to, all of the following:*

*(i) Regular contact, via telephone or video, with consumers or their families.*

*(ii) Maintaining no less than quarterly contact with consumers or their families.*

*(iii) Having annual individual program plan or individual family service plan meetings.*

~~(4)~~



1 (6) (A) Notwithstanding paragraphs (1) to (3), inclusive, an  
2 average service coordinator-to-consumer ratio of 1 to 25 for all  
3 consumers with complex needs.

4 (B) The coordinator-to-consumer ratio specified in this  
5 paragraph shall not be authorized for a consumer for more than  
6 12 months after the consumer is no longer receiving the services  
7 described in clause (i) or (ii) of subparagraph (C), after the  
8 consumer is no longer placed in a facility described in clause (iii),  
9 (iv), (v), (vi), (vii), or (viii) of subparagraph (C), or after the  
10 department has made the determination described in clause (ix) of  
11 subparagraph (C), unless an extension is granted. An extension  
12 shall be based on a new and complete comprehensive assessment  
13 of the consumer's needs. An extension may be granted one time,  
14 and shall not exceed six months.

15 (C) For the purposes of this paragraph, a "consumer with  
16 complex needs" means a consumer who is any of the following:

17 (i) Receiving regional center-funded mobile crisis services by  
18 a department-approved vendor, or has received those services  
19 within the past six months.

20 (ii) Receiving state-operated crisis assessment stabilization team  
21 services, or has received those services within the past six months.

22 (iii) Placed in a community crisis home, as defined in Section  
23 4698.

24 (iv) Placed in an acute crisis home operated by the department,  
25 pursuant to Section 4418.7.

26 (v) Placed in a locked psychiatric setting or has been placed in  
27 a locked psychiatric setting in the past six months.

28 (vi) Placed in an institution for mental disease, as described in  
29 Part 5 (commencing with Section 5900) of Division 5.

30 (vii) Placed out of state as a result of appropriate services being  
31 unavailable within the state, pursuant to Section 4519.

32 (viii) Placed in a county jail and eligible for diversion pursuant  
33 to Chapter 2.8 (commencing with Section 1001.20) of Title 6 of  
34 Part 2 of the Penal Code or found incompetent to stand trial as  
35 described in Section 1370.1 of the Penal Code.

36 (ix) A person the department has determined cannot be safely  
37 served in a developmental center, as described in Section 6510.5.

38 ~~(5)~~

39 (7) For purposes of paragraph (3), service coordinators may  
40 have a mixed caseload of consumers three years of age and

1 younger, consumers enrolled in the Home and Community-based  
2 Services Waiver program for persons with developmental  
3 disabilities, and other consumers if the overall average caseload  
4 is weighted proportionately to ensure that overall regional center  
5 average service coordinator-to-consumer ratios as specified in  
6 paragraph (3) are met. For purposes of paragraph (3), in no case  
7 shall a service coordinator have an assigned caseload in excess of  
8 84 for more than 60 days.

9 (d) For purposes of this section, “service coordinator” means a  
10 regional center employee whose primary responsibility includes  
11 preparing, implementing, and monitoring consumers’ individual  
12 program plans, securing and coordinating consumer services and  
13 supports, and providing placement and monitoring activities.

14 (e) In order to ensure that caseload ratios are maintained  
15 pursuant to this section, each regional center shall provide service  
16 coordinator caseload data to the department, annually for each  
17 fiscal year. The data shall be submitted in the format, including  
18 the content, prescribed by the department. Within 30 days of receipt  
19 of data submitted pursuant to this subdivision, the department shall  
20 make a summary of the data available to the public upon request.  
21 The department shall verify the accuracy of the data when  
22 conducting regional center fiscal audits. Data submitted by regional  
23 centers pursuant to this subdivision shall:

24 (1) Only include data on service coordinator positions as defined  
25 in subdivision (d). Regional centers shall identify the number of  
26 positions that perform service coordinator duties on less than a  
27 full-time basis. Staffing ratios reported pursuant to this subdivision  
28 shall reflect the appropriate proportionality of these staff to  
29 consumers served.

30 (2) Be reported separately for service coordinators whose  
31 caseload includes any of the following:

32 (A) Consumers who are three years of age and older and who  
33 have not moved from the developmental center to the community  
34 since April 14, 1993.

35 (B) Consumers who have moved from a developmental center  
36 to the community since April 14, 1993.

37 (C) Consumers who are younger than three years of age.

38 (D) Consumers enrolled in the Home and Community-based  
39 Services Waiver program.

1 (3) Not include positions that are vacant for more than 60 days  
2 or new positions established within 60 days of the reporting month  
3 that are still vacant.

4 (4) For purposes of calculating caseload ratios for consumers  
5 enrolled in the Home and Community-based Services Waiver  
6 program, vacancies shall not be included in the calculations.

7 (f) The department shall provide technical assistance and require  
8 a plan of correction for any regional center that, for two consecutive  
9 reporting periods, fails to maintain service coordinator caseload  
10 ratios required by this section or otherwise demonstrates an  
11 inability to maintain appropriate staffing patterns pursuant to this  
12 section. Plans of correction shall be developed following input  
13 from the state council, local organizations representing consumers,  
14 family members, regional center employees, including recognized  
15 labor organizations, and service providers, and other interested  
16 parties.

17 (g) Each time that new funds are appropriated in the annual  
18 Budget Act to the department for allocation to regional centers  
19 with the stated purpose of reducing caseload ratios, both of the  
20 following shall occur:

21 (1) Each regional center shall hold at least one public meeting  
22 during that year to receive stakeholder input to help inform the  
23 way the regional center allocates new positions funded through  
24 the allocation to that regional center. Stakeholders may include  
25 the state council, local organizations representing consumers,  
26 family members, community-based organizations that represent  
27 the ethnic and language diversity of the regional center catchment  
28 area, regional center employees, including recognized labor  
29 organizations and service providers, and other interested parties.  
30 The public meeting required by this paragraph fulfills a regional  
31 center's requirement under subdivision (f) to gather input regarding  
32 its plan of correction if the plan of correction is discussed during  
33 the meeting.

34 (2) On or before October 10 of the year of the appropriation of  
35 funds, and again by March 10 of that fiscal year, and in a format  
36 prescribed by the department, each regional center shall report the  
37 following information to the department:

38 (A) The number of new service coordinator positions created  
39 with the funds allocated to reduce caseload ratios.

1 (B) Data on current caseload ratios as of March 1 and October  
2 1, respectively.

3 (C) Any other information determined by the department.

4 (3) The department shall post the information required to be  
5 reported by a regional center pursuant to paragraph (2) on its  
6 internet website.

7 (h) Contracts between the department and regional center shall  
8 require the regional center to have, or contract for, all of the  
9 following areas:

10 (1) Criminal justice expertise to assist the regional center in  
11 providing services and supports to consumers involved in the  
12 criminal justice system as a victim, defendant, inmate, or parolee.

13 (2) Special education expertise to assist the regional center in  
14 providing advocacy and support to families seeking appropriate  
15 educational services from a school district.

16 (3) Family support expertise to assist the regional center in  
17 maximizing the effectiveness of support and services provided to  
18 families.

19 (4) Housing expertise to assist the regional center in accessing  
20 affordable housing for consumers in independent or supportive  
21 living arrangements.

22 (5) Community integration expertise to assist consumers and  
23 families in accessing integrated services and supports and improved  
24 opportunities to participate in community life.

25 (6) Quality assurance expertise, to assist the regional center to  
26 provide the necessary coordination and cooperation with the state  
27 council, in conducting quality-of-life assessments and coordinating  
28 the regional center quality assurance efforts.

29 (7) Each regional center shall employ at least one consumer  
30 advocate who is a person with developmental disabilities.

31 (8) Other staffing arrangements related to the delivery of  
32 services that the department determines are necessary to ensure  
33 maximum cost-effectiveness and to ensure that the service needs  
34 of consumers and families are met.

35 (9) Implicit bias training, pursuant to Section 4511.1, to increase  
36 service access and equity in the developmental services system.

37 (10) Language access and cultural competency services and  
38 support, pursuant to Section 4620.4, to allow consistent access to  
39 information and services and to advance person-centered health

1 and human services equity outcomes toward the diminishment of  
2 racial, ethnic, and other disparities.

3 (i) Any regional center proposing a staffing arrangement that  
4 substantially deviates from the requirements of this section shall  
5 request a waiver from the department. Prior to granting a waiver,  
6 the department shall require a detailed staffing proposal, including,  
7 but not limited to, how the proposed staffing arrangement will  
8 benefit consumers and families served, and shall demonstrate clear  
9 and convincing support for the proposed staffing arrangement from  
10 constituencies served and impacted, that include, but are not limited  
11 to, consumers, families, providers, advocates, and recognized labor  
12 organizations. In addition, the regional center shall submit to the  
13 department any written opposition to the proposal from  
14 organizations or individuals, including, but not limited to,  
15 consumers, families, providers, and advocates, including  
16 recognized labor organizations. The department may grant waivers  
17 to regional centers that sufficiently demonstrate that the proposed  
18 staffing arrangement is in the best interest of consumers and  
19 families served, complies with the requirements of this chapter,  
20 and does not violate any contractual requirements. A waiver shall  
21 be approved by the department for up to 12 months, at which time  
22 a regional center may submit a new request pursuant to this  
23 subdivision.

24 (j) From February 1, 2009, to June 30, 2010, inclusive, the  
25 following shall not apply:

26 (1) The service coordinator-to-consumer ratio requirements of  
27 paragraph (1), and subparagraph (C) of paragraph (3), of  
28 subdivision (c).

29 (2) The requirements of subdivision (e). The regional centers  
30 shall, instead, maintain sufficient service coordinator caseload data  
31 to document compliance with the service coordinator-to-consumer  
32 ratio requirements in effect pursuant to this section.

33 (3) The requirements of paragraphs (1) to (6), inclusive, of  
34 subdivision (h).

35 (k) From July 1, 2010, until June 30, 2013, the following shall  
36 not apply:

37 (1) The service coordinator-to-consumer ratio requirements of  
38 paragraph (1), and subparagraph (C) of paragraph (3), of  
39 subdivision (c).

(2) The requirements of paragraphs (1) to (6), inclusive, of subdivision (h).

(l) (1) Any contract between the department and a regional center entered into on and after January 1, 2003, shall require that all employment contracts entered into with regional center staff or contractors be available to the public for review, upon request. For purposes of this subdivision, an employment contract or portion thereof may not be deemed confidential nor unavailable for public review.

(2) Notwithstanding paragraph (1), the social security number of the contracting party may not be disclosed.

(3) The term of the employment contract between the regional center and an employee or contractor shall not exceed the term of the state's contract with the regional center.

*SEC. 19. Section 4646 of the Welfare and Institutions Code is amended to read:*

4646. (a) It is the intent of the Legislature to ensure that the individual program plan and provision of services and supports by the regional center system is centered on the individual and the family of the individual with developmental disabilities and takes into account the needs and preferences of the individual and the family, if appropriate, as well as promoting community integration, independent, productive, and normal lives, and stable and healthy environments. It is the further intent of the Legislature to ensure that the provision of services to consumers and their families be effective in meeting the goals stated in the individual program plan, reflect the preferences and choices of the consumer, and reflect the cost-effective use of public resources.

(b) The individual program plan is developed through a process of individualized needs determination. The individual with developmental disabilities and, if appropriate, the individual's parents, legal guardian or conservator, or authorized representative, shall have the opportunity to actively participate in the development of the plan.

(c) An individual program plan shall be developed for any person who, following intake and assessment, is found to be eligible for regional center services. These plans shall be completed within 60 days of the completion of the assessment. At the time of intake, the regional center shall inform the consumer and, ~~when~~ *if* appropriate, the consumer's parents, legal guardian or

1 conservator, or authorized representative, of the services available  
2 through the state council and the protection and advocacy agency  
3 designated by the Governor pursuant to federal law, and shall  
4 provide the address and telephone numbers of those agencies.

5 (d) Individual program plans shall be prepared jointly by the  
6 planning team. Decisions concerning the consumer's goals,  
7 objectives, and services and supports that will be included in the  
8 consumer's individual program plan and purchased by the regional  
9 center or obtained from generic agencies shall be made by  
10 agreement between the regional center representative and the  
11 consumer or, ~~when~~ *if* appropriate, the parents, legal guardian,  
12 conservator, or authorized representative at the program plan  
13 meeting.

14 (e) Regional centers shall comply with the request of a consumer  
15 or, ~~when~~ *if* appropriate, the request of the consumer's parents, legal  
16 guardian, conservator, or authorized representative, that a  
17 designated representative receive written notice of all meetings to  
18 develop or revise the individual program plan and of all notices  
19 sent to the consumer pursuant to Section 4710. The designated  
20 representative may be a parent or family member.

21 (f) Notwithstanding any other law, until June 30, ~~2022~~, 2023,  
22 a meeting regarding the provision of services and supports by the  
23 regional center, including a meeting to develop or revise the  
24 individual program plan, shall be held by remote electronic  
25 communications if requested by the consumer or, if appropriate,  
26 if requested by the consumer's parents, legal guardian, conservator,  
27 or authorized representative.

28 (g) At the conclusion of an individual program plan meeting,  
29 an authorized representative of the regional center shall provide  
30 to the consumer, in written or electronic format, a list of the  
31 agreed-upon services and supports, and, if known, the projected  
32 start date, the frequency and duration of the services and supports,  
33 and the provider. The authorized representative of the regional  
34 center shall sign the list of agreed-upon services and supports at  
35 that time. The consumer, or ~~when~~ *if* appropriate, the consumer's  
36 parent, legal guardian, conservator, or authorized representative  
37 shall sign the list of agreed-upon services and supports prior to its  
38 implementation. The consumer, or ~~when~~ *if* appropriate, the  
39 consumer's parent, legal guardian, conservator, or authorized  
40 representative, may elect to delay receipt of the list of agreed-upon

1 services and supports pending final agreement, as described in  
2 subdivision (h). If the consumer, or ~~when~~ *if* appropriate, the  
3 consumer's parent, legal guardian, conservator, or authorized  
4 representative, elects to delay the receipt of the list of agreed-upon  
5 services and supports for 15 days, the list shall be provided in the  
6 ~~native~~ *preferred* language of the consumer, or *of* the consumer's  
7 parent, legal guardian, or authorized representative.

8 (h) If a final agreement regarding the services and supports to  
9 be provided to the consumer cannot be reached at a program plan  
10 meeting, then a subsequent program plan meeting shall be  
11 convened within 15 days, or later at the request of the consumer  
12 or, ~~when~~ *if* appropriate, the parents, legal guardian, conservator,  
13 or authorized representative or ~~when~~ *if* agreed to by the planning  
14 team. The list of the agreed-upon services and supports described  
15 in subdivision (g) and signed by the authorized representative of  
16 the regional center shall be provided, in writing or electronically,  
17 at the conclusion of the subsequent program plan meeting, and  
18 shall be provided in the ~~native~~ *preferred* language of the consumer,  
19 or *of* the consumer's parent, legal guardian, conservator, or  
20 authorized representative. Additional program plan meetings may  
21 be held with the agreement of the regional center representative  
22 and the consumer or, ~~when~~ *if* appropriate, the parents, legal  
23 guardian, conservator, or authorized representative.

24 (i) An authorized representative of the regional center and the  
25 consumer or, ~~when~~ *if* appropriate, the consumer's parent, legal  
26 guardian, conservator, or authorized representative shall sign the  
27 individual program plan and the list of the agreed-upon services  
28 and supports prior to its implementation. If the consumer or, ~~when~~  
29 *if* appropriate, the consumer's parent, legal guardian, conservator,  
30 or authorized representative, does not agree with all components  
31 of the individual program plan, the consumer may indicate that  
32 disagreement on the plan. Disagreement with specific plan  
33 components shall not prohibit the implementation of services and  
34 supports agreed to by the consumer or, ~~when~~ *if* appropriate, the  
35 consumer's parent, legal guardian, conservator, or authorized  
36 representative. If the consumer or, ~~when~~ *if* appropriate, the  
37 consumer's parent, legal guardian, conservator, or authorized  
38 representative, does not agree with the plan in whole or in part,  
39 the consumer shall be sent written notice of the fair hearing rights;



1 *notice of their appeal rights*, as required by ~~Section 4701~~. Sections  
2 *4701 and 4710*.

3 (j) (1) A regional center shall communicate in the consumer's  
4 ~~native preferred~~ language, or, ~~when if~~ appropriate, the ~~native~~  
5 *preferred* language of the consumer's family, legal guardian,  
6 conservator, or authorized representative, during the planning  
7 process for the individual program plan, including during the  
8 program plan meeting, and including providing alternative  
9 communication services, as required by Sections 11135 to 11139.8,  
10 inclusive, of the Government Code and implementing regulations.

11 (2) A regional center shall provide alternative communication  
12 services, including providing copies of the list of services and  
13 supports, and the individual program plan in the ~~native preferred~~  
14 language of the consumer or the consumer's family, legal guardian,  
15 conservator, or authorized representative, or both, as required by  
16 Sections 11135 to 11139.8, inclusive, of the Government Code  
17 and implementing regulations.

18 (3) The ~~native preferred~~ language of the consumer or the  
19 consumer's family, legal guardian, conservator, or authorized  
20 representative, or both, shall be documented in the individual  
21 program plan.

22 *SEC. 20. Section 4646.4 of the Welfare and Institutions Code*  
23 *is amended to read:*

24 4646.4. (a) Regional centers shall ensure, at the time of  
25 development, scheduled review, or modification of a consumer's  
26 individual program plan developed pursuant to Sections 4646 and  
27 4646.5, or of an individualized family service plan pursuant to  
28 Section 95020 of the Government Code, the establishment of an  
29 internal process. This internal process shall ensure adherence with  
30 federal and state law and regulation, and ~~when if~~ purchasing  
31 services and supports, shall ensure all of the following:

32 (1) Conformance with the regional center's purchase of service  
33 policies, as approved by the department pursuant to subdivision  
34 (d) of Section 4434.

35 (2) Utilization of generic services and supports ~~when if~~  
36 appropriate. The individualized family service planning team for  
37 infants and toddlers eligible under Section 95014 of the  
38 Government Code may determine that a medical service identified  
39 in the individualized family service plan is not available through  
40 the family's private health insurance policy or health care service

1 plan and therefore, in compliance with the timely provision of  
2 service requirements contained in Part 303 (commencing with  
3 Section 303.1) of Title 34 of the Code of Federal Regulations, will  
4 be funded by the regional center.

5 (3) Utilization of other services and sources of funding as  
6 contained in Section 4659.

7 (4) Consideration of the family's responsibility for providing  
8 similar services and supports for a minor child without disabilities  
9 in identifying the consumer's service and support needs as provided  
10 in the least restrictive and most appropriate setting. In this  
11 determination, regional centers shall take into account the  
12 consumer's need for extraordinary care, services, supports and  
13 supervision, and the need for timely access to this care.

14 (5) *Commencing October 1, 2022, consideration of information*  
15 *obtained from the consumer and, if appropriate, the parents, legal*  
16 *guardian, conservator, or authorized representative about the*  
17 *consumer's need for the services, barriers to service access, and*  
18 *other information.*

19 (b) At the time of development, scheduled review, or  
20 modification of a consumer's individual program plan developed  
21 pursuant to Sections 4646 and 4646.5, or of an individualized  
22 family service plan pursuant to Section 95020 of the Government  
23 Code, the consumer, or, ~~where if~~ *if* appropriate, the parents, legal  
24 guardian, or conservator, shall provide copies of their health benefit  
25 cards under which the consumer is eligible to receive health  
26 benefits, including, but not limited to, private health insurance, a  
27 health care service plan, Medi-Cal, Medicare, and TRICARE. If  
28 the individual, or, ~~where if~~ *if* appropriate, the parents, legal guardians,  
29 or conservators, ~~have no such~~ *do not have health* benefits, the  
30 regional center shall not use that fact to negatively impact the  
31 services that the individual may or may not receive from the  
32 regional center.

33 (c) Final decisions regarding the consumer's individual program  
34 plan shall be made pursuant to Section 4646.

35 (d) Final decisions regarding the individualized family service  
36 plan shall be made pursuant to Section 95020 of the Government  
37 Code.

38 *SEC. 21. Section 4659.2 of the Welfare and Institutions Code*  
39 *is amended to read:*

1     4659.2. (a) For the purposes of this section, the following  
2 definitions apply:

3     (1) “Physical restraint” means any behavioral or mechanical  
4 restraint, as defined in Section 1180.1 of the Health and Safety  
5 Code.

6     (2) “Chemical restraint” means a drug that is used to control  
7 behavior and that is used in a manner not required to treat the  
8 patient’s medical conditions.

9     (3) “Seclusion” means involuntary confinement of a person  
10 alone in a room or an area as defined in subdivision (e) of Section  
11 1180.1 of the Health and Safety Code.

12     (4) “Long-term health care facility” means a facility, as defined  
13 in Section 1418 of the Health and Safety Code, that is required to  
14 report to a regional center pursuant to Section 54327 of Title 17  
15 of the California Code of Regulations.

16     (5) “Acute psychiatric hospital” means a facility, as defined in  
17 subdivision (b) of Section 1250 of the Health and Safety Code,  
18 including an institution for mental disease, that is a regional center  
19 vendor.

20     (6) “Regional center vendor” means an agency, individual, or  
21 service provider that a regional center has approved to provide  
22 vendored or contracted services or supports pursuant to paragraph  
23 (3) of subdivision (a) of Section 4648.

24     (7) “Community crisis home” means a facility, as defined in  
25 Section 4698, that is a regional center vendor.

26     (8) “Mental health rehabilitation center” means a residential  
27 facility that is licensed by the State Department of Health Care  
28 Services and is a regional center vendor.

29     (b) (1) All regional center vendors that provide crisis or  
30 residential services or supported living services, including  
31 community crisis homes and mental health rehabilitation centers,  
32 long-term health care facilities, and acute psychiatric hospitals  
33 shall report to the agency designated pursuant to subdivision (i)  
34 of Section 4900 all of the following:

35     (A) Each death or serious injury of a person occurring during,  
36 or related to, the use of seclusion, physical restraint, or chemical  
37 restraint, or any combination thereof.

38     (B) Any unexpected or suspicious death, regardless of whether  
39 the cause is immediately known.

1 (C) Any allegation of sexual assault, as defined in Section  
2 15610.63, in which the alleged perpetrator is a staff member,  
3 service provider, or facility employee or contractor.

4 (D) Any report made to the local law enforcement agency in  
5 the jurisdiction in which the facility is located that involves  
6 physical abuse, as defined in Section 15610.63, in which a staff  
7 member, service provider, or facility employee or contractor is  
8 implicated.

9 (2) The reports described in paragraph (1) shall be made no later  
10 than the close of the business day following the death or serious  
11 injury. The report shall include the encrypted identifier of the  
12 person involved, and the name, street address, and telephone  
13 number of the facility.

14 (c) (1) On a monthly basis, all regional center vendors that  
15 provide crisis or residential services or supported living services,  
16 long-term health care facilities, and acute psychiatric hospitals  
17 shall report to the *State Department of Developmental Services,*  
18 *the regional center providing services to the consumer, the*  
19 *vendor, regional center, if different, and the agency designated*  
20 pursuant to subdivision (i) of Section 4900 all of the following:

21 (A) The number of incidents of seclusion and the duration of  
22 time spent per incident in seclusion.

23 (B) The number of incidents of the use of behavioral restraints  
24 and the duration of time spent per incident of restraint.

25 (C) The number of times an involuntary emergency medication  
26 is used to control behavior.

27 (2) The reports required pursuant to paragraph (1) shall include  
28 the name, street address, and telephone number of the facility.

29 *SEC. 22. Section 4685.8 of the Welfare and Institutions Code*  
30 *is amended to read:*

31 4685.8. (a) The department shall implement a statewide  
32 Self-Determination Program. The Self-Determination Program  
33 shall be available in every regional center catchment area to provide  
34 participants and their families, within an individual budget,  
35 increased flexibility and choice, and greater control over decisions,  
36 resources, and needed and desired services and supports to  
37 implement their IPP. As of July 1, 2021, the program shall begin  
38 to be available on a voluntary basis to all regional center consumers  
39 who are eligible for the Self-Determination Program.

1 (b) The department, in establishing the statewide program, shall  
2 do both of the following:

3 (1) Set targets and benchmarks as set forth in paragraph (1) of  
4 subdivision (r).

5 (2) Address all of the following:

6 (A) Oversight of expenditure of self-determined funds and the  
7 achievement of participant outcomes over time.

8 (B) Increased participant control over which services and  
9 supports best meet the participant's needs and the IPP objectives.

10 A participant's unique support system may include the purchase  
11 of existing service offerings from service providers or local  
12 businesses, hiring their own support workers, or negotiating unique  
13 service arrangements with local community resources.

14 (C) Comprehensive person-centered planning, including an  
15 individual budget and services that are outcome based.

16 (D) Consumer and family training to ensure understanding of  
17 the principles of self-determination, the planning process, and the  
18 management of budgets, services, and staff.

19 (E) Choice of independent facilitators, who meet standards and  
20 certification requirements established by the department, and who  
21 can assist with the functions specified in paragraph (2) of  
22 subdivision (c).

23 (F) Choice of financial management services providers who  
24 meet standards and certification requirements established by the  
25 department, and who can carry out the functions specified in  
26 paragraph (1) of subdivision (c).

27 (G) Innovation that will more effectively allow participants to  
28 achieve their goals.

29 (H) Long-term sustainability of the Self-Determination Program  
30 by doing all of the following:

31 (i) Requiring IPP teams, when developing the individual budget,  
32 to determine the services, supports and goods necessary for each  
33 consumer based on the needs and preferences of the consumer,  
34 and when appropriate the consumer's family, and the effectiveness  
35 of each option in meeting the goals specified in the IPP, and the  
36 cost effectiveness of each option, as specified in subparagraph (D)  
37 of paragraph (6) of subdivision (a) of Section 4648.

38 (ii) The department may review final individual budgets that  
39 are at or above a spending threshold determined by the department  
40 of all individual budgets and use information from its review in

1 the aggregate to develop additional program guidance and verify  
2 compliance with federal and state laws and other requirements.

3 (c) For purposes of this section, the following definitions apply:

4 (1) “Financial management services” means services or  
5 functions that assist the participant to manage and direct the  
6 distribution of funds contained in the individual budget, and ensure  
7 that the participant has the financial resources to implement their  
8 IPP throughout the year. These may include bill paying services  
9 and activities that facilitate the employment of service and support  
10 workers by the participant, including, but not limited to, fiscal  
11 accounting, tax withholding, compliance with relevant state and  
12 federal employment laws, assisting the participant in verifying  
13 provider qualifications, including criminal background checks,  
14 and expenditure reports. The financial management services  
15 provider shall meet the applicable requirements of Title 17 of the  
16 California Code of Regulations and other specific qualifications  
17 or certifications established by the department. ~~The costs of~~  
18 ~~financial management services shall be paid by the participant out~~  
19 ~~of the participant’s individual budget, except for the cost of~~  
20 ~~obtaining the criminal background check specified in subdivision~~  
21 ~~(u).~~

22 (2) “Independent facilitator” means a person, selected and  
23 directed by the participant, who is not otherwise providing services  
24 to the participant pursuant to their IPP and is not employed by a  
25 person providing services to the participant. The independent  
26 facilitator may assist the participant in making informed decisions  
27 about the individual budget, and in locating, accessing, and  
28 coordinating services and supports consistent with the participant’s  
29 IPP. The independent facilitator is available to assist in identifying  
30 immediate and long-term needs, developing options to meet those  
31 needs, leading, participating, or advocating on behalf of the  
32 participant in the person-centered planning process and  
33 development of the IPP, and obtaining identified services and  
34 supports. The cost of the independent facilitator, if any, shall be  
35 paid by the participant out of the participant’s individual budget.  
36 An independent facilitator shall receive training in the principles  
37 of self-determination, the person-centered planning process, and  
38 the other responsibilities described in this paragraph at the  
39 independent facilitator’s own cost. The independent facilitator

1 shall meet standards and certification requirements established by  
2 the department.

3 (3) "Individual budget" means the amount of regional center  
4 purchase of service funding available to the participant for the  
5 purchase of services and supports necessary to implement the IPP.  
6 The individual budget shall be determined using a fair, equitable,  
7 and transparent methodology.

8 (4) "IPP" means individual program plan, as described in Section  
9 4646.

10 (5) "Participant" means an individual, and when appropriate,  
11 the participant's parents, legal guardian or conservator, or  
12 authorized representative, who has been deemed eligible for, and  
13 has voluntarily agreed to participate in, the Self-Determination  
14 Program.

15 (6) "Self-determination" means a voluntary delivery system  
16 consisting of a defined and comprehensive mix of services and  
17 supports, selected and directed by a participant through  
18 person-centered planning, in order to meet the objectives in their  
19 IPP. Self-determination services and supports are designed to assist  
20 the participant to achieve personally defined outcomes in  
21 community settings that promote inclusion. The Self-Determination  
22 Program shall only fund services and supports provided pursuant  
23 to this division that the federal Centers for Medicare and Medicaid  
24 Services determines are eligible for federal financial participation.

25 (7) "Spending Plan" means the plan the participant develops to  
26 use their available individual budget funds to purchase goods,  
27 services, and supports necessary to implement their individual  
28 program plan (IPP). The spending plan shall identify the cost of  
29 each good, service, and support that will be purchased with regional  
30 center funds. The total amount of the spending plan cannot exceed  
31 the amount of the individual budget. A copy of the spending plan  
32 shall be attached to the participant's IPP.

33 (d) Participation in the Self-Determination Program is fully  
34 voluntary. A participant may choose to participate in, and may  
35 choose to leave, the Self-Determination Program at any time. A  
36 regional center shall not require or prohibit participation in the  
37 Self-Determination Program as a condition of eligibility for, or  
38 the delivery of, services and supports otherwise available under  
39 this division. Participation in the Self-Determination Program shall

1 be available to any regional center consumer who meets the  
2 following eligibility requirements:

3 (1) The participant has a developmental disability, as defined  
4 in Section 4512, and is receiving services pursuant to this division.

5 (2) The consumer does not live in a licensed long-term health  
6 care facility, as defined in paragraph (44) of subdivision (a) of  
7 Section 54302 of Title 17 of the California Code of Regulations.

8 An individual, and when appropriate the individual's parent, legal  
9 guardian or conservator, or authorized representative, who is not  
10 eligible to participate in the Self-Determination Program pursuant  
11 to this paragraph may request that the regional center provide  
12 person-centered planning services in order to make arrangements  
13 for transition to the Self-Determination Program, provided that  
14 the individual is reasonably expected to transition to the community  
15 within 90 days. In that case, the regional center shall initiate  
16 person-centered planning services within 60 days of that request.

17 (3) The participant agrees to all of the following terms and  
18 conditions:

19 (A) The participant shall receive an orientation that meets the  
20 standards set or developed by the department to the  
21 Self-Determination Program prior to enrollment, which includes  
22 the principles of self-determination, the role of the independent  
23 facilitator and the financial management services provider,  
24 person-centered planning, and development of a budget.

25 (B) The participant shall utilize the services and supports  
26 available within the Self-Determination Program only when generic  
27 services and supports are not available.

28 (C) The participant shall only purchase services and supports  
29 necessary to implement their IPP and shall comply with any and  
30 all other terms and conditions for participation in the  
31 Self-Determination Program described in this section.

32 (D) The participant shall manage Self-Determination Program  
33 services and supports within the participant's individual budget.

34 (E) The participant shall utilize the services of a financial  
35 management services provider of their own choosing and who is  
36 vendored by a regional center and who meets the qualifications in  
37 paragraph (1) of subdivision (c).

38 (F) The participant may utilize the services of an independent  
39 facilitator of their own choosing for the purpose of providing  
40 services and functions as described in paragraph (2) of subdivision



1 (c). If the participant elects not to use an independent facilitator,  
2 the participant may use their regional center service coordinator  
3 to provide the services and functions described in paragraph (2)  
4 of subdivision (c).

5 (G) If eligible, with the assistance of the regional center, if  
6 needed, timely apply for Medi-Cal in order to maximize federal  
7 funding. The participant may consider institutional deeming in  
8 order to qualify for Medi-Cal services.

9 (e) A participant who is not Medi-Cal eligible may participate  
10 in the Self-Determination Program and receive self-determination  
11 services and supports if all other program eligibility requirements  
12 are met and the services and supports are otherwise eligible for  
13 federal financial participation.

14 (f) The additional federal financial participation funds generated  
15 by the former participants of the self-determination pilot projects  
16 authorized pursuant to Section 13 of Chapter 1043 of the Statutes  
17 of 1998, as amended, or pursuant to Article 4 (commencing with  
18 Section 4669.2) of Chapter 5, shall be used to maximize the ability  
19 of Self-Determination Program participants to direct their own  
20 lives and to ensure the department and regional centers successfully  
21 implement the program as follows:

22 (1) First, to offset the cost to the department for the criminal  
23 background check conducted pursuant to subdivision~~(tt)~~ (v) and  
24 other administrative costs incurred by the department in  
25 implementing the Self-Determination Program.

26 (2) With the remaining funds, the department, in consultation  
27 with stakeholders, including a statewide self-determination  
28 advisory workgroup, shall prioritize the use of the funds to meet  
29 the needs of participants, increase service access and equity, and  
30 reduce disparities, and to implement the program, including costs  
31 associated with all of the following:

32 (A) Independent facilitators to assist with a participant's initial  
33 person-centered planning meeting.

34 (B) Development of the participant's initial individual budget.

35 (C) Joint training of consumers, family members, regional center  
36 staff, and members of the local volunteer advisory committee  
37 established pursuant to paragraph (1) of subdivision~~(v)~~ (w).

38 (D) Regional center operations to increase support for transition  
39 to the Self-Determination Program or for caseload ratio  
40 enhancement.

1 (E) To offset the costs to the regional centers in implementing  
2 the Self-Determination Program.

3 (F) To support the Statewide Self-Determination Advisory  
4 Committee established pursuant to paragraph (2) of subdivision  
5 ~~(v)~~: (w).

6 (g) If at any time during participation in the Self-Determination  
7 Program a regional center determines that a participant is no longer  
8 eligible to continue in, or a participant voluntarily chooses to exit,  
9 the Self-Determination Program, the regional center shall provide  
10 for the participant's transition from the Self-Determination Program  
11 to other services and supports. This transition shall include the  
12 development of a new IPP that reflects the services and supports  
13 necessary to meet the individual's needs. The regional center shall  
14 ensure that there is no gap in services and supports during the  
15 transition period.

16 (h) An individual determined to be ineligible for or who  
17 voluntarily exits the Self-Determination Program shall be permitted  
18 to return to the Self-Determination Program upon meeting all  
19 applicable eligibility criteria and upon approval of the participant's  
20 planning team, as described in subdivision (j) of Section 4512. An  
21 individual who has voluntarily exited the Self-Determination  
22 Program shall not return to the program for at least 12 months.

23 (i) An individual who participates in the Self-Determination  
24 Program may elect to continue to receive self-determination  
25 services and supports if the individual transfers to another regional  
26 center catchment area, provided that the individual remains eligible  
27 for the Self-Determination Program pursuant to subdivision (d).  
28 The balance of the participant's individual budget shall be  
29 reallocated to the regional center to which the participant transfers.

30 (j) The IPP team shall utilize the person-centered planning  
31 process to develop the IPP for a participant. The IPP shall detail  
32 the goals and objectives of the participant that are to be met through  
33 the purchase of participant-selected services and supports. The  
34 IPP team shall determine the individual budget to ensure the budget  
35 assists the participant to achieve the outcomes set forth in the  
36 participant's IPP and ensures their health and safety. The completed  
37 individual budget shall be attached to the IPP.

38 (k) The participant shall implement their IPP, including choosing  
39 and purchasing the services and supports allowable under this  
40 section necessary to implement the plan. A participant is exempt

1 from the cost control restrictions regarding the purchases of  
2 services and supports pursuant to Section 4648.5. A regional center  
3 shall not prohibit the purchase of any service or support that is  
4 otherwise allowable under this section.

5 (l) A participant shall have all the rights established in Sections  
6 4646 to 4646.6, inclusive, and Chapter 7 (commencing with Section  
7 4700).

8 (m) (1) Except as provided in paragraph (4), the IPP team shall  
9 determine the initial and any revised individual budget for the  
10 participant using the following methodology:

11 (A) (i) Except as specified in clause (ii), for a participant who  
12 is a current consumer of the regional center, their individual budget  
13 shall be the total amount of the most recently available 12 months  
14 of purchase of service expenditures for the participant.

15 (ii) An adjustment may be made to the amount specified in  
16 clause (i) if both of the following occur:

17 (I) The IPP team determines that an adjustment to this amount  
18 is necessary due to a change in the participant's circumstances,  
19 needs, or resources that would result in an increase or decrease in  
20 purchase of service expenditures, or the IPP team identifies prior  
21 needs or resources that were unaddressed in the IPP, which would  
22 have resulted in an increase or decrease in purchase of service  
23 expenditures. When adjusting the budget, the IPP team shall  
24 document the specific reason for the adjustment in the IPP.

25 (II) The regional center certifies on the individual budget  
26 document that regional center expenditures for the individual  
27 budget, including any adjustment, would have occurred regardless  
28 of the individual's participation in the Self-Determination Program.

29 (iii) For purposes of clauses (i) and (ii), the amount of the  
30 individual budget shall not be increased to cover the cost of the  
31 independent ~~facilitator or the financial management services~~  
32 *facilitator*.

33 (B) For a participant who is either newly eligible for regional  
34 center services or who does not have 12 months of purchase service  
35 expenditures, the participant's individual budget shall be calculated  
36 as follows:

37 (i) The IPP team shall identify the services and supports needed  
38 by the participant and available resources, as required by Section  
39 4646.

(ii) The regional center shall calculate the cost of providing the services and supports to be purchased by the regional center by using the average cost paid by the regional center for each service or support unless the regional center determines that the consumer has a unique need that requires a higher or lower cost. The IPP team also shall document the specific reason for the adjustment in the IPP. The regional center shall certify on the individual budget document that this amount would have been expended using regional center purchase of service funds regardless of the individual's participation in the Self-Determination Program.

(iii) For purposes of clauses (i) and (ii), the amount of the individual budget shall not be increased to cover the cost of the independent ~~facilitator or the financial management services~~ *facilitator*.

(2) The amount of the individual budget shall be available to the participant each year for the purchase of program services and supports. An individual budget shall be calculated no more than once in a 12-month period, unless revised to reflect a change in circumstances, needs, or resources of the participant using the process specified in clause (ii) of subparagraph (A) of paragraph (1).

(3) The spending plan shall be assigned to uniform budget categories developed by the department in consultation with stakeholders and distributed according to the timing of the anticipated expenditures in the IPP and in a manner that ensures that the participant has the financial resources to implement the IPP throughout the year.

(4) The department, in consultation with stakeholders, may develop alternative methodologies for individual budgets that are computed in a fair, transparent, and equitable manner and are based on consumer characteristics and needs, and that include a method for adjusting individual budgets to address a participant's change in circumstances or needs.

(n) Annually, participants may transfer up to 10 percent of the funds originally distributed to any budget category set forth in paragraph (3) of ~~subdivision (m)~~ *subdivision (m)* to another budget category or categories. Transfers in excess of 10 percent of the original amount allocated to any budget category may be made upon the approval of the regional center or the participant's IPP team.

1 (o) Consistent with the implementation date of the IPP, the IPP  
2 team shall annually ascertain from the participant whether there  
3 are any circumstances or needs that require a change to the annual  
4 individual budget. Based on that review, the IPP team shall  
5 calculate a new individual budget consistent with the methodology  
6 identified in subdivision (m).

7 (p) (1) The department, as it determines necessary, may adopt  
8 regulations to implement the procedures set forth in this section.  
9 Any regulations shall be adopted in accordance with the  
10 requirements of Chapter 3.5 (commencing with Section 11340) of  
11 Part 1 of Division 3 of Title 2 of the Government Code.

12 (2) Notwithstanding paragraph (1) and Chapter 3.5 (commencing  
13 with Section 11340) of Part 1 of Division 3 of Title 2 of the  
14 Government Code, and only to the extent that all necessary federal  
15 approvals are obtained, the department, without taking any further  
16 regulatory action, shall implement, interpret, or make specific this  
17 section by means of program directives or similar instructions until  
18 the time regulations are adopted. It is the intent of the Legislature  
19 that the department be allowed this temporary authority as  
20 necessary to implement program changes only until completion  
21 of the regulatory process.

22 (q) The department, in consultation with stakeholders, shall  
23 develop informational materials about the Self-Determination  
24 Program. The department shall ensure that regional centers are  
25 trained in the principles of self-determination, the mechanics of  
26 the Self-Determination Program, and the rights of consumers and  
27 families as candidates for, and participants in, the  
28 Self-Determination Program.

29 (r) Each regional center shall be responsible for implementing  
30 the Self-Determination Program as a term of its contract under  
31 Section 4629. As part of implementing the program, the regional  
32 center shall do all of the following:

33 (1) Meet the Self-Determination targets approved by the  
34 department, meet benchmarks established by the department in  
35 areas including timely enrollment, diversity of consumers served,  
36 and reduction of disparities in the individual budget of participants  
37 from racial and ethnic communities, and be eligible for incentives  
38 for exceeding these targets and benchmarks once the department  
39 has established a performance incentives program.

(2) Develop and implement an outreach and training plan about the Self-Determination program for the diverse communities served by the regional center, including in congregate settings. Information shall be provided in plain language, in alternative formats and alternative modes of communication and provide language access as required by state and federal law. Obtain input from stakeholders, including consumers and families that reflect the ethnic and language diversity of the regional center's consumers, about the effectiveness of this outreach and training and other activities that may be effective in reducing disparities in these programs.

(3) Annually report the enrollment, individual budget data, and purchase of service expenditure data for the Self-Determination Program consistent with the criteria in subdivisions (a) to (c), inclusive, of Section 4519.5.

(4) Assist eligible participants and their families in applying for Medi-Cal, in order to maximize federal funding and assist interested participants who wish to pursue institutional deeming in order to qualify for Medi-Cal services.

(5) At least annually, in addition to annual certification, conduct an additional review of all final individual budgets for participants at the regional center which are at or above a spending threshold that is specified by the department through directive consistent with federal and state requirements. This information may be used in the aggregate to provide training, program guidance, and verify compliance with state and federal requirements.

(6) Review the spending plan to verify that goods and services eligible for federal financial participation are not used to fund goods or services available through generic agencies.

(7) Contract with local consumer or family-run organizations and consult with the local volunteer advisory committee established pursuant to paragraph (1) of ~~subdivision (v)~~ *subdivision (w)* to conduct outreach through local meetings or forums to consumers and their families to provide information about the Self-Determination Program and to help ensure that the program is available to a diverse group of participants, with special outreach to underserved communities.

(8) Collaborate with the local consumer or family-run organizations identified in paragraph (1) to jointly conduct training about the Self-Determination Program. The regional center shall

1 consult with the local volunteer advisory committee established  
2 pursuant to paragraph (1) of ~~subdivision (v)~~ *subdivision (w)* in  
3 planning for the training, and the local volunteer advisory  
4 committee may designate members to represent the advisory  
5 committee at the training.

6 (9) Train all service coordinators and fair hearing specialists in  
7 the principles of self-determination, the mechanics of the  
8 Self-Determination Program, and the rights of consumers and  
9 families. The training shall be conducted in collaboration with the  
10 local volunteer advisory committee.

11 (10) Provide payment to the financial management services  
12 provider for spending plan expenses through a not less than  
13 semi-monthly pay schedule.

14 (s) The financial management services provider shall provide  
15 the participant and the regional center service coordinator with a  
16 monthly individual budget statement that describes the amount of  
17 funds allocated by budget category, the amount spent in the  
18 previous 30-day period, and the amount of funding that remains  
19 available under the participant's individual budget.

20 (t) Only the financial management services provider is required  
21 to apply for vendorization in accordance with Subchapter 2  
22 (commencing with Section 54300) of Chapter 3 of Division 2 of  
23 Title 17 of the California Code of Regulations for the  
24 Self-Determination Program. All other service and support  
25 providers shall not be on the federal debarment list and shall have  
26 applicable state licenses, certifications, or other state required  
27 documentation, including documentation of any other qualifications  
28 required by the department, but are exempt from the vendorization  
29 requirements set forth in Title 17 of the California Code of  
30 Regulations when serving participants in the Self-Determination  
31 Program.

32 *(u) The regional center shall pay the full costs of the*  
33 *participant's financial management services provider.*

34 ~~(tt)~~

35 (v) To protect the health and safety of participants in the  
36 Self-Determination Program, the department shall require a  
37 criminal background check in accordance with all of the following:

38 (1) The department shall issue a program directive that identifies  
39 nonvended providers of services and supports who shall obtain

1 a criminal background check pursuant to this subdivision. At a  
2 minimum, these staff shall include both of the following:

3 (A) Individuals who provide direct personal care services to a  
4 participant.

5 (B) Other nonvendored providers of services and supports for  
6 whom a criminal background check is requested by a participant  
7 or the participant's financial management service.

8 (2) Subject to the procedures and requirements of this  
9 subdivision, the department shall administer criminal background  
10 checks consistent with the department's authority and the process  
11 described in Sections 4689.2 to 4689.6, inclusive.

12 (3) The department shall electronically submit to the Department  
13 of Justice fingerprint images and related information required by  
14 the Department of Justice of nonvendored providers of services  
15 and supports, as specified in paragraph (1), for purposes of  
16 obtaining information as to the existence and content of a record  
17 of state or federal convictions and state or federal arrests and also  
18 information as to the existence and content of a record of state or  
19 federal arrests for which the Department of Justice establishes that  
20 the person is free on bail or on their own recognizance pending  
21 trial or appeal.

22 (4) When received, the Department of Justice shall forward to  
23 the Federal Bureau of Investigation requests for federal summary  
24 criminal history information received pursuant to this section. The  
25 Department of Justice shall review the information returned from  
26 the Federal Bureau of Investigation and compile and disseminate  
27 a response to the department.

28 (5) The Department of Justice shall provide a state or federal  
29 response to the department pursuant to paragraph (1) of subdivision  
30 (p) of Section 11105 of the Penal Code.

31 (6) The department shall request from the Department of Justice  
32 subsequent notification service, as provided pursuant to Section  
33 11105.2 of the Penal Code, for persons described in paragraph (1).

34 (7) The Department of Justice shall charge a fee sufficient to  
35 cover the cost of processing the request described in this  
36 subdivision.

37 (8) The fingerprints of any provider of services and supports  
38 who is required to obtain a criminal background check shall be  
39 submitted to the Department of Justice prior to employment. The  
40 costs of the fingerprints and the financial management service's



1 administrative cost authorized by the department shall be paid by  
2 the services and supports provider or the provider's employing  
3 agency. Any administrative costs incurred by the department  
4 pursuant to this subdivision shall be offset by the funds specified  
5 in subdivision (g).

6 (9) If the criminal record information report shows a criminal  
7 history, the department shall take the steps specified in Section  
8 4689.2. The department may prohibit a provider of services and  
9 supports from becoming employed, or continuing to be employed,  
10 based on the criminal background check, as authorized in Section  
11 4689.6. The provider of services and supports who has been denied  
12 employment shall have the rights set forth in Section 4689.6.

13 (10) The department may utilize a current department-issued  
14 criminal record clearance to enable a provider to serve more than  
15 one participant, as long as the criminal record clearance has been  
16 processed through the department and no subsequent arrest  
17 notifications have been received relative to the cleared applicant.

18 (11) Consistent with subdivision (h) of Section 4689.2, the  
19 participant or financial management service that denies or  
20 terminates employment based on written notification from the  
21 department shall not incur civil liability or unemployment insurance  
22 liability.

23 ~~(v)~~

24 (w) To ensure the effective implementation of the  
25 Self-Determination Program and facilitate the sharing of best  
26 practices and training materials commencing with the  
27 implementation of the Self-Determination Program, local and  
28 statewide advisory committees shall be established as follows:

29 (1) Each regional center shall establish a local volunteer advisory  
30 committee to provide oversight of the Self-Determination Program  
31 and identify a regional center liaison to the committee. The regional  
32 center and the State Council on Developmental Disabilities shall  
33 each appoint one-half of the membership of the committee. The  
34 committee shall consist of the regional center clients' rights  
35 advocate, consumers, family members, and other advocates, and  
36 community leaders, including a representative from a family  
37 resource center. A majority of the committee shall be consumers  
38 and their family members. The committee shall reflect the  
39 multicultural diversity and geographic profile of the catchment  
40 area. The committee shall review the development and ongoing

1 progress of the Self-Determination Program, including whether  
2 the program advances the principles of self-determination and is  
3 operating consistent with the requirements of this section, and may  
4 make ongoing recommendations for improvement to the regional  
5 center and the department. Annually, the regional center shall  
6 confirm, in writing, that the committee meets the requirements  
7 specified in this paragraph and provide the department with the  
8 name of the staff liaison and the names of the committee members,  
9 the positions they fill on the committee, and which entity appointed  
10 them to the committee.

11 (2) The State Council on Developmental Disabilities shall form  
12 a volunteer committee, to be known as the Statewide  
13 Self-Determination Advisory Committee, comprised of the chairs  
14 of the 21 local advisory committees or their designees. The council  
15 shall convene the Statewide Self-Determination Advisory  
16 Committee twice annually, or more frequently in the sole discretion  
17 of the council. The Statewide Self-Determination Advisory  
18 Committee shall meet by teleconference or other means established  
19 by the council to identify self-determination best practices,  
20 effective consumer and family training materials, implementation  
21 concerns, systemic issues, ways to enhance the program, and  
22 recommendations regarding the most effective method for  
23 participants to learn of individuals who are available to provide  
24 services and supports. The council shall synthesize information  
25 received from the Statewide Self-Determination Advisory  
26 Committee, local advisory committees, and other sources, share  
27 the information with consumers, families, regional centers, and  
28 the department, and make recommendations, as appropriate, to  
29 increase the program's effectiveness in furthering the principles  
30 of self-determination.

31 ~~(w)~~

32 (x) The department shall annually provide the following  
33 information to the appropriate policy and fiscal committees of the  
34 Legislature:

35 (1) Number and characteristics of participants, by regional  
36 center, including the number of participants who entered the  
37 program upon movement from a developmental center.

38 (2) Types and amount of services and supports purchased under  
39 the Self-Determination Program, by regional center.

1 (3) Range and average of individual budgets, by regional center,  
2 including adjustments to the budget to address the adjustments  
3 permitted in clause (ii) of subparagraph (A) of paragraph (1) of  
4 subdivision (m).

5 (4) The number and outcome of appeals concerning individual  
6 budgets, by regional center.

7 (5) The number and outcome of fair hearing appeals, by regional  
8 center.

9 (6) The number of participants who voluntarily withdraw from  
10 the Self-Determination Program and a summary of the reasons  
11 why, by regional center.

12 (7) The number of participants who are subsequently determined  
13 to no longer be eligible for the Self-Determination Program and a  
14 summary of the reasons why, by regional center.

15 ~~(x)~~

16 (y) (1) The State Council on Developmental Disabilities shall  
17 issue an interim report to the Legislature, in compliance with  
18 Section 9795 of the Government Code, no later than June 30, 2021,  
19 on the status of the Self-Determination Program authorized by this  
20 section, barriers to its implementation, and recommendations to  
21 enhance the effectiveness of the program. The interim report shall  
22 provide an update to the program's status, each regional center's  
23 cap on participation and progress toward that cap, the most recent  
24 statewide and per-regional-center participant count, and the  
25 historical trend in the statewide participation count since the start  
26 of the program. The department shall assist in providing available  
27 information to the council in order to facilitate the timely issuance  
28 of the report.

29 (2) The council, in collaboration with the protection and  
30 advocacy agency identified in Section 4900 and the federally  
31 funded University Centers for Excellence in Developmental  
32 Disabilities Education, Research, and Service, may work with  
33 regional centers to survey participants regarding participant  
34 satisfaction under the Self-Determination Program and, when data  
35 is available, the traditional service delivery system, including the  
36 proportion of participants who report that their choices and  
37 decisions are respected and supported and who report that they are  
38 able to recruit and hire qualified service providers, and to identify  
39 barriers to participation and recommendations for improvement.

(3) The council, in collaboration with the protection and advocacy agency identified in Section 4900 and the federally funded University Centers for Excellence in Developmental Disabilities Education, Research, and Service, shall issue a report to the Legislature, in compliance with Section 9795 of the Government Code, by ~~December 31, 2022~~, *June 30, 2023*, on the status of the Self-Determination Program authorized by this section, and provide recommendations to enhance the effectiveness of the program. This review shall include the program's effectiveness in furthering the principles of self-determination, including all of the following:

(A) Freedom, which includes the ability of adults with developmental disabilities to exercise the same rights as all citizens to establish, with freely chosen supporters, family and friends, where they want to live, with whom they want to live, how their time will be occupied, and who supports them; and for families to have the freedom to receive unbiased assistance of their own choosing when developing a plan and to select all personnel and supports to further the life goals of a minor child.

(B) Authority, which includes the ability of a person with a disability, or family, to control a certain sum of dollars in order to purchase services and supports of their choosing.

(C) Support, which includes the ability to arrange resources and personnel, both formal and informal, that will assist a person with a disability to live a life in the community that is rich in community participation and contributions.

(D) Responsibility, which includes the ability of participants to take responsibility for decisions in their own lives and to be accountable for the use of public dollars, and to accept a valued role in their community through, for example, competitive employment, organizational affiliations, spiritual development, and general caring of others in their community.

(E) Confirmation, which includes confirmation of the critical role of participants and their families in making decisions in their own lives and designing and operating the system that they rely on.

*SEC. 23. Section 4685.10 is added to the Welfare and Institutions Code, to read:*

*4685.10. (a) The department may adopt regulations to implement and comply with home and community-based settings*

1 *requirements in Section 441.530(a)(1) of Title 42 of the Code of*  
2 *Federal Regulations. Any regulations shall be adopted in*  
3 *accordance with the requirements of Chapter 3.5 (commencing*  
4 *with Section 11340) of Part 1 of Division 3 of Title 2 of the*  
5 *Government Code.*

6 *(b) Notwithstanding subdivision (a) and Chapter 3.5*  
7 *(commencing with Section 11340) of Part 1 of Division 3 of Title*  
8 *2 of the Government Code, the department may issue administrative*  
9 *program directives to ensure compliance with Section*  
10 *441.530(a)(1) of Title 42 of the Code of Federal Regulations until*  
11 *the time regulations are adopted. It is the intent of the Legislature*  
12 *that the department be allowed this temporary authority as*  
13 *necessary to implement compliance with the federal requirements*  
14 *only until completion of the regulatory process.*

15 *SEC. 24. Section 4688.06 is added to the Welfare and*  
16 *Institutions Code, to read:*

17 *4688.06. (a) Consistent with state and federal law, the*  
18 *Legislature recognizes the right of adults with disabilities to reside*  
19 *in the family home. The Legislature further recognizes that adults*  
20 *with developmental disabilities, and their families, may need*  
21 *coordinated family support services that are tailored to the unique*  
22 *needs of the consumer and that are respectful of the language,*  
23 *ethnicity, and culture of the family home.*

24 *(b) The department shall establish a Coordinated Family*  
25 *Support Services Pilot Program for adults who live with their*  
26 *families. The pilot program may focus on improving equitable*  
27 *access to services and supports and reducing ethnic and racial*  
28 *disparities in purchases of services.*

29 *(c) The services provided by the Coordinated Family Support*  
30 *Services Pilot Program shall be flexible and tailored to assist the*  
31 *consumer to remain in the home of their family for as long as that*  
32 *remains the preferred living option for the consumer and their*  
33 *family.*

34 *(d) (1) Notwithstanding Chapter 3.5 (commencing with Section*  
35 *11340) of Part 1 of Division 3 of Title 2 of the Government Code,*  
36 *the department may issue administrative program directives to*  
37 *ensure compliance with this section until the time regulations are*  
38 *adopted. It is the intent of the Legislature that the department be*  
39 *allowed this temporary authority as necessary to implement*  
40 *program changes only until completion of the regulatory process.*

1 (2) Any regulation or administrative program directive issued  
2 pursuant to this subdivision shall include key elements of the  
3 Coordinated Family Support Services Program, including  
4 eligibility criteria, service design, and standards for vendors.

5 (3) The department shall collaborate with stakeholders to obtain  
6 input about key elements prior to the issuance of regulations or  
7 administrative program directives. Stakeholders shall include, but  
8 not be limited to, consumers and family members, including those  
9 from ethnically and racially diverse backgrounds, regional centers,  
10 the protection and advocacy agency described in subdivision (i)  
11 of Section 4900, the Office of Clients' Rights as described in  
12 Section 4433, the State Council on Developmental Disabilities,  
13 providers who deliver supported living services described in  
14 Section 4689, and providers with experience delivering services  
15 to adults living in the home of a parent or a family member,  
16 including independent living skills services described in Section  
17 4688.05.

18 SEC. 25. Section 4688.21 of the Welfare and Institutions Code  
19 is amended to read:

20 4688.21. (a) The Legislature places a high priority on  
21 opportunities for adults with developmental disabilities to choose  
22 and customize day services to meet their individualized needs;  
23 have opportunities to further the development ~~or maintenance and~~  
24 support of employment and volunteer activities; direct their  
25 services; pursue postsecondary education; ~~and establish and~~  
26 support paid internship program opportunities; and increase their  
27 ability to lead integrated and inclusive lives. To further these goals,  
28 a consumer may choose a tailored day service or vouchered  
29 community-based training service, in lieu of ~~of, or in conjunction~~  
30 with, any other regional center vendored day program, look-alike  
31 day program, supported employment program, or work activity  
32 program.

33 (b) (1) A tailored day service shall ~~do both of the following:~~  
34 include an individualized service design, as determined through  
35 the individual program plan (IPP), and developed through a  
36 person-centered planning process that reflects and maximizes  
37 individual preferences and goals, and approved by the regional  
38 center. This service design may include, but may not be limited to,  
39 the following:

1 ~~(A) Include an individualized service design, as determined~~  
2 ~~through the individual program plan (IPP) and approved by the~~  
3 ~~regional center, that maximizes the consumer's individualized~~  
4 ~~choices and needs. This service design may include, but may not~~  
5 ~~be limited to, the following:~~

6 ~~(i)~~

7 (A) Fewer days or hours than in the program's approved day  
8 program, look-alike day program, supported employment program,  
9 or work activity program design.

10 ~~(ii)~~

11 (B) Flexibility in the ~~duration~~ *duration, location, including by*  
12 *remote electronic communications*, and intensity of services to  
13 meet the consumer's individualized needs.

14 ~~(B) Encourage opportunities to further the development or~~  
15 ~~maintenance of~~

16 (C) *Prioritize the development or support of competitive,*  
17 *integrated* employment, volunteer activities, or pursuit of  
18 postsecondary education; *establish and support paid internship*  
19 *program opportunities*; maximize consumer direction of the  
20 service; and increase the consumer's ability to lead an integrated  
21 and inclusive life.

22 (2) The type and amount of tailored day service shall be  
23 determined through the IPP process, pursuant to Section 4646.  
24 The IPP shall contain, but not be limited to, the following:

25 (A) A detailed description of the consumer's individualized  
26 choices and needs and how these choices and needs will be met.

27 (B) The type and amount of services and staffing needed to  
28 meet the consumer's individualized choices and needs, and unique  
29 health and safety and other needs.

30 (3) The staffing requirements set forth in Section 55756 of Title  
31 17 of the California Code of Regulations and subdivision (r) of  
32 Section 4851 of this code shall not apply to a tailored day service.

33 ~~(4) For currently vendored programs wishing to offer a tailored~~  
34 ~~day service option, the regional center shall vendor a tailored day~~  
35 ~~service option upon negotiating a rate and maximum units of~~  
36 ~~service design that includes, but is not limited to, the following:~~

37 ~~(A) A daily or hourly rate and maximum units of service design~~  
38 ~~that does not exceed the equivalent cost of four days per week of~~  
39 ~~the vendor's current rate, if the vendor has a daily day program~~  
40 ~~rate.~~

~~(B) A rate and maximum units of service design that does not exceed the equivalent cost of four-fifths of the hours of the vendor's current rate, if the vendor has an hourly rate.~~

*(4) Commencing July 1, 2022, for vendored programs wishing to offer a tailored day service option, the hourly rate for the tailored day service option shall be calculated using a base rate, defined as twice the amount of the rate model or models for "Community-Based Day, Community Only, 1:2." The calculation of the rate shall be as follows:*

*(A) Effective July 1, 2022, the hourly rate shall equal 80 percent of the base rate.*

*(B) The rate established in subparagraph (A) shall remain in effect pending the department's review, in coordination with stakeholders, of implementation of this section, as amended by the act that added this paragraph. The review, to be completed by June 30, 2024, shall include development of recommendations that may include, but not be limited to, modifying the scope of the service or establishing a rate model specific to the service. The department shall provide an update to the Legislature on the status of the review no later than January 10, 2024.*

*(5) The hold harmless policy defined in subdivision (d) of Section 4519.10 shall apply for vendored programs offering a tailored day service as of June 30, 2022, with an hourly rate that exceeds the rate calculated in paragraph (4).*

*(6) Tailored day services shall not be delivered on the same day as any other regional center vendored day program, look-alike day program, supported employment program, or work activity program unless all of the following apply:*

*(A) A consumer has a plan identified in their individual program plan for transitioning from a work activity program to competitive integrated employment, paid internship, or postsecondary education.*

*(B) The transition plan is developed through a person-centered planning process that reflects and maximizes individual preferences and goals.*

*(C) The duration of the delivery of tailored day services on the same day or days as a work activity program or supported employment services is no longer than six months.*



1 (7) *The total monthly hours of tailored day services shall not*  
2 *exceed the number of days in the month tailored day services are*  
3 *authorized, multiplied by four.*

4 ~~(5)~~

5 (8) The regional center shall ensure that the vendor is capable  
6 of complying with, and will comply with, the consumer's IPP,  
7 individual choice, and health and safety needs.

8 ~~(6) For new programs wishing to offer a tailored day service~~  
9 ~~option, the regional center shall vendor a tailored day service option~~  
10 ~~upon negotiating a rate and maximum units of service design. The~~  
11 ~~rate paid to the new vendor shall not exceed four-fifths of the~~  
12 ~~temporary payment rate or the median rate, whichever is applicable.~~

13 ~~(7)~~

14 (9) Effective July 1, 2011, and prior to the time of development,  
15 review, or modification of a consumer's IPP, regional centers shall  
16 provide information about tailored day service to eligible adult  
17 consumers. A consumer may request information about tailored  
18 day services from the regional center at any time and may request  
19 an IPP meeting to secure those services.

20 (c) (1) A vouchered community-based training service is  
21 defined as a consumer-directed service that assists the consumer  
22 in the development of skills required for ~~community integrated~~  
23 ~~employment or competitive integrated employment, the paid~~  
24 ~~internship program, participation in volunteer activities, or both,~~  
25 ~~any combination of these, and the assistance necessary for the~~  
26 ~~consumer to secure employment employment, a paid internship,~~  
27 or volunteer positions or pursue secondary education.

28 (2) Implementation of vouchered community-based training  
29 service is contingent upon the approval of the federal Centers for  
30 Medicare and Medicaid Services.

31 (3) Vouchered community-based training service shall be  
32 provided in natural environments in the community, separate from  
33 the consumer's residence.

34 (4) A consumer, parent, or conservator vendored as a vouchered  
35 community-based training service shall utilize the services of a  
36 financial management services (FMS) entity. The regional center  
37 shall provide information about available financial management  
38 services and shall assist the consumer in selecting a FMS vendor  
39 to act as coemployer.

(5) A parent or conservator shall not be the direct support worker employed by the vouchered community-based training service vendor.

(6) If the direct support worker is required to transport the consumer, the vouchered community-based training service vendor shall verify that the direct support worker can transport the consumer safely and has a valid California driver's license and proof of insurance.

(7) The rate for vouchered community-based training service shall ~~not exceed fourteen dollars and ninety-nine cents (\$14.99) per hour.~~ *be the most recent rate posted on the department's public internet website.* The rate includes employer-related taxes and all transportation needed to implement the service, except as described in paragraph (8). The rate does not include the cost of the FMS.

(8) A consumer vendored as a vouchered community-based training service shall also be eligible for a regional center-funded bus pass, if appropriate and needed.

(9) Vouchered community-based training service shall be limited to a maximum of 150 hours per quarter. The services to be provided and the service hours shall be documented in the consumer's IPP.

(10) A direct support worker of vouchered community-based training service shall be an adult who possesses the skill, training, and experience necessary to provide services in accordance with the IPP.

(11) Effective July 1, 2011, and prior to the time of development, review, or modification of a consumer's IPP, regional centers shall provide information about vouchered community-based training service to eligible adult consumers. ~~A consumer may request information about vouchered community-based training service from the regional center at any time and may request an IPP meeting to secure those services.~~

(12) The type and amount of vouchered community-based training service shall be determined through the IPP process pursuant to Section 4646. The IPP shall contain, but not be limited to, the following:

(A) A detailed description of the consumer's individualized choices and needs and how these choices and needs will be met.

(B) The type and amount of services and staffing needed to meet the consumer's individualized choices and unique health and safety and other needs.

(d) The department may adopt emergency regulations for tailored day service or vouchered community-based training service. The adoption, amendment, repeal, or readoption of a regulation authorized by this subdivision is deemed to be necessary for the immediate preservation of the public peace, health and safety, or general welfare, for purposes of Sections 11346.1 and 11349.6 of the Government Code, and the department is hereby exempted from the requirement that it describe specific facts showing the need for immediate action. A certificate of compliance for these implementing regulations shall be filed within 24 months following the adoption of the first emergency regulations filed pursuant to this subdivision.

*SEC. 26. Section 4690.6 of the Welfare and Institutions Code is amended to read:*

4690.6. (a) Activity centers, adult development centers, behavior management programs, and other look-alike day programs with a daily rate shall bill regional centers for services provided to consumers in terms of half days of service and full days of service.

(b) For purposes of this section, the following definitions apply:

(1) "Full day of service" means a day in which the consumer's attendance is at least 65 percent of the declared and approved program day.

(2) "Half day of service" means any day in which the consumer's attendance does not meet the criteria for billing for a full day of service.

(c) A regional center may change the length of the declared and approved program day for a specific consumer in order to meet the needs of that consumer, upon the recommendation of the individual program planning team. The regional center shall set forth in the individual program plan the length of the consumer's program day and the reasons for the change in the length of the declared and approved program day.

(d) The definitions set forth in this section shall not apply to vendors of tailored day program service.

*(e) This section shall remain in effect only until July 1, 2022, and as of that date is repealed.*

*SEC. 27. Section 4690.7 is added to the Welfare and Institutions Code, to read:*

1     4690.7. A provider of nonresidential services, as defined in  
2     paragraph (49) of subdivision (a) of Section 54302 of Title 17 of  
3     the California Code of Regulations, may utilize Alternative  
4     Nonresidential Services, as authorized in the department's  
5     Directive 01-083120 (August 31, 2020), if needed, to meet a  
6     consumer's service needs, until December 31, 2022. When  
7     providing services to consumers, providers shall be creative,  
8     resourceful, and make modifications as needed to how existing  
9     services are delivered. Any Alternative Nonresidential Services  
10    used shall be responsive to each consumer's current needs, and  
11    be mindful of the importance of safety during the COVID-19  
12    pandemic, including compliance with applicable state and local  
13    health orders and licensing requirements.

14    SEC. 28. Chapter 6.5 (commencing with Section 4699) is added  
15    to Division 4.5 of the Welfare and Institutions Code, to read:

16  
17           CHAPTER 6.5. DEVELOPMENTAL SERVICES WORKFORCE  
18                           STABILIZATION  
19

20    4699. The Legislature finds and declares all of the following:  
21    (a) The State Department of Developmental Services estimates  
22    that about 120,000 direct support professionals and about 5,500  
23    regional center service coordinators served approximately 370,000  
24    consumers in fiscal year 2021–22.

25    (b) Workforce capacity among direct support professionals and  
26    regional center service coordinators is a growing concern in the  
27    developmental services system.

28    (c) Based on annual projected regional center caseload growth  
29    and assumptions about direct support professional turnover, the  
30    developmental services system is projected to need approximately  
31    33,000 direct support professionals and 2,700 regional center  
32    service coordinators over the next five years.

33    (d) To be responsive to California's changing demographics,  
34    the department should support regional centers and service  
35    providers in undertaking a purposeful approach to recruiting and  
36    hiring workers from diverse communities and explore technological  
37    advancements as a complement to workers who provide direct  
38    services.

39    4699.1. Implementation of this chapter shall be subject to an  
40    appropriation by the Legislature for the purpose of this chapter.

1     4699.2. (a) The department shall establish, and regional  
2     centers shall administer in coordination with service providers, a  
3     program to provide training stipends to direct support  
4     professionals.

5     (b) It is the intent of the Legislature to enhance the quality of  
6     services received by consumers, improve direct support  
7     professional retention, and increase interest among direct support  
8     professionals in skills development and continuous learning  
9     opportunities by offering stipends for the completion of training  
10    courses.

11    (c) A regional center shall provide up to two five-hundred-dollar  
12    (\$500) training stipends to a direct support professional who  
13    completes training and development courses as specified by the  
14    department.

15    (d) Each training stipend shall be paid no later than three  
16    months after the conclusion of a training requirement.

17    (e) A portion of the program funding shall be used to  
18    compensate service providers an amount, in the form of a fee, as  
19    necessary to cover the reasonable costs to administer the program  
20    in coordination with regional centers, as determined by the  
21    department.

22    (f) Service providers and regional centers shall track  
23    participation and shall report to the department on a quarterly  
24    basis, commencing on January 31, 2023, on cumulative and  
25    reporting-period data about the number of participants, the  
26    administration of the fee pursuant to subdivision (e), the selection  
27    of training and development courses, and turnover information  
28    among participants.

29    (g) Training and development courses shall commence no later  
30    than November 1, 2022. A program participant may enroll in a  
31    training and development course on a later date.

32    4699.3. (a) The department shall establish, and regional  
33    centers shall administer, an entry-level training and internship  
34    program for individuals interested in becoming direct support  
35    professionals.

36    (b) It is the intent of the Legislature to enhance the quality of  
37    services received by consumers and increase the hiring and  
38    retention of direct support professionals through the creation of  
39    a training and internship program that demonstrates the career  
40    opportunities available to individuals interested in becoming direct

1 support professionals, while providing them with the skills that  
2 they need to deliver high-quality services to consumers.

3 (c) The training and internship program shall do all of the  
4 following:

5 (1) Contract with outside agencies for recruitment outreach.

6 (2) Offer three-month internships that provide entry-level  
7 training and practical work experience for participants.

8 (3) Outline the wage and employment costs for paid internships  
9 up to 30 hours per week, to collectively cover participant wages  
10 and service provider costs.

11 (4) Focus on populations that reflect the state's diverse  
12 population and that do not traditionally have a pathway toward  
13 jobs in the developmental services field.

14 (5) Establish an entry point to the continuum of developmental  
15 services workforce development, including the training described  
16 in Section 4511.5.

17 (6) Create opportunities for postinternship permanent  
18 employment with service providers and allow for a  
19 five-hundred-dollar (\$500) retention stipend after six months of  
20 continuous employment and another five-hundred-dollar (\$500)  
21 stipend after one year of continuous employment.

22 (d) Regional centers shall track participation and report to the  
23 department on a quarterly basis on cumulative and  
24 reporting-period data about the number of participants, the number  
25 of participants hired, and the number of six-month and one-year  
26 employment stipends provided.

27 (e) Internships shall be available no later than June 1, 2023. A  
28 program participant may enroll in an internship on a later date.

29 4699.4. (a) The department shall establish, and regional  
30 centers shall administer, a tuition reimbursement program for  
31 regional center employees who seek a degree or certification in a  
32 health or human services-related field.

33 (b) It is the intent of the Legislature to enhance regional center  
34 services received by consumers, increase subject matter expertise  
35 and career advancement options at regional centers, and improve  
36 employee retention rates at regional centers by offering tuition  
37 reimbursement for eligible regional center employees.

38 (c) Each qualifying participant shall be eligible to receive up  
39 to ten thousand dollars (\$10,000) annually for up to three years.

(d) *In exchange for tuition reimbursement, participants shall be required to work at the regional center for no less than one year for each year of tuition reimbursement following completion of their degree or certification. An employee may transfer to another regional center to complete this requirement. The funding regional center shall notify the department within 30 days after a request from an employee to transfer to another regional center to complete this requirement. The receiving regional center is responsible for reporting the information required in subdivision (g).*

(e) *By September 1, 2022, the department shall publish eligibility requirements for regional center staff participation. These requirements shall include information about which regional center staff are eligible, which academic programs or types of academic programs are eligible, minimum application requirements, concurrent regional center work requirements, and postgraduation regional center work requirements. In addition, the department shall describe the terms of the program, including repayment or partial repayment requirements for individuals who do not complete their degree or certification or do not complete the concurrent or postgraduation work requirements.*

(f) *Tuition reimbursement shall be available for the 2022–23 through 2024–25 fiscal years.*

(g) *Regional centers shall report to the department on an annual basis, commencing on April 1, 2023, and thereafter on January 1 of each year until January 1, 2026, on data about the program, including number and demographics of applicants and participants and their regional center position, degree and field sought, and how long they were employed by the regional center after completing their degree or certification.*

4699.5. (a) *The department shall seek input from stakeholders throughout the implementation of this chapter.*

(b) *Stakeholders may include, but are not limited to, any of the following:*

(1) *Consumers and families across different geographic regions of the state and from diverse racial and ethnic backgrounds, and diverse consumer age groups and disabilities.*

(2) *Regional center representatives, including executive-level staff, administrative staff, and service coordinators.*

1     (3) *Service providers representing a diverse range of service*  
2 *types and models and including executive staff and direct support*  
3 *professionals.*

4     (c) *The department may utilize one of its existing stakeholder*  
5 *groups if it meets the criteria described in this section to conduct*  
6 *this consultation.*

7     4699.6. (a) *The department shall report to the Legislature at*  
8 *quarterly briefings for legislative staff about the progress on the*  
9 *programs described in this chapter. Reporting shall include*  
10 *summaries of the relevant data collected by service providers and*  
11 *regional centers.*

12     (b) *The department shall submit a report to the Legislature, in*  
13 *accordance with Section 9795 of the Government Code, no later*  
14 *than January 10, 2026, evaluating the success of the programs*  
15 *described in this chapter. As applicable, the report shall include,*  
16 *but not be limited to, information about all of the following:*

17     (1) *Summary information about each program, including*  
18 *statistics on participation and program completion, participant*  
19 *demographics, and participation by regional center and type of*  
20 *service provider.*

21     (2) *Number of new hires at service providers and regional*  
22 *centers.*

23     (3) *Employee retention and turnover rates at service providers*  
24 *and regional centers overall and among the program participant*  
25 *population, including available baseline rates prior to*  
26 *implementation of the programs and rates following completion*  
27 *of the programs.*

28     (4) *Consumer and family satisfaction and other measures of*  
29 *consumer outcomes.*

30     4699.7. (a) *Notwithstanding the rulemaking provisions of the*  
31 *Administrative Procedure Act (Chapter 3.5 (commencing with*  
32 *Section 11340) of Part 1 of Division 3 of Title 2 of the Government*  
33 *Code), the department may implement, interpret, or make specific,*  
34 *the provisions of this chapter by means of written directives or*  
35 *similar instructions through June 30, 2025.*

36     (b) *Contracts to procure services to implement this chapter*  
37 *shall be exempt from the requirements contained in the Public*  
38 *Contract Code and the State Administrative Manual and from*  
39 *approval by the Department of General Services.*



1     *SEC. 29. Article 1 (commencing with Section 4700) of Chapter*  
2     *7 of Division 4.5 of the Welfare and Institutions Code is repealed.*  
3     *SEC. 30. Article 1 (commencing with Section 4700) is added*  
4     *to Chapter 7 of Division 4.5 of the Welfare and Institutions Code,*  
5     *to read:*

6  
7                     *Article 1. Definitions*  
8

9     *4700. Unless the context otherwise requires, the definitions*  
10    *set forth in this article govern the construction of this chapter.*

11    *4701. (a) "Adequate notice" means a written notice using the*  
12    *form prescribed by the department. The notice shall be in plain,*  
13    *clear, and nontechnical language. The notice shall be provided in*  
14    *the language preferred by the recipient or applicant receiving the*  
15    *notice, and, if appropriate, the authorized representative. The*  
16    *notice shall be sent to the recipient or applicant and, if appropriate,*  
17    *their authorized representative, as specified in Section 4710. The*  
18    *notice shall provide the following information:*

19    *(1) The action that the regional center or state-operated facility*  
20    *proposes to take, including a statement of the facts upon which*  
21    *the regional center or state-operated facility is relying.*

22    *(2) The reason or reasons for that action.*

23    *(3) The effective date of that action.*

24    *(4) The specific provision or provisions of law, regulation, or*  
25    *policy supporting the action.*

26    *(5) Information on availability of advocacy assistance, including*  
27    *referral to the clients' rights advocates specified in Sections 4433*  
28    *and 4433.5, the State Council on Developmental Disabilities,*  
29    *publicly funded legal services organizations, and other advocacy*  
30    *organizations, including the agency designated as the protection*  
31    *and advocacy system as required under the Developmental*  
32    *Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C.*  
33    *Sec. 6000 et seq.) and as provided in Division 4.7 (commencing*  
34    *with Section 4900).*

35    *(6) A statement indicating whether the recipient is a participant*  
36    *in the Medicaid home and community-based services waiver.*

37    *(7) Information about how to file an appeal with the department,*  
38    *unless the department has designated another agency to receive*  
39    *appeal requests, and the contact information for the department.*

1     (8) *Information about the following rights that are available at*  
2 *all steps in the appeals process:*

3     (A) *To have an interpreter provide interpretation in the*  
4 *preferred language of the applicant or recipient and, if*  
5 *appropriate, the preferred language of the authorized*  
6 *representative.*

7     (B) *Access to records, including all records maintained in the*  
8 *individual's regional center or state-operated facility file, pursuant*  
9 *to Article 5 (commencing with Section 4725).*

10    (9) *Information about the following rights if a fair hearing is*  
11 *requested:*

12    (A) *The opportunity to attend and participate in all proceedings*  
13 *and to present written and oral evidence.*

14    (B) *The opportunity to examine and cross-examine witnesses.*

15    (C) *The right to appear in person with counsel or other*  
16 *representatives of their own choosing.*

17    (D) *The fair hearing shall be completed and a final*  
18 *administrative decision rendered within 90 days of the date the*  
19 *hearing request form is received by the department unless the fair*  
20 *hearing request has been withdrawn or the time period has been*  
21 *extended in accordance with this chapter.*

22    (E) *The right to request the disqualification of the hearing officer*  
23 *by filing an affidavit or making an objection on the record as*  
24 *specified in subdivision (g) of Section 4712. The information*  
25 *required by this subparagraph shall be included in the notice*  
26 *required by this paragraph no later than October 1, 2022.*

27    (10) *Information about the appeals process and timelines,*  
28 *including when current services are continued during the appeals*  
29 *process, and when a claimant can request a continuance.*

30    (11) (A) *Whether or not the individual is eligible for an*  
31 *exemption or exception to the action the regional center proposes*  
32 *to take as specified in subparagraph (D) of paragraph (6) of*  
33 *subdivision (a) of Section 4648, subdivision (d) of Section 4648.35,*  
34 *subdivision (d) of Section 4659, subdivision (i) of Section 4689,*  
35 *and subdivisions (a) and (d) of Section 4689.05, subdivision (b)*  
36 *of Section 95004 of the Government Code, and paragraph (3) of*  
37 *subdivision (e) of Section 95020 of the Government Code.*

38    (B) *The specific law supporting any of the above-specified*  
39 *exemptions or exceptions.*

1 (b) "Appeal request form" means a form prescribed by the  
2 department that includes the name, address, contact information,  
3 and birth date of the claimant, date of the appeal request, the name  
4 of the regional center that provides services to the claimant or  
5 where an applicant has applied for services, the reason an appeal  
6 is requested, and the name, address, and relationship to the  
7 claimant of the authorized representative, if any. The appeal  
8 request form shall also indicate whether the claimant or their  
9 authorized representative is requesting an informal meeting, a  
10 mediation, or a fair hearing, or any combination of these options.  
11 A copy of the appointment of the authorized representative, by the  
12 claimant or the State Council on Developmental Disabilities if  
13 any, shall also be included. The form shall provide information  
14 about how to file an appeal and contact information for filing the  
15 appeal request form with the department unless the department  
16 has designated a different agency to receive the form.

17 (c) "Applicant" means a person who has applied for services  
18 from a regional center, or on whose behalf services have been  
19 applied for.

20 (d) (1) "Authorized representative" means any conservator,  
21 or legal guardian, parent, or person having legal custody of a  
22 minor claimant, who acts for or represents the claimant or a person  
23 or agency appointed pursuant to subdivision (a) of Section 4541  
24 or subdivision (d) of Section 4705 and authorized in writing by  
25 the claimant or by the legal guardian, conservator, or parent or  
26 person having legal custody of a minor claimant to act for or  
27 represent the claimant under this chapter.

28 (2) "Authorized representative" also means any responsible  
29 adult appointed by a court order made pursuant to subdivision (j)  
30 of Section 319, subdivision (a) of Section 361, or subdivision (b)  
31 of Section 726 who the court determines is an appropriate  
32 representative for the minor, and who does not have a conflict of  
33 interest, as defined in subdivision (i) of Section 7579.5 of the  
34 Government Code, including, but not limited to, a foster parent,  
35 caregiver, or court-appointed special advocate.

36 (e) "Business days" means any day other than a Saturday,  
37 Sunday, or any other day that is an observed state or federal  
38 holiday.

39 (f) "Claimant" means an applicant for or recipient of services  
40 who has filed an appeal.

1 (g) “Days” means calendar days.

2 (h) “Hearing office” means the state agency that the department  
3 has designated to provide mediation and administrative hearing  
4 services.

5 (i) “Interpreter” means an individual who is able to interpret  
6 effectively, accurately, and impartially, both receptively and  
7 expressively.

8 (j) “Medicaid home and community-based services waiver  
9 participant” means an individual deemed eligible and receiving  
10 services through a Medicaid home and community-based services  
11 waiver program.

12 (k) “Mutual consent” means that the recipient or, if appropriate,  
13 their authorized representative, agrees with a regional center’s  
14 or state operated facility’s proposal to reduce, terminate, or change  
15 the services specified in an individual program plan or to deny  
16 the initiation of a service or support requested for inclusion in the  
17 individual program plan and that agreement is affirmatively  
18 documented, subsequent to the regional center’s proposal or  
19 denial, in a signed individual program plan, a signed list of  
20 services pursuant to subdivision (g) of Section 4646, or other  
21 written communication from a recipient or authorized  
22 representative affirmatively agreeing to all or part of the regional  
23 center’s or state-approved facility’s proposal or denial.

24 (1) In the absence of written documentation, if the regional  
25 center or state-operated facility has a good faith belief that an  
26 agreement exists, it shall, within five business days of the  
27 agreement, send a letter confirming the agreement to the recipient  
28 and, if appropriate, their authorized representative, if any, by  
29 standard mail, certified mail, or email at their preference as  
30 indicated in their individual program plan. The letter shall include  
31 at least all of the following:

32 (A) The factual basis for the regional center’s or state-operated  
33 facility’s good faith belief that an agreement exists to reduce,  
34 terminate, or change the services specified in an individual  
35 program plan or to deny the initiation of a service or support  
36 requested for inclusion in the individual program plan.

37 (B) The action the service agency intends to take.

38 (C) The reason or reasons for that action.

39 (D) The availability of an appeals process if the applicant,  
40 recipient, or authorized representative disagrees with the decision

1 and a link to the department's internet website with information  
2 about the appeals process.

3 (E) Information about how to express disagreement with the  
4 decision and how to obtain additional information about the  
5 appeals process.

6 (F) An appeal request form as described in subdivision (b).

7 (2) If the regional center is informed that the recipient or, if  
8 appropriate, their authorized representative, disagrees with its  
9 decision, the regional center shall immediately send adequate  
10 notice as defined in subdivision (a).

11 (3) This subdivision shall become operative on March 1, 2023.

12 (l) "Persons who have the right to request an appeal" means  
13 an applicant, recipient, and those individuals defined in subdivision  
14 (d).

15 (m) "Preferred language" means the language chosen by the  
16 applicant or recipient, and if applicable, the authorized  
17 representative.

18 (n) "Recipient" means a person with a developmental disability  
19 who receives services from a regional center or a state-operated  
20 facility.

21 (o) "Services" means the type and amount of services and  
22 services components set forth in the recipient's individual program  
23 plan pursuant to Section 4646, and provided by a regional center  
24 or by the department at a state-operated facility.

25 4702. Each regional center and each vendor that contracts  
26 with a regional center to provide services to recipients shall  
27 conspicuously post on its internet web, if any, links to the  
28 department's internet website page that provides a description of  
29 the appeals process set forth in this chapter and department contact  
30 information for providing information and education to recipients  
31 and applicants about the appeals process, including the notice of  
32 proposed action, timelines, options for resolving disagreements,  
33 and rights during the appeals process.

34 SEC. 31. Section 4705 of the Welfare and Institutions Code is  
35 amended to read:

36 4705. (a) (1) Every ~~service agency~~ regional center or  
37 state-operated facility shall, as a condition of continued receipt of  
38 state funds, have an ~~agency fair hearing~~ appeals procedure for  
39 resolving conflicts between the ~~service agency~~ regional center or  
40 state-operated facility and recipients of, or applicants for, service.

1 The State Department of Developmental Services shall promulgate  
2 regulations to implement this ~~chapter by July 1, 1999; chapter,~~  
3 which shall be binding on every ~~service agency; regional center~~  
4 ~~and state-operated facility.~~

5 (2) Any public or private agency receiving state funds for the  
6 purpose of serving persons with developmental disabilities not  
7 otherwise subject to the provisions of this chapter shall, as a  
8 condition of continued receipt of state funds, adopt and periodically  
9 review a written internal grievance procedure.

10 (b) An agency that employs a ~~fair hearing~~ *an appeals* procedure  
11 mandated by any other statute shall be considered to have an  
12 approved procedure for purposes of this chapter.

13 (c) ~~(1) The service agency's regional center's and the~~  
14 ~~state-operated facility's~~ mediation and fair hearing procedure shall  
15 be stated in writing, in English and any other language that may  
16 be appropriate to the needs of the consumers of the ~~agency's~~  
17 ~~service; regional center or the state-operated facility.~~ A copy of  
18 the procedure and a copy of the provisions of this chapter shall be  
19 prominently displayed on the premises of the ~~service agency;~~  
20 ~~regional center and the state-operated facility.~~

21 ~~(d)~~

22 (2) All recipients and applicants, and persons having legal  
23 responsibility for recipients or applicants, shall be informed  
24 verbally of, and shall be notified in writing in a language that they  
25 comprehend of, ~~the service agency's the regional center's or the~~  
26 ~~state-operated facility's~~ mediation and fair hearing procedure when  
27 they apply for service, when they are denied service, when notice  
28 of service modification is given pursuant to Section 4710, and  
29 upon request.

30 ~~(e)~~

31 (d) If, in the opinion of any person, the rights or interests of a  
32 claimant who has not personally authorized a representative will  
33 not be properly protected or advocated, the State Council on  
34 Developmental Disabilities and the clients' right advocate assigned  
35 to the regional center or ~~developmental center~~ *state-operated*  
36 *facility* shall be notified, and the State Council on Developmental  
37 Disabilities may appoint a person or agency as representative,  
38 pursuant to subdivision (a) of Section 4541, to assist the claimant  
39 in the mediation and fair hearing procedure. The appointment shall  
40 be in writing to the authorized representative and a copy of the

1 appointment shall be immediately ~~mailed~~ provided to the service  
2 ~~agency~~ regional center director or the state-operated facility  
3 director.

4 (e) *This section shall remain in effect only until March 1, 2023,*  
5 *and as of that date is repealed.*

6 SEC. 32. *Section 4705 is added to the Welfare and Institutions*  
7 *Code, to read:*

8 4705. *Every regional center or state-operated facility shall,*  
9 *as a condition of continued receipt of state funds, have an appeals*  
10 *procedure for resolving conflicts between the regional center or*  
11 *state-operated facility and recipients of, or applicants for, service.*  
12 *The State Department of Developmental Services shall promulgate*  
13 *regulations to implement this chapter, which shall be binding on*  
14 *every regional center or state-operated facility.*

15 (a) (1) *Any public or private agency receiving state funds for*  
16 *the purpose of serving persons with developmental disabilities not*  
17 *otherwise subject to the provisions of this chapter shall, as a*  
18 *condition of continued receipt of state funds, adopt and periodically*  
19 *review a written internal grievance procedure.*

20 (b) *An agency that employs an appeals procedure mandated by*  
21 *any other statute shall be considered to have an approved*  
22 *procedure for purposes of this chapter.*

23 (c) (1) *The regional center's and the state-operated facility's*  
24 *appeals procedures for informal meetings, mediations, and fair*  
25 *hearings shall be stated in writing, in English and any other*  
26 *language that may be appropriate to the preferred language of*  
27 *the applicants and recipients served by the regional center or the*  
28 *state-operated facility.*

29 (2) *All recipients and applicants, and persons having legal*  
30 *responsibility for recipients or applicants, shall be informed*  
31 *verbally of, and shall be notified in writing in their preferred*  
32 *language of, the regional center's or the state-operated facility's*  
33 *appeals procedures for informal meetings, mediations, and fair*  
34 *hearings when they apply for service, when they are denied service,*  
35 *when notice of service modification is given pursuant to Section*  
36 *4710, and upon request.*

37 (d) *If, in the opinion of any person, the rights or interests of a*  
38 *claimant who has not personally authorized a representative will*  
39 *not be properly protected or advocated, the State Council on*  
40 *Developmental Disabilities and the clients' right advocate assigned*

1 to the regional center or state-operated facility shall be notified,  
2 and the State Council on Developmental Disabilities may appoint  
3 a person or agency as representative, pursuant to subdivision (a)  
4 of Section 4541, to assist the claimant in the mediation and fair  
5 hearing procedure. The appointment shall be in writing to the  
6 authorized representative and a copy of the appointment shall be  
7 immediately mailed to the regional center director or the  
8 state-operated facility director.

9 (e) This section shall become operative on March 1, 2023.

10 SEC. 33. Section 4705.5 is added to the Welfare and Institutions  
11 Code, to read:

12 4705.5. (a) Unless the claimant is themselves an attorney  
13 licensed to practice law in California, or unless the claimant will  
14 have an attorney licensed to practice law in California in  
15 attendance, a regional center attorney or department attorney  
16 shall not attend an informal meeting, mediation, or fair hearing  
17 described in this chapter. The claimant shall notify the regional  
18 center and the hearing office at least 5 days prior to mediation,  
19 and 15 days prior to a fair hearing, that the claimant will have an  
20 attorney in attendance. However, the hearing officer may notify  
21 the parties in advance of a hearing that the hearing officer is  
22 allowing the attendance of an attorney in the interest of justice.

23 (b) This section shall become operative on October 1, 2022.

24 SEC. 34. Section 4706 of the Welfare and Institutions Code is  
25 amended to read:

26 4706. (a) Except as provided in subdivision (b) to the extent  
27 permitted by federal law, all issues concerning the rights of persons  
28 with developmental disabilities to receive services under this  
29 division shall be decided under this chapter, including those issues  
30 related to fair hearings, ~~provided under the medicaid home-~~ granted  
31 by the Medicaid home and community-services waiver-granted to  
32 the State Department of Health Care Services.

33 (b) Whenever a fair hearing under this chapter involves services  
34 provided under the ~~medicaid home-~~ Medicaid home and  
35 community-based services waiver, the State Department of Health  
36 Care Services shall retain the right, as provided in Section 4712.5,  
37 to review and modify any decision reached under this chapter.

38 SEC. 35. Section 4707 of the Welfare and Institutions Code is  
39 amended to read:



1 4707. (a) By July 1, 1999, the State Department of  
2 Developmental Services shall implement a mediation process for  
3 resolving conflicts between regional centers and recipients of  
4 services specified in this chapter. Regulations implementing the  
5 mediation process shall be adopted by July 1, 2000.

6 (b) *This section shall remain in effect only until March 1, 2023,*  
7 *and as of that date is repealed.*

8 SEC. 36. Section 4707 is added to the Welfare and Institutions  
9 Code, to read:

10 4707. (a) *The following appeals processes shall be available*  
11 *for resolving conflicts between regional centers or state-operated*  
12 *facilities and recipients of, or applicants for, services under this*  
13 *chapter.*

14 (1) *An informal meeting held by the regional center or*  
15 *state-operated facility to resolve the issue or issues as provided*  
16 *for in Sections 4710.6 to 4710.9, inclusive.*

17 (2) *Mediation as provided for in Section 4711.5.*

18 (3) *A fair hearing as provided for in Sections 4711 and 4712.*

19 (b) *When filing an appeal, a claimant may initially request one*  
20 *or more parts of the appeals processes identified in subdivision*  
21 *(a). The claimant may subsequently amend their request to select*  
22 *parts of the appeals process not previously selected. However,*  
23 *that request shall constitute a waiver of the rights of a Medicaid*  
24 *home and community-based services waiver participant to receive*  
25 *a fair hearing decision within 90 days of the date that the appeal*  
26 *request form is received by the department or the agency it*  
27 *designates to receive the appeal request form.*

28 (c) *If a recipient's request for an appeal is postmarked or*  
29 *received by the department, or by another agency designated by*  
30 *the department to receive the appeal request, no later than 30 days*  
31 *after receipt of the notice of the proposed action and no later than*  
32 *the effective date of the action sent pursuant to subdivision (a) of*  
33 *Section 4710, current services shall continue for the length of time*  
34 *specified in Section 4715, and the recipient shall be afforded an*  
35 *opportunity for an informal meeting, a mediation, and a fair*  
36 *hearing.*

37 (d) *If a recipient or applicant's request for appeal is postmarked*  
38 *or received by the department, or by another agency designated*  
39 *by the department to receive the appeal request, 60 days after*  
40 *receipt of the notice of proposed action pursuant to subdivisions*

1 (a), (b), and (d) of Section 4710, they shall be afforded an  
2 opportunity for an informal meeting, a mediation, and a fair  
3 hearing.

4 (e) A claimant may request a continuance of any part of the  
5 appeals process. If granted, the continuance shall constitute a  
6 waiver of the Medicaid home and community-based services waiver  
7 participant's right to receive a fair hearing decision within 90  
8 days of the date the appeal request form is received by the  
9 department or by the agency designated by the department to  
10 receive the appeal request form. The extension of time for the final  
11 decision resulting from the continuance shall be only as long as  
12 the time period of the continuance.

13 (f) This section shall become operative on March 1, 2023.

14 SEC. 37. Section 4708 is added to the Welfare and Institutions  
15 Code, to read:

16 4708. (a) (1) The department shall create, with input from  
17 stakeholders, standard appeals process information packets. There  
18 shall be one information packet related to appeals under the  
19 California Early Intervention Services Act (Title 14 (commencing  
20 with Section 95000) of the Government Code) and another  
21 information packet related to appeals under the Lanterman  
22 Developmental Disabilities Services Act (Division 4.5 (commencing  
23 with Section 4500)). The appeals process information packets shall  
24 include all information required by this chapter and by Section  
25 52161 and Sections 52170 to 52174, inclusive, of Title 17 of the  
26 California Code of Regulations, and shall include the appeal  
27 request form.

28 (2) The appeals process information packets shall be translated  
29 to provide language access, as required by state and federal law,  
30 and shall be available in alternative formats and alternative modes  
31 of communication as required by state and federal law.

32 (3) The appeals process information packets shall be made  
33 available by regional centers and state-operated facilities to  
34 recipients and applicants at each regularly scheduled planning  
35 meeting and with all notices of action required by Section 4710.  
36 Upon request, the department and the regional center shall provide  
37 the information packet to applicants, recipients, and authorized  
38 representatives. The department and regional centers shall post  
39 a link to the standard appeals process information packets on their

1 *internet websites within 60 days of the department making the*  
2 *information packets available.*

3 *(b) The standard appeals process information packets required*  
4 *by this section shall be completed by the department, including*  
5 *translations and alternative formats, and following consultation*  
6 *with stakeholders, on or before March 1, 2023.*

7 *SEC. 38. Section 4710 of the Welfare and Institutions Code is*  
8 *amended to read:*

9 4710. (a) Adequate notice shall be sent to the applicant or  
10 recipient and the authorized representative, if any, by certified  
11 mail at least 30 days prior to any of the following actions:

12 (1) The agency makes a decision without the mutual consent of  
13 the service recipient or authorized representative to reduce,  
14 terminate, or change services set forth in an individual program  
15 plan.

16 (2) A recipient is determined to be no longer eligible for agency  
17 services.

18 (b) Adequate notice shall be sent to the recipient and the  
19 authorized representative, if any, by certified mail no more than  
20 five working days after the agency makes a decision without the  
21 mutual consent of the recipient or authorized representative, if  
22 any, to deny the initiation of a service or support requested for  
23 inclusion in the individual program plan.

24 (c) If the reason for denial of services or modification of services  
25 in a recipient's individual program plan is a lack of funds in the  
26 regional center budget, the regional center shall be the service  
27 agency responsible for giving adequate notice and participating in  
28 the fair hearing procedure under this chapter.

29 (d) The regional center shall, within 30 days after written notice  
30 is mailed to the applicant or client, notify the department in writing  
31 of the denial if a lack of funds in the regional center budget is the  
32 reason for one of the following:

33 (1) The denial of services to an applicant.

34 (2) The denial of services to a current regional center client  
35 requesting services not included in the client's individual program  
36 plan but determined to be necessary by the interdisciplinary team.

37 (3) Denial, cutback, or termination of current services to a  
38 recipient set forth in the individual program plan.

39 The notification to the department shall include the nature of the  
40 service requested, a request that the department allocate sufficient

1 funds to the regional center within 30 days to provide the service,  
2 the projected cost for the service for the balance of the fiscal year,  
3 and information substantiating the reason for the lack of funds to  
4 purchase the service.

5 (e) If a person requests regional center services and is found to  
6 be ineligible for these services, the regional center shall give  
7 adequate notice pursuant to Section 4701. Notice shall be sent  
8 within five working days of the time limits set forth in Sections  
9 4642 and 4643.

10 (f) The advance notice specified in subdivision (a) shall not be  
11 required when a reduction, termination, or change in services is  
12 determined to be necessary for the health and safety of the  
13 recipient. However, adequate notice shall be given within 10 days  
14 after the service agency action.

15 (g) *This section shall remain in effect only until March 1, 2023,*  
16 *and as of that date is repealed.*

17 SEC. 39. *Section 4710 is added to the Welfare and Institutions*  
18 *Code, to read:*

19 4710. (a) *The regional center or state-operated facility shall*  
20 *send adequate notice, as described in subdivision (a) of Section*  
21 *4701, to the recipient and, if appropriate, the authorized*  
22 *representative, by standard mail, certified mail, or email at their*  
23 *preference as indicated in their individual program plan. This*  
24 *notice shall be sent at least 30 days prior to either of the following*  
25 *actions and shall specify the effective date of the action:*

26 (1) *The regional center or state-operated facility makes a*  
27 *decision to reduce, terminate, or change services set forth in an*  
28 *individual program plan, unless there is mutual consent as defined*  
29 *in subdivision (k) of Section 4701.*

30 (2) *A recipient is determined to be no longer eligible for regional*  
31 *center services.*

32 (b) *The regional center or state-operated facility shall send*  
33 *adequate notice, as described in Section 4701, no more than five*  
34 *business days after it makes a decision to deny the initiation of a*  
35 *service or support requested for inclusion in the individual*  
36 *program plan unless there is mutual consent as defined in*  
37 *subdivision (k) of Section 4701. The notice shall be sent to the*  
38 *recipient and, if appropriate, the authorized representative, in*  
39 *their preferred language, by standard mail, certified mail, or by*

1 email at their preference as indicated in the individual program  
2 plan.

3 (c) If the reason for denial of services or modification of services  
4 in a recipient's individual program plan is a lack of funds in the  
5 regional center budget, the regional center shall be the service  
6 agency responsible for giving adequate notice and participating  
7 in the appeals procedure under this chapter.

8 (d) (1) The regional center shall, within 30 days after written  
9 notice is sent to the applicant or client, notify the department in  
10 writing of the denial if a lack of funds in the regional center budget  
11 is the reason for one of the following:

12 (A) The denial of services to an applicant.

13 (B) The denial of services to a current regional center client  
14 requesting services not included in the client's individual program  
15 plan but determined to be necessary by the interdisciplinary team.

16 (C) Denial, cutback, or termination of current services to a  
17 recipient set forth in the individual program plan.

18 (2) The notification to the department shall include the nature  
19 of the service requested, a request that the department allocate  
20 sufficient funds to the regional center within 30 days to provide  
21 the service, the projected cost for the service for the balance of  
22 the fiscal year, and information substantiating the reason for the  
23 lack of funds to purchase the service.

24 (e) If a person requests regional center services and is found  
25 to be ineligible for these services, the regional center shall give  
26 adequate notice pursuant to Section 4701. Within five business  
27 days of the time limits set forth in Sections 4642 and 4643, notice  
28 shall be sent to the applicant and, if appropriate, the authorized  
29 representative, by standard mail, certified mail, or email at their  
30 preference as indicated at the time of intake.

31 (f) The advance notice specified in subdivision (a) shall not be  
32 required when a reduction, termination, or change in services is  
33 determined to be necessary for the health and safety of the  
34 recipient. However, adequate notice shall be given within 10 days  
35 after the regional center or state-operated facility action.

36 (g) This section shall become operative on March 1, 2023.

37 SEC. 40. Section 4710.5 of the Welfare and Institutions Code  
38 is amended to read:

39 4710.5. (a) Any applicant for or recipient of services, or  
40 authorized representative of the applicant or recipient, who is

1 dissatisfied with any decision ~~or action~~ of the service agency which  
2 ~~he or she believes~~ *they believe* to be illegal, discriminatory, or not  
3 in the recipient's or applicant's best interests, shall, upon filing a  
4 request within 30 days after notification of the decision ~~or action~~  
5 complained of, be afforded an opportunity for a fair hearing. The  
6 opportunity to request a voluntary informal meeting and an  
7 opportunity for mutually agreed upon voluntary mediation shall  
8 also be offered at this time.

9 (b) The request for a fair hearing and for mediation, or for a  
10 voluntary informal meeting, or any combination thereof, shall be  
11 stated in writing on a hearing request form provided by the service  
12 agency.

13 (c) If any person makes a request for mediation or a fair hearing  
14 other than on the hearing forms, the employee of the service agency  
15 who hears or receives the request shall provide the person with a  
16 hearing request form and shall assist the person in filling out the  
17 form if the person requires or requests assistance. Any employee  
18 who willfully fails to comply with this requirement shall be guilty  
19 of a misdemeanor.

20 (d) The hearing request form shall be directed to the director of  
21 the service agency responsible for the action complained of under  
22 subdivision (a). The service agency director shall simultaneously  
23 facsimile (FAX) a copy of the hearing request form to the  
24 department and the director of the responsible state agency or ~~his~~  
25 ~~or her~~ *their* designee pursuant to Section 4704.5 within five  
26 working days of the service agency director's receipt of the request.  
27 The department shall keep a file of all hearing request forms.

28 (e) *This section shall remain in effect only until March 1, 2023,*  
29 *and as of that date is repealed.*

30 SEC. 41. *Section 4710.5 is added to the Welfare and Institutions*  
31 *Code, to read:*

32 4710.5. (a) *Any applicant for or recipient of services, or*  
33 *authorized representative of the applicant or recipient, who is*  
34 *dissatisfied with a decision or action of the regional center or*  
35 *state-operated facility under this division shall, upon filing a*  
36 *request within 60 days after notification of that decision or action,*  
37 *be afforded an opportunity for an informal meeting, a mediation,*  
38 *and a fair hearing.*

1     ***(b) The request for an informal meeting, mediation, or a fair***  
2 ***hearing, or any combination thereof, shall be stated in writing on***  
3 ***the appeal request form prescribed by the department.***

4     ***(c) If any person makes a request for an informal meeting,***  
5 ***mediation, or a fair hearing other than on the appeal request form,***  
6 ***the employee of the regional center, department, or the***  
7 ***state-operated facility who learns of the request shall provide the***  
8 ***person with the appeal request form prescribed by the department***  
9 ***and shall assist the person in filling out the form if the person***  
10 ***requires or requests assistance. Any employee who willfully fails***  
11 ***to comply with this requirement shall be guilty of a misdemeanor.***

12     ***(d) The appeal request form shall be sent to the department***  
13 ***unless the department has designated another agency to receive***  
14 ***the form. The department or another agency that has been***  
15 ***designated to receive the appeal request form shall send an***  
16 ***electronic copy of a hearing request to the hearing office and the***  
17 ***regional center or state-operated facility responsible for the action***  
18 ***described in subdivision (a) within one business day of the***  
19 ***department's or designated agency's receipt of the request. The***  
20 ***department shall maintain information regarding all hearing***  
21 ***request forms.***

22     ***(e) This section shall become operative on March 1, 2023.***

23     ***SEC. 42. Section 4710.6 of the Welfare and Institutions Code***  
24 ***is amended to read:***

25     **4710.6. (a)** Upon receipt by the service agency director of the  
26 hearing request form requesting a fair hearing, mediation, or a  
27 voluntary informal meeting, the service agency director shall  
28 immediately provide adequate notice pursuant to Section 4701 to  
29 the claimant, the claimant's guardian or conservator, parent of a  
30 minor, and authorized representative of the claimant's rights in  
31 connection with the fair hearing, mediation, or informal meeting.  
32 If an informal meeting is requested by the claimant, the service  
33 agency and the claimant shall determine a mutually agreed upon  
34 time for the meeting. The service agency shall notify the claimant  
35 of the date upon which ~~his or her~~ **their** hearing request form was  
36 received by the service agency.

37     **(b)** The written notice shall also confirm the mutually agreed  
38 upon date, time, and place for a voluntary informal meeting, if  
39 desired by the claimant or ~~his or her~~ **their** authorized representative,  
40 with the service agency director or the director's designee. The

1 written notice shall also state that the claimant or ~~his or her~~ *their*  
2 authorized representative may decline an informal meeting.

3 (c) The written notification of rights required pursuant to  
4 subdivision (a) shall not be required if the service agency includes  
5 written notification of those rights with the notice required by  
6 Section 4710.

7 (d) *This section shall remain in effect only until March 1, 2023,*  
8 *and as of that date is repealed.*

9 SEC. 43. Section 4710.6 is added to the Welfare and Institutions  
10 Code, to read:

11 4710.6. (a) (1) *Upon receipt of an appeal request form*  
12 *requesting an informal meeting, the regional center or*  
13 *state-operated facility and the claimant shall determine a mutually*  
14 *agreed-upon date, time, and place for the meeting to be held, which*  
15 *shall be within 10 days of the date the department, or another*  
16 *agency designated by the department, receives the request, or*  
17 *unless the claimant requests a continuance.*

18 (2) *A place pursuant to paragraph (1) may include an agreement*  
19 *of the parties to conduct the informal meeting by telephone,*  
20 *videoconference, or other electronic means.*

21 (b) *The regional center or state-operated facility shall notify*  
22 *the claimant and their authorized representative in writing and in*  
23 *their preferred language of the mutually agreed-upon date, time,*  
24 *and place for the informal meeting.*

25 (c) *A written notification of rights specified in Section 4701*  
26 *shall be provided to the claimant unless the regional center or*  
27 *state-operated facility provided the required written notification*  
28 *of those rights with the notice required by Section 4710.*

29 (d) *This section shall become operative on March 1, 2023.*

30 SEC. 44. Section 4710.7 of the Welfare and Institutions Code  
31 is amended to read:

32 4710.7. (a) Upon requesting a fair hearing, the claimant has  
33 the right to request a voluntary informal meeting with the service  
34 agency director or ~~his or her~~ *the service agency director's* designee.  
35 The purpose of the meeting is to attempt to resolve the issue or  
36 issues that are the subject of the fair hearing appeal informally  
37 prior to the scheduled fair hearing.

38 (b) If an informal meeting is held, it shall be conducted by the  
39 service agency director or ~~his or her~~ *their* designee. The service  
40 agency director or ~~his or her~~ *the service agency director's* designee



1 shall notify the applicant or recipient and ~~his or her~~ *their* authorized  
2 representative of the decision of the informal meeting in writing  
3 within five working days of the meeting.

4 (c) The written decision of the service agency director or ~~his or~~  
5 ~~her~~ *the service agency director's* designee shall:

6 (1) Identify the issues presented by the appeal.

7 (2) Rule on each issue identified.

8 (3) State the facts supporting each ruling.

9 (4) Identify the laws, regulations, and policies upon which each  
10 ruling is based.

11 (d) Prior to the meeting, the claimant or ~~his or her~~ *the claimant's*  
12 authorized representative shall have the right to examine any  
13 documents contained in the individual's service agency file. Access  
14 to records shall be provided pursuant to Article 5 (commencing  
15 with Section 4725).

16 (e) *This section shall remain in effect only until March 1, 2023,*  
17 *and as of that date is repealed.*

18 SEC. 45. Section 4710.7 is added to the Welfare and Institutions  
19 Code, to read:

20 4710.7. (a) *The purpose of the informal meeting is to attempt*  
21 *to resolve the issue or issues that are the subject of the appeal*  
22 *request informally prior to a mediation or fair hearing.*

23 (b) *The informal meeting shall be conducted by the regional*  
24 *center or state-operated facility director or their designee. The*  
25 *regional center or state-operated facility director or their designee*  
26 *shall notify the applicant or recipient and their authorized*  
27 *representative of the decision from the informal meeting in writing*  
28 *within five days of the meeting.*

29 (c) *The written decision of the regional center or state-operated*  
30 *facility director or their designee shall:*

31 (1) *Identify the issues presented by the appeal.*

32 (2) *Rule on each issue identified.*

33 (3) *State the facts supporting each ruling.*

34 (4) *Identify the specific laws, regulations, and policies upon*  
35 *which each ruling is based.*

36 (5) *Be provided in the preferred language of the applicant or*  
37 *recipient, or their authorized representative.*

38 (d) *This section shall become operative on March 1, 2023.*

39 SEC. 46. Section 4710.8 of the Welfare and Institutions Code  
40 is amended to read:

1     4710.8. (a) At an informal meeting, the claimant shall have  
2 the rights stated pursuant to Section 4701.

3     (b) An informal meeting shall be held at a time and place  
4 reasonably convenient to the claimant and the authorized  
5 representative.

6     (c) An informal meeting shall be conducted in the English  
7 language. However, if the claimant, the claimant's guardian or  
8 conservator, the parent of a minor claimant, or the authorized  
9 representative does not understand English, an interpreter shall be  
10 provided who is competent and acceptable to both the person  
11 requiring the interpreter and the service agency director or the  
12 director's designee. Any cost of an interpreter shall be borne by  
13 the service agency.

14     (d) *This section shall remain in effect only until March 1, 2023,*  
15 *and as of that date is repealed.*

16     SEC. 47. *Section 4710.8 is added to the Welfare and Institutions*  
17 *Code, to read:*

18     4710.8. (a) *At an informal meeting, the claimant shall have*  
19 *the rights stated pursuant to Section 4701.*

20     (b) *An informal meeting shall be held consistent with Section*  
21 *4710.6.*

22     (c) *An informal meeting shall be conducted in the English*  
23 *language. However, if the preferred language of the claimant or*  
24 *the authorized representative is not English, an interpreter shall*  
25 *be provided. Any cost of an interpreter shall be borne by the*  
26 *regional center or state-operated facility.*

27     (d) *This section shall become operative on March 1, 2023.*

28     SEC. 48. *Section 4710.9 of the Welfare and Institutions Code*  
29 *is amended to read:*

30     4710.9. (a) If the claimant or ~~his or her~~ *the claimant's*  
31 *authorized representative is satisfied with the decision of the*  
32 *service agency following an informal meeting, ~~he or she~~ they shall*  
33 *withdraw the request for a hearing on the matter decided. The*  
34 *decision of the service agency shall go into effect 10 days after*  
35 *the receipt of the withdrawal of the request for a fair hearing by*  
36 *the service agency. The service agency shall immediately forward*  
37 *a copy of the withdrawal to the department and to the director of*  
38 *the responsible state agency or ~~his or her~~ their designee pursuant*  
39 *to Section 4704.5.*

(b) If the claimant or ~~his or her~~ *the claimant's* authorized representative has declined an informal meeting or is dissatisfied with the decision of the service agency and does not request mediation, the matter shall proceed to a fair hearing. The service agency shall immediately notify the director of the responsible state agency that the fair hearing request has not been withdrawn. A recommendation for consolidation pursuant to Section 4712.2 to the director of the responsible state agency may be made at this time.

(c) *This section shall remain in effect only until March 1, 2023, and as of that date is repealed.*

SEC. 49. *Section 4710.9 is added to the Welfare and Institutions Code, to read:*

4710.9. (a) *If, after the informal meeting, the claimant or their authorized representative is satisfied with all or part of the decision of the regional center or state-operated facility, they shall withdraw the request for an appeal on the matter or matters that have been decided to their satisfaction. The decision of the regional center or state-operated facility shall go into effect 10 days after the receipt of the full or partial withdrawal of the request for an appeal on those matters. The regional center or state-operated facility shall immediately forward a copy of the full or partial withdrawal, including a copy of the written decision, to the department and to the hearing office.*

(b) *If the claimant or their authorized representative is dissatisfied with all or part of the decision of the regional center or state-operated facility, the regional center or state-operated facility shall immediately notify the department and the hearing office that the appeal request has not been withdrawn.*

(c) (1) *If a claimant or their authorized representative has not advised the regional center or state-operated facility whether they want to proceed to a mediation or fair hearing within three days of receiving the informal meeting decision, they may do so at a later date.*

(2) *A decision to proceed to a mediation or fair hearing that is made more than three days after receiving the informal meeting decision shall be considered a waiver of their Medicaid home and community-based services right to a hearing decision within 90 days of the date the original request was received by the department.*

1 (3) *Services provided pursuant to a recipient's individual*  
2 *program plan during the appeal shall end as specified in Section*  
3 *4715.*

4 (4) *Sixty days after receiving the informal meeting decision, the*  
5 *appeal shall be considered withdrawn if the claimant or their*  
6 *authorized representative has not advised the regional center or*  
7 *state-operated facility of their intent to proceed to a mediation or*  
8 *fair hearing.*

9 (d) *A recommendation for consolidation pursuant to Section*  
10 *4712.2 to the hearing office may be made at this time.*

11 (e) *This section shall become operative on March 1, 2023.*

12 SEC. 50. *Section 4711 of the Welfare and Institutions Code is*  
13 *amended to read:*

14 4711. (a) Upon receipt of the hearing request form, where a  
15 fair hearing has been requested but mediation has not, the  
16 responsible state agency director shall immediately notify the  
17 claimant, the claimant's legal guardian or conservator, the parent  
18 of a minor claimant, the claimant's authorized representative, and  
19 the service agency director in writing of all the following  
20 information applicable to fair hearings. Where the hearing request  
21 form contains a request for a fair hearing and mediation, the  
22 notifications shall be made separately, and each notice shall contain  
23 only the information applicable to the particular type of proceeding.

24 ~~(a)~~

25 (1) The time, place, and date of the fair hearing or mediation,  
26 as applicable, if agreed to by the service agency.

27 ~~(b)~~

28 (2) The rights of the parties at the fair hearing pursuant to  
29 Section 4701 or mediation, as applicable, pursuant to Section  
30 4711.5.

31 ~~(c)~~

32 (3) The availability of advocacy assistance pursuant to  
33 subdivision (g) of Section 4701 for both mediation and fair  
34 hearings.

35 ~~(d)~~

36 (4) The name, address, and telephone number of the persons or  
37 offices designated by the director of the responsible state agency,  
38 as applicable, to conduct fair hearings, mediate disputes, and to  
39 receive requests for continuance or consolidation.

40 ~~(e)~~

1 (5) The rights and responsibilities of the parties established  
2 pursuant to subdivisions (d) to (m), inclusive, of Section 4712.

3 (b) *This section shall remain in effect only until March 1, 2023,*  
4 *and as of that date is repealed.*

5 SEC. 51. *Section 4711 is added to the Welfare and Institutions*  
6 *Code, to read:*

7 4711. (a) *Upon receipt of an appeal request form requesting*  
8 *mediation or a fair hearing, the hearing office shall immediately*  
9 *notify the claimant and the claimant's authorized representative,*  
10 *in their preferred language, and the regional center or*  
11 *state-operated facility director in writing of all the following*  
12 *information applicable to mediation and fair hearings:*

13 (1) (A) *The time, place, and date of the mediation or fair*  
14 *hearing, as applicable.*

15 (B) *A place pursuant to paragraph (1) may include an agreement*  
16 *of the parties, or an order by a hearing officer, following a finding*  
17 *of good cause, to conduct the mediation or hearing by telephone,*  
18 *videoconference, or other electronic means.*

19 (2) *The rights of the parties at the mediation or fair hearing*  
20 *pursuant to Section 4701 or 4711.5, as applicable.*

21 (3) *The availability of advocacy assistance pursuant to*  
22 *paragraph (5) of subdivision (a) of Section 4701.*

23 (4) *As applicable, contact information for persons or offices to*  
24 *conduct mediation or fair hearings, and to receive requests for*  
25 *continuance or consolidation.*

26 (5) *The rights and responsibilities of the parties established*  
27 *pursuant to Sections 4711.5 and 4712.*

28 (b) *This section shall become operative on March 1, 2023.*

29 SEC. 52. *Section 4711.5 of the Welfare and Institutions Code*  
30 *is amended to read:*

31 4711.5. (a) *Upon receipt of the written request for mediation,*  
32 *the service agency shall be given five working days to accept or*  
33 *decline mediation.*

34 (b) *If the service agency declines mediation, the notice of that*  
35 *decision shall be sent immediately to the claimant, ~~his or her~~ the*  
36 *claimant's authorized representative, and the director of the*  
37 *responsible state agency.*

38 (c) (1) *If the service agency accepts mediation, the service*  
39 *agency shall immediately send notice of that decision to the*

1 claimant, ~~his or her~~ *the claimant's* authorized representative, and  
2 the director of the responsible state agency.

3 (2) Within five calendar days after the receipt of the notice of  
4 the service agency's decision regarding mediation, the responsible  
5 state agency or the designee of the responsible state agency shall  
6 notify the claimant, ~~his or her~~ *the claimant's* authorized  
7 representative, and the service agency of the information applicable  
8 to voluntary mediation specified in Section 4711. The mediation  
9 shall be held within 30 days of the date the hearing request form  
10 is received by the service agency, unless a continuance is granted  
11 to the claimant at the discretion of the mediator.

12 (3) A continuance granted pursuant to paragraph (2) shall  
13 constitute a waiver of medicaid home and community-based  
14 services of the participant's right to a decision within 90 days of  
15 the date the hearing request form is received by the service agency.  
16 The extension of time for the final decision resulting from the  
17 continuance shall only be as long as the time period of the  
18 continuance.

19 (d) Mediation shall be conducted in an informal, nonadversarial  
20 manner, and shall incorporate the rights of the claimant contained  
21 in paragraphs (1), (3), (4), and (5) of subdivision (f) of Section  
22 4701.

23 (e) The State Department of Developmental Services shall  
24 contract with the mediators that meet the following requirements:

25 (1) Familiarity with the provisions of this division and  
26 implementing regulations, familiarity with the process of  
27 reconciling differences in a nonadversarial, informal manner.

28 (2) The person is not in the business of providing or supervising  
29 services provided to regional centers or to regional center  
30 consumers.

31 (f) During the course of the mediation, the mediator may meet  
32 separately with the participants to the mediation, and may speak  
33 with any party or parties confidentially in an attempt to assist the  
34 parties to reach a resolution that is acceptable to all parties.

35 (g) The mediator shall voluntarily disqualify ~~himself or herself~~  
36 *themselves* and withdraw from any case in which ~~he or she~~ *the*  
37 *mediator* cannot be fair and impartial. Any party may request the  
38 disqualification of the mediator by filing an affidavit, prior to the  
39 voluntary mediation, stating with particularity the grounds upon

1 which it is claimed that a fair and impartial mediation cannot be  
2 accorded. The issue shall be decided by the mediator.

3 (h) Either the service agency or the claimant or ~~his or her~~ *the*  
4 *claimant's* authorized representative may withdraw at any time  
5 from the mediation and proceed to a fair hearing.

6 (i) *This section shall remain in effect only until March 1, 2023,*  
7 *and as of that date is repealed.*

8 SEC. 53. Section 4711.5 is added to the Welfare and Institutions  
9 Code, to read:

10 4711.5. (a) *Upon receipt of an appeal request form requesting*  
11 *mediation, the hearing office shall promptly notify the claimant*  
12 *and their authorized representative, in their preferred language,*  
13 *and the regional center or state-operated facility, of the information*  
14 *applicable to mediation.*

15 (b) (1) *The mediation shall be held within 30 days of the date*  
16 *the appeal request form is received by the department or by another*  
17 *agency designated by the department to receive the appeal request*  
18 *form, or unless a continuance is requested and granted to the*  
19 *claimant at the discretion of the mediator.*

20 (2) *A continuance granted pursuant to paragraph (1) shall*  
21 *constitute a waiver of a Medicaid home and community-based*  
22 *services participant's right to a decision within 90 days of the date*  
23 *the appeal request form is received by the department or by another*  
24 *agency designated by the department to receive the appeal request*  
25 *form. The extension of time for the final decision resulting from*  
26 *the continuance shall be only as long as the time period of the*  
27 *continuance.*

28 (c) *Mediation shall be conducted in an informal, nonadversarial*  
29 *manner, and shall incorporate the rights of the claimant contained*  
30 *in paragraph (8) of subdivision (a) of Section 4701.*

31 (d) *Mediators shall meet both of the following requirements:*

32 (1) *Familiarity with the provisions of this division and*  
33 *implementing regulations, and familiarity with the process of*  
34 *reconciling differences in a nonadversarial, informal manner.*

35 (2) *The person is not in the business of providing or supervising*  
36 *services provided to regional centers or to regional center*  
37 *applicants or recipients.*

38 (e) *During the course of the mediation, the mediator may meet*  
39 *separately with the participants to the mediation, and may speak*

1 *with any party or parties confidentially in an attempt to assist the*  
2 *parties to reach a resolution that is acceptable to all parties.*

3 *(f) The mediator shall voluntarily disqualify themselves and*  
4 *withdraw from any case in which the mediator cannot be fair and*  
5 *impartial. Any party may request the disqualification of the*  
6 *mediator by filing an affidavit, prior to the mediation, stating the*  
7 *specific grounds upon which it is claimed that a fair and impartial*  
8 *mediation cannot be held. The issue shall be decided by the*  
9 *mediator.*

10 *(g) Either the regional center or state-operated facility or the*  
11 *claimant or their authorized representative may withdraw from*  
12 *mediation at any time after the conclusion of the first mediation*  
13 *session, as that time is established by the mediator, and proceed*  
14 *to a fair hearing, if a fair hearing has been requested by the*  
15 *claimant.*

16 *(h) This section shall become operative on March 1, 2023.*

17 *SEC. 54. Section 4711.7 of the Welfare and Institutions Code*  
18 *is amended to read:*

19 4711.7. (a) If the issue or issues involved in the mediation are  
20 resolved to the satisfaction of both parties, the mediator shall  
21 prepare a written resolution. Agreement of the claimant or ~~his or~~  
22 ~~her~~ the claimant's authorized representative to the final solution  
23 shall be accompanied by a withdrawal, in writing, of the fair  
24 hearing request. The final resolution shall go into effect 10 days  
25 after receipt of the withdrawal of the request for a fair hearing by  
26 the service agency. The mediator shall immediately forward a copy  
27 of the withdrawal to the director of the responsible state agency.

28 (b) If the mediation fails to resolve an issue or issues to the  
29 satisfaction of the claimant, or ~~his or her~~ the claimant's authorized  
30 representative, the matter shall proceed to fair hearing with respect  
31 to the unresolved issue or issues as provided under this chapter,  
32 and the mediator shall immediately notify the director of the  
33 responsible state agency of the outcome of the mediation.

34 *(c) This section shall remain in effect only until March 1, 2023,*  
35 *and as of that date is repealed.*

36 *SEC. 55. Section 4711.7 is added to the Welfare and Institutions*  
37 *Code, to read:*

38 4711.7. (a) If the issue or issues involved in the mediation are  
39 resolved or partially resolved to the satisfaction of both parties,  
40 the mediator shall prepare a written resolution. Agreement of the



1 claimant or their authorized representative to the written resolution  
2 shall be accompanied by a withdrawal or partial withdrawal, in  
3 writing, of any fair hearing request. The written resolution shall  
4 go into effect 10 days after receipt of the claimant's agreement to  
5 the written resolution. The mediator shall promptly forward a copy  
6 of the written resolution and any full or partial withdrawal of a  
7 fair hearing request to the hearing office.

8 (b) If the mediation fails to resolve an issue or issues to the  
9 satisfaction of the claimant, or their authorized representative,  
10 the matter shall, at the claimant's request, proceed to a fair hearing  
11 with respect to the unresolved issue or issues as provided under  
12 this chapter.

13 (c) The mediator, after the conclusion of the mediation, shall  
14 promptly notify the hearing office, department, claimant and their  
15 authorized representative, and regional center of the outcome of  
16 the mediation and whether or not the claimant wants to proceed  
17 to a fair hearing. If the claimant or their authorized representative  
18 has not indicated whether they want to proceed to a fair hearing,  
19 the matter shall be taken off the calendar and continued until the  
20 time that the claimant requests a hearing or withdraws the hearing  
21 request. The continuance shall be considered a waiver of their  
22 Medicaid home- and community-based waiver services right to a  
23 hearing decision within 90 days of the date the original request  
24 was received by the department.

25 (d) Services provided pursuant to a recipient's individual  
26 program plan during the appeal shall end as specified in Section  
27 4715.

28 (e) Sixty days after the mediator's notice of the outcome of the  
29 mediation, the appeal shall be considered withdrawn if the claimant  
30 or their authorized representative has not indicated their intent to  
31 proceed to a fair hearing.

32 (f) This section shall become operative on March 1, 2023.

33 SEC. 56. Section 4712 of the Welfare and Institutions Code is  
34 amended to read:

35 4712. (a) The fair hearing shall be held within 50 days of the  
36 date the hearing request form is received by the service agency,  
37 unless a continuance based upon a showing of good cause has been  
38 granted to the claimant. The service agency may also request a  
39 continuance based upon a showing of good cause, provided that  
40 the granting of the continuance does not extend the time period

1 for rendering a final administrative decision beyond the 90-day  
2 period provided for in this chapter. For purposes of this section,  
3 good cause includes, but is not limited to, the following  
4 circumstances:

5 (1) Death of a spouse, parent, child, brother, sister, grandparent  
6 of the claimant or authorized representative, or legal guardian or  
7 conservator of the claimant.

8 (2) Personal illness or injury of the claimant or authorized  
9 representative.

10 (3) Sudden and unexpected emergencies, including, but not  
11 limited to, court appearances of the claimant or authorized  
12 representative, conflicting schedules of the authorized  
13 representative if the conflict is beyond the control of the authorized  
14 representative.

15 (4) Unavailability of a witness or evidence, the absence of which  
16 would result in serious prejudice to the claimant.

17 (5) An intervening request by the claimant or the claimant's  
18 authorized representative for mediation.

19 (b) Notwithstanding Sections 19130, 19131, and 19132 of the  
20 Government Code, the department shall contract for the provision  
21 of independent hearing officers. Hearing officers shall have had  
22 at least two years of full-time legal training at a California or  
23 American Bar Association accredited law school or the equivalent  
24 in training and experience as established by regulations to be  
25 adopted by the department pursuant to Section 4705. These hearing  
26 officers shall receive training in the law and regulations governing  
27 services to developmentally disabled individuals and administrative  
28 hearings. Training shall include, but not be limited to, the  
29 Lanterman Developmental Disabilities Services Act and regulations  
30 adopted thereunder, relevant case law, information about services  
31 and supports available to persons with developmental disabilities,  
32 including innovative services and supports, the standard agreement  
33 contract between the department and regional centers and regional  
34 center purchase-of-service policies, and information and training  
35 on protecting the rights of consumers at administrative hearings,  
36 with emphasis on assisting, where appropriate, those consumers  
37 represented by themselves or an advocate inexperienced in  
38 administrative hearings in fully developing the administrative  
39 record. The State Department of Developmental Services shall  
40 seek the advice of the State Council on Developmental Disabilities,

1 the protection and advocacy agency designated by the Governor  
2 in this state to fulfill the requirements and assurances of the federal  
3 Developmental Disabilities Assistance and Bill of Rights Act of  
4 2000, contained in Chapter 144 (commencing with Section 15001)  
5 of Title 42 of the United States Code, the Association of Regional  
6 Center Agencies, and other state agencies or organizations and  
7 consumers and family members as designated by the department  
8 in the development of standardized hearing procedures for hearing  
9 officers and training materials and the implementation of training  
10 procedures by the department. The department shall provide formal  
11 training for hearing officers on at least an annual basis. The training  
12 shall be developed and presented by the department, however, the  
13 department shall invite those agencies and organizations listed in  
14 this subdivision to participate.

15 (c) The hearing officer shall not be an employee, agent, board  
16 member, or contractor of the service agency against whose action  
17 the appeal has been filed, or a spouse, parent, child, brother, sister,  
18 grandparent, legal guardian, or conservator of the claimant, or any  
19 person who has a direct financial interest in the outcome of the  
20 fair hearing, or any other interest which would preclude a fair and  
21 impartial hearing.

22 (d) The claimant and the service agency shall exchange a list  
23 of potential witnesses, the general subject of the testimony of each  
24 witness, and copies of all potential documentary evidence at least  
25 five calendar days prior to the hearing. The hearing officer may  
26 prohibit testimony of a witness that is not disclosed and may  
27 prohibit the introduction of documents that have not been disclosed.  
28 However, the hearing officer may allow introduction of the  
29 testimony or witness in the interest of justice.

30 (e) (1) The fair hearing shall be held at a time and place  
31 reasonably convenient to the claimant and the authorized  
32 representative. The claimant or the authorized representative of  
33 the claimant and the regional center shall agree on the location of  
34 the fair hearing.

35 (2) A location pursuant to paragraph (1) may include an  
36 agreement to conduct the hearing by telephone, videoconference,  
37 or other electronic means.

38 (f) Merits of a pending fair hearing shall not be discussed  
39 between the hearing officer and a party outside the presence of the  
40 other party.

(g) The hearing officer shall voluntarily disqualify themselves and withdraw from any case in which the hearing officer cannot accord a fair and impartial hearing or consideration. Any party may request the disqualification of the hearing officer by filing an affidavit, prior to the taking of evidence at a hearing, stating with particularity the grounds upon which it is claimed that a fair and impartial hearing cannot be accorded. The issue shall be decided by the hearing officer.

(h) Both parties to the fair hearing shall have the rights specified in subdivision (f) of Section 4701.

(i) The fair hearing need not be conducted according to the technical rules of evidence and those related to witnesses. Any relevant evidence shall be admitted. Both parties shall be allowed to submit documents into evidence at the beginning of the hearing. No party shall be required to formally authenticate any document unless the hearing officer determines the necessity to do so in the interest of justice. All testimony shall be under oath or affirmation which the hearing officer is empowered to administer.

(j) A service agency shall present its witnesses and all other evidence before the claimant presents the claimant's case unless the parties agree otherwise or the hearing officer determines that there exists good cause for a witness to be heard out of order. This section does not alter the burden of proof.

(k) A recording shall be made of the proceedings before the hearing officer. Any cost of recording shall be borne by the responsible state agency.

(l) The fair hearing shall be conducted in the English language. However, if the claimant, the claimant's guardian or conservator, parent of a minor claimant, or authorized representative does not understand English, an interpreter shall be provided by the responsible state agency.

(m) The fair hearing shall be open to the public except at the request of the claimant or authorized representative or when personnel matters are being reviewed.

(n) The agency awarded the contract for independent hearing officers shall biennially conduct, or cause to be conducted, an evaluation of the hearing officers who conduct hearings under this part. The department shall approve the methodology used to conduct the evaluation. Information and data for this evaluation shall be solicited from consumers who were claimants in an

administrative hearing over the past two years, their family members or authorized representative if involved in the hearing, regional centers, and nonattorney advocates, attorneys who represented either party in an administrative hearing over the past two years, and the organizations identified in subdivision (b). Regional centers shall forward copies of administrative decisions reviewed by the superior court to the department. The areas of evaluation shall include, but not be limited to, the hearing officers' demeanor toward parties and witnesses, conduct of the hearing in accord with fairness and standards of due process, ability to fairly develop the record in cases where consumers represent themselves or are represented by an advocate that does not have significant experience in administrative hearings, use of legal authority, clarity of written decisions, and adherence to the requirements of subdivision (b) of Section 4712.5. The department shall be provided with a copy of the evaluation and shall use the evaluation in partial fulfillment of its evaluation of the contract for the provision of independent hearing officers. A summary of the data collected shall be made available to the public upon request, provided that the names of individual hearing officers and consumers shall not be disclosed.

*(o) This section shall remain in effect only until March 1, 2023, and as of that date is repealed.*

SEC. 57. Section 4712 is added to the Welfare and Institutions Code, to read:

4712. (a) (1) *The fair hearing shall be held within 50 days of the date the appeal request form is received by the department or other agency designated by the department to receive appeal request forms, unless a continuance is granted to the claimant. A claimant's first request for a continuance made prior to the commencement of the hearing shall be granted without a showing of good cause. A claimant may request additional continuances based on a showing of good cause. A claimant's request for a continuance shall result in a waiver of the claimant's Medicaid home and community-based services right to a decision within 90 days of the date the appeal request form is received.*

*(2) The regional center or state-operated facility also may request a continuance based upon a showing of good cause, provided that the granting of the continuance does not extend the time period for rendering a final administrative decision beyond*

1 *the 90-day period provided for in this chapter. For purposes of*  
2 *this section, good cause for either party includes, but is not limited*  
3 *to, the following circumstances:*

4 *(A) Death of a spouse, parent, child, brother, sister, or*  
5 *grandparent of the claimant or authorized representative, or of*  
6 *the regional center or state-operated facility representative.*

7 *(B) Personal illness or injury of the claimant or authorized*  
8 *representative, or of the regional center or state-operated facility*  
9 *representative.*

10 *(C) Sudden and unexpected emergencies, including, but not*  
11 *limited to, court appearances or conflicting schedules if the conflict*  
12 *is beyond the control of the claimant, authorized representative,*  
13 *or regional center or state-operated facility representative.*

14 *(D) Unavailability of a witness or evidence, the absence of*  
15 *which would result in serious prejudice to the claimant or the*  
16 *regional center or state-operated facility.*

17 *(E) An intervening request for mediation by the claimant or the*  
18 *claimant's authorized representative.*

19 *(b) (1) Notwithstanding Sections 19130, 19131, and 19132 of*  
20 *the Government Code, the department shall contract for the*  
21 *provision of independent hearing officers. Hearing officers shall*  
22 *have had at least two years of full-time legal training at a*  
23 *California or American Bar Association accredited law school or*  
24 *the equivalent in training and experience as established by*  
25 *regulations adopted by the department.*

26 *(2) Hearing officers shall receive training and information in*  
27 *the law and regulations governing services to people with*  
28 *intellectual and developmental disabilities and administrative*  
29 *hearings. Training shall include, but not be limited to, the*  
30 *Lanterman Developmental Disabilities Services Act and regulations*  
31 *adopted thereunder, relevant written directives and guidance*  
32 *issued by the department, relevant case law, information about*  
33 *services and supports available to persons with developmental*  
34 *disabilities, including innovative services and supports, the*  
35 *standard agreement contract between the department and regional*  
36 *centers, and regional center purchase-of-service policies. The*  
37 *training shall include methods to create an impartial and informal*  
38 *hearing environment that encourages the free and open exchange*  
39 *of information and engages the parties to bring out relevant facts,*  
40 *protecting the rights of claimants at fair hearings, with emphasis*

1 on assisting, if appropriate, an unrepresented claimant, family  
2 member, authorized representative, or advocate inexperienced in  
3 administrative hearings in fully developing the administrative  
4 record. The training also shall include information about  
5 disabilities and disability-related supports that may enable  
6 participation in a hearing, and reasonable accommodations to  
7 reduce barriers.

8 (3) The department and the hearing office shall seek the advice  
9 of stakeholders, including recipients and family members  
10 representing diverse disabilities and backgrounds, the State  
11 Council on Developmental Disabilities, the protection and  
12 advocacy agency identified in Division 4.7 (commencing with  
13 Section 4900) and designated by the Governor in this state to fulfill  
14 the requirements and assurances of the federal Developmental  
15 Disabilities Assistance and Bill of Rights Act of 2000, contained  
16 in Chapter 144 (commencing with Section 15001) of Title 42 of  
17 the United States Code, the Association of Regional Center  
18 Agencies, advocacy organizations, and other state agencies or  
19 organizations in the development of standardized hearing  
20 procedures for hearing officers and training materials and the  
21 implementation of training procedures by the department. The  
22 department shall provide formal training for hearing officers on  
23 at least an annual basis.

24 (c) The hearing officer shall not be an employee, agent, board  
25 member, or contractor of the regional center or state-operated  
26 facility against whose action the appeal has been filed, or a spouse,  
27 parent, child, brother, sister, grandparent, legal guardian, or  
28 conservator of the claimant, or any person who has a direct  
29 financial interest in the outcome of the fair hearing, or any other  
30 interest that would preclude a fair and impartial hearing.

31 (d) (1) The regional center or state-operated facility shall  
32 prepare a position statement and send it electronically to the  
33 hearing office and the claimant, unless the claimant has  
34 communicated an alternative way to receive the document, at least  
35 two business days prior to the hearing. The position statement  
36 shall summarize the facts of the case and set forth the justification  
37 of the regional center's or state-operated facility's action and shall  
38 include a list of witnesses that it intends to call during the hearing,  
39 the general subject of the testimony of each witness, and copies of  
40 all documentary evidence that it intends to use.

1     (A) *If the preferred language of the claimant or their authorized*  
2 *representative is not English, the regional center also shall provide*  
3 *a copy of the position statement in their preferred language.*

4     (B) *If the regional center or state-operated facility cannot*  
5 *provide a copy of the position statement in the preferred language*  
6 *of the claimant or their authorized representative two business*  
7 *days prior to the hearing, the regional center or state-operated*  
8 *facility shall notify the claimant or their authorized representative,*  
9 *provide a copy of the position statement in English, and apply for*  
10 *a continuance of the hearing accompanied by evidence of its efforts*  
11 *to translate the position statement.*

12     (C) *The hearing officer shall, unless the claimant or their*  
13 *authorized representative indicates they want to proceed to*  
14 *hearing, continue the hearing for no more than 10 days following*  
15 *a determination that the regional center or state-operated facility*  
16 *has provided satisfactory evidence of its efforts to translate the*  
17 *position statement. The translated position statement shall be*  
18 *provided at least two business days prior to the continued hearing.*

19     (2) *At least two business days prior to the hearing, a claimant*  
20 *who is not represented by an attorney licensed to practice law in*  
21 *California shall make available to the regional center or*  
22 *state-operated facility and the hearing office a list of intended*  
23 *witnesses and a brief description of their relationship to the*  
24 *claimant and copies of any professional assessments or reports*  
25 *related to eligibility or services that the claimant intends to use at*  
26 *hearing. At or before the hearing, the claimant also shall make*  
27 *available to the regional center or state-operated facility and the*  
28 *hearing office copies of all other intended documentary evidence.*

29     (3) *If the claimant is represented by, or is, an attorney licensed*  
30 *to practice law in California, the claimant's attorney shall prepare*  
31 *a position statement and make it available to the regional center*  
32 *or state-operated facility and the hearing office at least two*  
33 *business days prior to the hearing. The position statement shall*  
34 *summarize the facts of the case and set forth the justification for*  
35 *the claimant's position and shall include a list of intended*  
36 *witnesses, the general subject of the testimony of each witness,*  
37 *and copies of all documentary evidence intended to be used.*

38     (4) (A) *The hearing officer may prohibit testimony of a witness*  
39 *or the introduction of documents that have not been disclosed.*



1 *However, the hearing officer may allow introduction of documents*  
2 *or witness testimony in the interest of justice.*

3 *(B) In considering an unrepresented claimant's failure to*  
4 *disclose testimony or documents, the hearing officer also may*  
5 *consider the claimant's understanding of the disclosure*  
6 *requirements, disability factors that made it difficult for the*  
7 *claimant to comply with the rule, and the impact of excluding*  
8 *testimony or documents on the hearing officer's ability to assist*  
9 *the parties in bringing out relevant facts.*

10 *(e) (1) The fair hearing shall be held at a time and place*  
11 *reasonably convenient to the claimant and the authorized*  
12 *representative. The claimant or the authorized representative of*  
13 *the claimant and the regional center shall agree on the place of*  
14 *the fair hearing.*

15 *(2) A place pursuant to paragraph (1) may include an agreement*  
16 *of the parties, or an order by a hearing officer, following a finding*  
17 *of good cause, to conduct the hearing by telephone,*  
18 *videoconference, or other electronic means.*

19 *(f) Merits of a pending fair hearing shall not be discussed*  
20 *between the hearing officer and a party outside the presence of*  
21 *the other party.*

22 *(g) The hearing officer shall voluntarily disqualify themselves*  
23 *and withdraw from any case in which the hearing officer cannot*  
24 *accord a fair and impartial hearing or consideration. Any party*  
25 *may request the disqualification of the hearing officer by filing an*  
26 *affidavit or making an objection on the record, prior to the taking*  
27 *of evidence at a hearing, stating with particularity the grounds*  
28 *upon which it is claimed that a fair and impartial hearing cannot*  
29 *be accorded. The issue shall be decided by the hearing officer and*  
30 *may be reviewed as part of the reconsideration process specified*  
31 *in Section 4713.*

32 *(h) Both parties to the fair hearing shall have the rights specified*  
33 *in subparagraphs (A) to (C), inclusive, of paragraph (9) of*  
34 *subdivision (a) of Section 4701.*

35 *(i) (1) The fair hearing shall be conducted in an impartial and*  
36 *informal manner in order to encourage the presentation of*  
37 *information and the free and open discussion by participants. The*  
38 *hearing officer shall make their best effort to fully and fairly*  
39 *develop the record and create an environment in which all relevant*

1 facts, both favorable and unfavorable, are brought out and to  
2 engage the parties to bring out the facts.

3 (2) The fair hearing need not be conducted according to the  
4 technical rules of evidence and those related to witnesses. Any  
5 relevant evidence shall be admitted. Both parties shall be allowed  
6 to submit documents into evidence at the beginning of the hearing.  
7 A party shall not be required to formally authenticate any document  
8 unless the hearing officer determines the necessity to do so in the  
9 interest of justice. All testimony shall be under oath or affirmation  
10 which the hearing officer is empowered to administer.

11 (3) The hearing officer may do any of the following during the  
12 hearing to assist in bringing out all relevant facts:

13 (A) Question a witness on the record.

14 (B) Call a witness to testify at the hearing.

15 (C) Hold the record open to allow a party to obtain necessary  
16 testimony or documentation identified by the hearing officer during  
17 the course of the fair hearing.

18 (j) A regional center or state-operated facility shall present its  
19 witnesses and all other evidence before the claimant presents the  
20 claimant's case unless the parties agree otherwise or the hearing  
21 officer determines that it is appropriate for a witness to be heard  
22 out of order. This section does not alter the burden of proof.

23 (k) A recording shall be made of the proceedings before the  
24 hearing officer. Any cost of recording shall be borne by the hearing  
25 office.

26 (l) The fair hearing shall be conducted in the English language.  
27 However, if the preferred language of the claimant or authorized  
28 representative is not English, an interpreter shall be provided by  
29 the hearing office.

30 (m) The fair hearing shall be open to the public except at the  
31 request of the claimant or authorized representative or when  
32 personnel matters are being reviewed.

33 (n) The hearing office awarded the contract for independent  
34 hearing officers shall biennially conduct, or cause to be conducted,  
35 an evaluation of the hearing officers who conduct hearings under  
36 this part. The department shall approve the methodology used to  
37 conduct the evaluation. Information and data for this evaluation  
38 shall be solicited from recipients or applicants who participated  
39 in a fair hearing over the past two years, their family members or  
40 authorized representative if involved in the hearing, regional

1 centers, and nonattorney advocates, attorneys who represented  
2 either party in a fair hearing over the past two years, and the  
3 organizations identified in paragraph (3) of subdivision (b).  
4 Regional centers shall forward copies of fair hearing decisions  
5 reviewed by the superior court to the department. The areas of  
6 evaluation shall include, but not be limited to, the hearing officers'  
7 demeanor toward parties and witnesses, conduct of the hearing  
8 in accord with fairness and standards of due process, ability to  
9 fairly develop the record in cases in which applicants or recipients  
10 represent themselves or are represented by an advocate that does  
11 not have significant experience in fair hearings, use of legal  
12 authority, clarity of written decisions, and adherence to the  
13 requirements of subdivision (c) of Section 4712.5. The department  
14 shall be provided with a copy of the evaluation and shall use the  
15 evaluation in partial fulfillment of its evaluation of the contract  
16 for the provision of independent hearing officers. A summary of  
17 the data collected shall be made available to the public upon  
18 request, provided that the names of individual hearing officers  
19 and the names and other identifiable information about applicants  
20 and recipients shall not be disclosed.

21 (o) This section shall become operative on March 1, 2023.

22 SEC. 58. Section 4712.2 of the Welfare and Institutions Code  
23 is amended to read:

24 4712.2. (a) Two or more claimants with a common complaint,  
25 or their authorized representatives, or a service agency may request  
26 the consolidation of appeals involving a common question of law  
27 or fact. The hearing officer may grant the request for consolidation  
28 if the hearing officer finds that consolidation would not result in  
29 prejudice or undue inconvenience to any party, undue delay, or a  
30 violation of any claimant's right to confidentiality unless the  
31 claimant agrees to have otherwise confidential information revealed  
32 to other claimants. Requests for consolidation shall be forwarded  
33 to the hearing officer, and postmarked within five working days  
34 of the receipt of the notice sent pursuant to Section 4711. The  
35 hearing officer shall notify the parties and authorized  
36 representatives, if any, of a request for consolidation and shall  
37 afford an opportunity for any written objections to be submitted.

38 (b) In all consolidated hearings, each individual claimant shall  
39 have all the rights specified in subdivision (f) of Section 4701. A

1 separate written decision shall be issued to each claimant and  
2 respective authorized representatives.

3 *(c) This section shall remain in effect only until March 1, 2023,*  
4 *and as of that date is repealed.*

5 SEC. 59. Section 4712.2 is added to the Welfare and Institutions  
6 Code, to read:

7 4712.2. (a) Two or more claimants with a common complaint,  
8 or their authorized representatives, or a regional center or  
9 state-operated facility may request the consolidation of appeals  
10 involving a common question of law or fact. The hearing officer  
11 may grant the request for consolidation if the hearing officer finds  
12 that consolidation would not result in prejudice or undue  
13 inconvenience to any party, undue delay, or a violation of any  
14 claimant's right to confidentiality unless the claimant agrees to  
15 have otherwise confidential information revealed to other  
16 claimants. Requests for consolidation shall be forwarded to the  
17 hearing officer and postmarked within five days of the receipt of  
18 the notice sent pursuant to Section 4711. The hearing officer shall  
19 notify the parties and authorized representatives, if any, of a  
20 request for consolidation and shall afford an opportunity for any  
21 written objections to be submitted.

22 (b) In all consolidated hearings, each individual claimant shall  
23 have all the rights specified in Section 4701. A separate written  
24 decision shall be issued to each claimant and respective authorized  
25 representatives.

26 (c) This section shall become operative on March 1, 2023.

27 SEC. 60. Section 4712.5 of the Welfare and Institutions Code,  
28 as amended by Section 435 of Chapter 615 of the Statutes of 2021,  
29 is amended to read:

30 4712.5. (a) Except as provided in subdivision (c), within 10  
31 working days of the concluding day of the state hearing, but not  
32 later than 80 days following the date the hearing request form was  
33 received, the hearing officer shall render a written decision and  
34 shall transmit the decision to each party and to the director of the  
35 responsible state agency, along with notification that this is the  
36 final administrative decision, that each party shall be bound  
37 thereby, and that either party may appeal the decision to a court  
38 of competent jurisdiction within 90 days of the receiving notice  
39 of the final decision.

(b) The hearing officer's decision shall be in ordinary and concise language and shall contain a summary of the facts, a statement of the evidence from the proceedings that was relied upon, a decision on each of the issues presented, and an identification of the statutes, regulations, and policies supporting the decision.

(c) Where the decision involves an issue arising from the federal home- and community-based service waiver program, the hearing officer's decision shall be a proposed decision submitted to the Director of Health Care Services as the single state agency for the Medicaid program. Within 90 days following the date the hearing request form is postmarked or received, whichever is earlier, the director may adopt the decision as written or decide the matter on the record. If the Director of Health Care Services does not act on the proposed decision within 90 days, the decision shall be deemed to be adopted by the Director of Health Care Services. The final decision shall be immediately transmitted to each party, along with the notice described in subdivision (a). If the decision of the Director of Health Care Services differs from the proposed decision of the hearing officer, a copy of that proposed decision shall also be served upon each party.

(d) The department shall collect and maintain, or cause to be collected and maintained, redacted copies of all administrative hearing decisions issued under this division. Hearing decisions shall be categorized by the type of service or support that was the subject of the hearing and by the year of issuance. The department shall make copies of the decisions available to the public upon request at a cost per page not greater than that which it charges for document requests submitted pursuant to Division 10 (commencing with Section 7920.000) of Title 1 of the Government Code. The department shall use this information in partial fulfillment of its obligation to monitor regional centers and in its evaluation of the contract for the provision of independent hearing officers.

(e) *This section shall remain in effect only until March 1, 2023, and as of that date is repealed.*

SEC. 61. *Section 4712.5 is added to the Welfare and Institutions Code, to read:*

4712.5. (a) (1) *Within 10 business days of the concluding day of the fair hearing, but not later than 80 days following the date the appeal request form was received by the department or by*

1 another agency designated by the department to receive the appeal  
2 request form, the hearing officer shall render any final written  
3 decision delegated by subdivisions (d) and (e) and shall transmit  
4 the decision to each party, to the director of the hearing office,  
5 and the department, along with notification that this is the final  
6 administrative decision, that each party shall be bound thereby,  
7 and that either party may request a reconsideration pursuant to  
8 subdivision (b) of Section 4713 within 15 days of receiving the  
9 decision or appeal the decision to a court of competent jurisdiction  
10 within 180 days of receiving the final decision.

11 (2) Within 10 days of the concluding day of the fair hearing,  
12 but not later than 60 days following the date the appeal request  
13 form was received by the department or by another agency  
14 designated by the department to receive the appeal request form,  
15 the hearing officer shall render any proposed written decision as  
16 allowed by subdivisions (d) and (e) and shall electronically  
17 transmit the decision to the applicable departments identified in  
18 subdivisions (d) and (e).

19 (b) A continuance granted to a claimant shall constitute a waiver  
20 of their Medicaid home- and community-based services right to a  
21 decision within 90 days of the date the appeal request form is  
22 received by the department or by another agency designated by  
23 the department to receive the appeal request form. The extension  
24 of time for the final decision resulting from the continuance shall  
25 be only as long as the time period of the continuance.

26 (c) (1) The hearing officer's decision shall be in ordinary and  
27 concise language and shall contain a summary of the facts, a  
28 statement of the evidence from the proceedings that was relied  
29 upon, a decision on each of the issues presented, and an  
30 identification of the statutes, regulations, and policies supporting  
31 the decision.

32 (2) If the claimant's or their authorized representative's  
33 preferred language is not English, the hearing office shall provide  
34 the proposed or final written decision in English and in their  
35 preferred language.

36 (d) (1) In addition to any other delegation of authority granted  
37 to the Director of Health Care Services, the director may delegate  
38 their authority to adopt final decisions under this chapter to the  
39 hearing officers described in subdivision (b) of Section 4712 to

1 *the extent deemed appropriate by the director. Any delegation*  
2 *shall be stated in writing.*

3 *(2) If the decision involves an issue arising from the federal*  
4 *Medicaid home- and community-based services waiver program,*  
5 *the hearing officer's decision shall be a proposed decision*  
6 *submitted to the Director of Health Care Services as the single*  
7 *state agency for the Medicaid program. Within 90 days following*  
8 *the date the appeal request form is received, the director may*  
9 *adopt the decision as written or decide the matter on the record.*  
10 *If the Director of Health Care Services does not act on the*  
11 *proposed decision within 90 days, the decision shall be deemed*  
12 *to be adopted by the Director of Health Care Services. The final*  
13 *decision shall be immediately transmitted to each party, along*  
14 *with the notice described in paragraph (1) of subdivision (a). If*  
15 *the decision of the Director of Health Care Services differs from*  
16 *the proposed decision of the hearing officer, a copy of that*  
17 *proposed decision shall also be served upon each party.*

18 *(3) If the claimant's or their authorized representative's*  
19 *preferred language is not English, the final administrative hearing*  
20 *decision of the director and the proposed decision of the hearing*  
21 *officer shall be provided to that person in English and in their*  
22 *preferred language.*

23 *(e) (1) The Director of Developmental Services shall have the*  
24 *authority to review a hearing officer's proposed decision or to*  
25 *delegate their authority to adopt final decisions to the hearing*  
26 *officers described in subdivision (b) of Section 4712. The authority*  
27 *for hearing officers to adopt final decisions may be made within*  
28 *specified issues or types of cases to the extent deemed appropriate*  
29 *by the director. Any delegation shall be stated in writing.*

30 *(2) Within 30 days following receipt of the proposed decision,*  
31 *the Director of Developmental Services may adopt the proposed*  
32 *decision as written or decide the matter on the record, including*  
33 *the recording, with or without taking additional evidence. If the*  
34 *director does not act on the proposed decision within the 30 days,*  
35 *the proposed decision shall be deemed to be adopted by the*  
36 *director. The State Department of Developmental Services shall*  
37 *promptly transmit a final decision to each party, along with the*  
38 *notice described in paragraph (1) of subdivision (a). If the final*  
39 *decision of the director differs from the proposed decision of the*

1 hearing officer, a copy of the proposed decision also shall be  
2 served upon each party.

3 (3) If the claimant's or their authorized representative's  
4 preferred language is not English, the final hearing decision of  
5 the director and the proposed decision of the hearing officer shall  
6 be provided to that person in English and in their preferred  
7 language.

8 (f) The hearing office shall collect and maintain, or cause to be  
9 collected and maintained, redacted copies, that do not include any  
10 identifiable information concerning the claimant, of all final  
11 administrative hearing decisions issued under this chapter. Hearing  
12 decisions shall be searchable by the type of service or support that  
13 was the subject of the hearing, by the month and year of issuance,  
14 and any other categories identified by the State Department of  
15 Developmental Services. The hearing office shall make available  
16 to the public on the hearing office's internet website searchable  
17 and downloadable redacted copies of all final administrative  
18 hearing decisions. These decisions shall be available no later than  
19 30 days after the date of the final hearing decision. The State  
20 Department of Developmental Services shall use this information  
21 in partial fulfillment of its obligation to monitor regional centers  
22 and in its evaluation of the contract for the provision of  
23 independent hearing officers.

24 (g) This section shall become operative on March 1, 2023.

25 SEC. 62. Section 4712.7 of the Welfare and Institutions Code  
26 is amended to read:

27 4712.7. (a) In addition to any other delegation of authority  
28 granted to the Director of Health Services, the director may  
29 delegate ~~his or her~~ *their* authority to adopt final decisions under  
30 this chapter to hearing officers described in subdivision (b) of  
31 Section 4712 to the extent deemed appropriate by the director. The  
32 delegation shall be in writing.

33 (b) This section shall remain in effect only until March 1, 2023,  
34 and as of that date is repealed.

35 SEC. 63. Section 4713 of the Welfare and Institutions Code is  
36 amended to read:

37 4713. (a) If the hearing officer's decision is unfavorable to the  
38 claimant, and the claimant has been receiving the services ~~which~~  
39 *that* have been the subject of the appeal, the hearing officer's



1 decision shall not be implemented until 10 days after receipt of  
2 certified mailing to the claimant and the authorized representative.

3 (b) If the claimant, the claimant's guardian or conservator, parent  
4 of a minor claimant, or authorized representative cannot understand  
5 English, the written decision shall be provided by the responsible  
6 state agency to that person in English and in such language which  
7 such person comprehends.

8 (c) *This section shall remain in effect only until March 1, 2023,*  
9 *and as of that date is repealed.*

10 SEC. 64. *Section 4713 is added to the Welfare and Institutions*  
11 *Code, to read:*

12 4713. (a) *If the final decision is unfavorable to the claimant,*  
13 *and the claimant has been receiving the services that have been*  
14 *the subject of the appeal, the decision shall not be implemented*  
15 *until 15 days after receipt of the final hearing decision by the*  
16 *claimant and the authorized representative, unless a*  
17 *reconsideration is requested within 15 days from the date of the*  
18 *final hearing decision. If a reconsideration is requested, any*  
19 *services shall continue as specified in Section 4715.*

20 (b) *Within 15 days of the date of the final hearing decision, a*  
21 *party may apply to the hearing office or to the director responsible*  
22 *for issuing the final decision for a correction of a mistake of fact*  
23 *or law, or a clerical error in the decision or in the decision of the*  
24 *hearing officer not to recuse themselves following a request*  
25 *pursuant to subdivision (g) of Section 4712. The party shall state*  
26 *the specific grounds on which the application is made. Notice of*  
27 *the application shall be given to the other parties to the proceeding*  
28 *and to the department. The application is not a prerequisite for*  
29 *seeking judicial review. The other party may file a written*  
30 *statement supporting or opposing the application.*

31 (c) *The hearing office shall refer the application to a hearing*  
32 *officer who did not write the decision for which reconsideration*  
33 *is requested.*

34 (d) *Within 15 days of receiving the request, the hearing office*  
35 *or the director responsible for issuing the decision may deny the*  
36 *application, grant the application and modify the decision, or*  
37 *grant the application and set the matter for further proceedings.*  
38 *If further proceedings are authorized, they shall be conducted in*  
39 *the same manner and subject to the same time limits as the original*  
40 *hearing. Within one day of its decision on the application, the*

1 hearing office shall notify the parties and the department of its  
2 decision on the application.

3 (e) The hearing office or the director responsible for issuing  
4 the decision shall, within five business days after correction of a  
5 mistake of fact or law or clerical error in the decision, serve a  
6 copy of the corrected decision on each party and provide a copy  
7 to the State Department of Developmental Services.

8 (f) This section shall become operative on March 1, 2023.

9 SEC. 65. Section 4713.5 is added to the Welfare and Institutions  
10 Code, to read:

11 4713.5. (a) The regional center shall implement the final  
12 hearing decision as soon as practical and not later than 30 days  
13 following the date of the final hearing decision, or, if a  
14 reconsideration was granted, the regional center shall implement  
15 the final corrected decision 30 days following the reconsideration,  
16 unless the regional center notifies the claimant and their authorized  
17 representative in writing, with a copy to the department, of the  
18 specific exceptional circumstances that make it impossible to  
19 implement the decision within that timeframe and provides the  
20 date when the decision will be implemented.

21 (b) The claimant or authorized representative may contact the  
22 department if they are dissatisfied with the regional center's or  
23 the state-operated facility's compliance with the decision. Upon  
24 notification, the department shall take appropriate actions to obtain  
25 compliance with the decision.

26 SEC. 66. Section 4714 of the Welfare and Institutions Code is  
27 amended to read:

28 4714. (a) Commencing July 1, 1999, for each appeal request  
29 submitted pursuant to Section 4710.5, regional centers and  
30 developmental centers shall submit information to the department  
31 including, but not limited to, all of the following:

32 (1) Whether the case was resolved through an informal meeting  
33 or mediation.

34 (2) Whether an informal meeting or mediation was declined,  
35 and if so, by which party.

36 (3) The issue or issues involved in the case.

37 (4) The outcome of the case if a fair hearing was held.

38 (b) The information collected pursuant to this section shall be  
39 compiled by the department and made available to the public upon  
40 request.

1     (c) *This section shall remain in effect only until March 1, 2023,*  
2     *and as of that date is repealed.*

3     SEC. 67. *Section 4714 is added to the Welfare and Institutions*  
4     *Code, to read:*

5     4714. (a) *For each appeal request form submitted pursuant*  
6     *to Section 4710.5, the department shall collect information related*  
7     *to the appeal from regional centers or state-operated facilities in*  
8     *a manner and at times determined by the department. The*  
9     *information collected shall include, but is not limited to, all of the*  
10    *following:*

11    (1) *Whether the case was resolved before an informal meeting*  
12    *or through an informal meeting.*

13    (2) *Whether the case was withdrawn and the reason for the*  
14    *withdrawal.*

15    (3) *Whether an informal meeting was declined.*

16    (4) *The issue or issues involved in the case by issue type, as*  
17    *specified by the department.*

18    (5) *If the case was resolved, the resolution outcome.*

19    (6) *Demographic information, as identified by the department,*  
20    *about the claimants.*

21    (7) *A copy of the written decision of the regional center director*  
22    *or designee or state-operated facility director or designee.*

23    (b) *For each appeal request submitted to the hearing office to*  
24    *conduct a mediation or a fair hearing, the department shall collect*  
25    *information relating to the request from the hearing office. The*  
26    *information provided shall include, but is not limited to, all of the*  
27    *following:*

28    (1) *Whether the request was resolved before mediation, through*  
29    *mediation, through a fair hearing, through a reconsideration, or*  
30    *through a different means.*

31    (2) *Whether the request was withdrawn and the reason for the*  
32    *withdrawal.*

33    (3) *The issue or issues involved in the request by issue type, as*  
34    *specified by the department.*

35    (4) *If the request was resolved, the outcome.*

36    (5) *A copy of the written mediation agreement, the final*  
37    *administrative hearing decision, and the final reconsideration*  
38    *decision, as applicable.*

39    (6) *The average length of time between filing and resolution of*  
40    *the request.*

1     (7) *Demographic information, as identified by the department,*  
2     *about claimants.*

3     (c) *The information collected pursuant to this section shall be*  
4     *compiled by the department. The department shall post aggregate*  
5     *information on its internet website at least annually and shall*  
6     *notify the Legislature when the information has been posted. The*  
7     *names of recipients and applicants and other personally*  
8     *identifiable information shall not be disclosed.*

9     (d) *This section shall become operative on March 1, 2023.*

10    SEC. 68. *Section 4715 of the Welfare and Institutions Code is*  
11    *amended to read:*

12    4715. (a) Except as otherwise provided in this section, if a  
13    request for a hearing is postmarked or received by the service  
14    agency no later than 10 days after receipt of the notice of the  
15    proposed action mailed pursuant to subdivision (a) of Section 4710,  
16    services that are being provided pursuant to a recipient's individual  
17    program plan shall be continued during the appeal procedure up  
18    to and including the 10th day after receipt of any of the following:

19    (1) Receipt by the service agency, following an informal  
20    meeting, of the withdrawal of the fair hearing request pursuant to  
21    Section 4710.9.

22    (2) Receipt by the service agency, following mediation, of the  
23    withdrawal of the fair hearing request pursuant to subdivision (a)  
24    of Section 4711.4.

25    (3) Receipt by the recipient of the final decision of the hearing  
26    officer or single stage agency pursuant to subdivisions (a) and (c)  
27    of Section 4712.5.

28    (b) Services continued pursuant to subdivision (a) may be  
29    modified by agreement of the parties in accordance with the  
30    decision of the interdisciplinary team and the individual program  
31    plan.

32    (c) Any appeal to a court by either party shall not operate as a  
33    stay of enforcement of the final administrative decision, provided  
34    that either party may seek a stay of enforcement from any court  
35    of competent jurisdiction.

36    (d) *This section shall remain in effect only until March 1, 2023,*  
37    *and as of that date is repealed.*

38    SEC. 69. *Section 4715 is added to the Welfare and Institutions*  
39    *Code, to read:*

1     4715. (a) Except as provided in this section, if an appeal  
2 request is postmarked or received by the department or by another  
3 agency designated by the department to receive the appeal request,  
4 no later than 30 days after receipt of the notice of the proposed  
5 action sent pursuant to subdivision (a) of Section 4710, but no  
6 later than the effective date of the action, services that are being  
7 provided pursuant to a recipient's individual program plan shall  
8 be continued during the appeals process up to and including the  
9 10th day after receipt of any of the following:

10     (1) Receipt by the regional center or state-operated facility,  
11 following an informal meeting, of the withdrawal of the appeal  
12 request pursuant to subdivision (a) of Section 4710.9, or the  
13 claimant's receipt of the informal meeting decision if the claimant  
14 has not made a decision to withdraw the appeal request or proceed  
15 to hearing within three days of receipt of the informal meeting  
16 decision pursuant to subdivision (c) of Section 4710.9.

17     (2) Receipt by the regional center or state-operated facility,  
18 following mediation, of the withdrawal of the appeal request  
19 pursuant to subdivision (a) of Section 4711.7 or the date the  
20 hearing office takes the appeal off the calendar if the claimant has  
21 not decided to withdraw the appeal request or proceed to the fair  
22 hearing pursuant to subdivision (b) of Section 4711.7.

23     (3) Receipt by the recipient of the final administrative hearing  
24 decision of the hearing officer or state agency pursuant to  
25 subdivision (a), (d), or (e) of Section 4712.5.

26     (4) Receipt by the recipient of the final decision of the hearing  
27 officer following a rehearing pursuant to Section 4713 if a  
28 rehearing was requested within 15 days from the date of the final  
29 administrative hearing decision pursuant to subdivision (a) of  
30 Section 4713.

31     (b) Services continued pursuant to subdivision (a) may be  
32 modified by agreement of the parties in accordance with the  
33 decision of the interdisciplinary team and the individual program  
34 plan.

35     (c) Any appeal to a court by either party shall not operate as a  
36 stay of enforcement of the final administrative decision, provided  
37 that either party may seek a stay of enforcement from any court  
38 of competent jurisdiction.

39     (d) This section shall become operative on March 1, 2023.

1     SEC. 70. *Section 4717 is added to the Welfare and Institutions*  
2     *Code, to read:*

3     4717. *The hearing office, in collaboration with the department,*  
4     *shall establish and maintain an advisory committee composed of*  
5     *stakeholders including recipients and family members, the State*  
6     *Council on Developmental Disabilities, the protection and*  
7     *advocacy agency identified in Section 4900 et. seq and designated*  
8     *by the Governor to fulfill the requirements and assurances of the*  
9     *federal Developmental Disabilities Assistance and Bill of Rights*  
10    *Act of 2000, contained in Chapter 144 (commencing with Section*  
11    *15001) of Title 42 of the United States Code, representatives of*  
12    *regional centers, advocacy organizations, and the Association of*  
13    *Regional Center Agencies. The advisory committee shall meet at*  
14    *least semiannually and assist the hearing office by providing*  
15    *nonbinding recommendations for improvements to fair hearing*  
16    *and mediation operations.*

17    SEC. 71. *Section 4725 of the Welfare and Institutions Code is*  
18    *amended to read:*

19    4725. For the purposes of this article:

20    (a) "Access" means the right to inspect, review, and obtain an  
21    accurate copy of any record obtained in the course of providing  
22    services under this division. ~~A service agency regional center or~~  
23    ~~state-operated facility~~ may make a reasonable charge in an amount  
24    not to exceed the actual cost of reproducing the record, unless the  
25    imposition of the cost would prohibit the exercise of the right to  
26    obtain a copy. ~~No A charge may shall not~~ be made to search for  
27    or retrieve any record.

28    (b) "Record" means any item of information directly relating  
29    to a person with developmental disabilities or to one who is  
30    believed to have a developmental disability—~~which~~ *that* is  
31    maintained by ~~a service agency, regional center or state-operated~~  
32    ~~facility~~, whether recorded by handwriting, print, tapes, film,  
33    microfilm, or other means.

34    SEC. 72. *Section 4726 of the Welfare and Institutions Code is*  
35    *amended to read:*

36    4726. Notwithstanding ~~the provisions of~~ Section 5328, access  
37    to records shall be provided to an applicant for, or recipient of,  
38    services or to ~~his or her~~ *their* authorized representative, including  
39    the person appointed as a developmental services decisionmaker

pursuant to Section 319, 361, or 726, for purposes of the appeal procedure process under this chapter.

SEC. 73. Section 4728 of the Welfare and Institutions Code is amended to read:

4728. Each ~~service agency~~ regional center and the department for its state-operated facilities shall adopt procedures for granting of requests by persons authorized under Section 4726 for access to records during regular business hours, provided that access shall be granted no later than three business days following the date of receipt of the oral or written request for access. Procedures shall include notice of the location of all records and the provision of qualified personnel to interpret records if requested.

SEC. 74. Section 4729 of the Welfare and Institutions Code is amended to read:

4729. Whenever access to ~~service agency~~ regional center or state-operated facility records is requested, the ~~service agency~~ regional center or state-operated facility shall provide at least the following information:

- (a) The types of records maintained by the service agency.
- (b) The position of the official responsible for the maintenance of records.
- (c) The right of access to the records, and the policies of the ~~service agency~~ regional center or state-operated facility for obtaining access, including the cost, if any, consistent with subdivision (a) of Section 4725, to be charged for reproducing copies of records.

SEC. 75. Section 4731 of the Welfare and Institutions Code is amended to read:

4731. (a) Each consumer or any representative acting on behalf of any consumer or consumers, who believes that any right to which a consumer is entitled has been abused, punitively withheld, or improperly or unreasonably denied by a regional center, ~~developmental center~~, state-operated facility, or service provider, may pursue a complaint as provided in this section.

(b) Initial referral of any complaint taken pursuant to this section shall be to the director of the regional center from which the consumer receives case management services. If the consumer resides in a ~~state developmental center~~, state-operated facility, the complaint shall be made to the director of ~~that state developmental center~~. the state-operated facility. The director shall, within 20

1 working days of receiving a complaint, investigate the complaint  
2 and send a written proposed resolution to the complainant and, if  
3 applicable, to the service provider. The written proposed resolution  
4 shall include a telephone number and mailing address for referring  
5 the proposed resolution in accordance with subdivision (c).

6 (c) If the complainant is not satisfied with the proposed  
7 resolution, the complainant may refer the complaint, in writing,  
8 to the Director of Developmental Services within 15 working days  
9 of receipt of the proposed resolution. The director shall, within 45  
10 days of receiving a complaint, issue a written administrative  
11 decision and send a copy of the decision to the complainant, the  
12 director of the regional center or ~~state developmental center,~~  
13 *state-operated facility*, and the service provider, if applicable. If  
14 there is no referral to the department, the proposed resolution shall  
15 become effective on the 20th working day following receipt by  
16 the complainant.

17 (d) The department shall annually compile the number of  
18 complaints filed, by each regional center and ~~state developmental~~  
19 ~~center-catchment area,~~ *state-operated facility*, the subject matter  
20 of each complaint, and a summary of each decision. Copies shall  
21 be made available to any person upon request.

22 (e) This section shall not be used to resolve disputes concerning  
23 the nature, scope, or amount of services and supports that should  
24 be included in an individual program plan, for which there is an  
25 ~~appeal~~ *appeals* procedure established in this division, or disputes  
26 regarding rates or audit appeals for which there is an ~~appeal~~ *appeals*  
27 procedure established in regulations. Those disputes shall be  
28 resolved through the appeals procedure established by this division  
29 or in regulations.

30 (f) All consumers or, ~~where if appropriate, their parents, legal~~  
31 ~~guardian, conservator, or~~ authorized representative, shall be notified  
32 in writing in ~~a language which they comprehend,~~ *their preferred*  
33 *language* of the right to file a complaint pursuant to this section  
34 when they apply for services from a regional center or ~~are admitted~~  
35 ~~to a developmental center,~~ *a state-operated facility*, and at each  
36 regularly scheduled planning meeting.

37 *SEC. 76. Section 4783 of the Welfare and Institutions Code is*  
38 *amended to read:*

39 4783. (a) (1) The Family Cost Participation Program is hereby  
40 created in the State Department of Developmental Services for the



1 purpose of assessing a cost participation to parents, as defined in  
2 Section 50215 of Title 17 of the California Code of Regulations,  
3 who have a child to whom all of the following applies:

4 (A) The child has a developmental disability or is eligible for  
5 services under the California Early Intervention Services Act (Title  
6 14 (commencing with Section 95000) of the Government Code).

7 (B) The child is ~~zero years of age through~~ to 17 years of ~~age-~~  
8 *age, inclusive*.

9 (C) The child lives in the parents' home.

10 (D) The child receives services and supports purchased through  
11 the regional center.

12 (E) The child is not eligible for Medi-Cal.

13 (2) Notwithstanding any other ~~provision of~~ law, a parent  
14 described in subdivision (a) shall participate in the Family Cost  
15 Participation Program established pursuant to this section.

16 (3) Application of this section to children ~~zero through~~ to two  
17 years of age, inclusive, shall be contingent upon approval by the  
18 United States Department of Education.

19 (b) (1) The department shall develop and establish a Family  
20 Cost Participation Schedule that shall be used by regional centers  
21 to assess the parents' cost participation. The schedule shall consist  
22 of a sliding scale for families with an annual gross income not less  
23 than 400 percent of the federal poverty guideline, and be adjusted  
24 for the level of annual gross income and the number of persons  
25 living in the family home.

26 (2) The schedule established pursuant to this section shall be  
27 exempt from the rulemaking provisions of the Administrative  
28 Procedure Act (Chapter 3.5 (commencing with Section 11340) of  
29 Part 1 of Division 3 of Title 2 of the Government Code).

30 (c) Family cost participation assessments shall only be applied  
31 to respite, ~~day care, daycare,~~ and camping services that are included  
32 in the child's individual program plan or individualized family  
33 service plan for children ~~zero through~~ to two years of ~~age-~~ *age,*  
34 *inclusive*.

35 (d) If there is more than one minor child living in the parents'  
36 home and receiving services or supports paid for by the regional  
37 center, or living in a 24-hour out-of-home facility, including a  
38 developmental center, the assessed amount shall be adjusted as  
39 follows:

(1) A parent that meets the criteria specified in subdivision (b) with two children shall be assessed at 75 percent of the respite, ~~day care~~, *daycare*, and camping services in each child's individual program plan or individualized family service plan for each child living at home.

(2) A parent that meets the criteria specified in subdivision (b) with three children shall be assessed at 50 percent of the respite, ~~day care~~, *daycare*, and camping services included in each child's individual program plan or individualized family service plan for each child living at home.

(3) A parent that meets the criteria specified in subdivision (b) with four children shall be assessed 25 percent of the respite, ~~day care~~, *daycare*, and camping services included in each child's individual program plan or individualized family service plan for each child living at home.

(4) A parent that meets the criteria specified in subdivision (b) with more than four children shall be exempt from participation in the Family Cost Participation Program.

(e) For each child, the amount of cost participation shall be less than the amount of the parental fee that the parent would pay if the child lived in a 24-hour, out-of-home facility.

(f) Commencing January 1, 2005, each regional center shall be responsible for administering the Family Cost Participation Program.

(g) Family cost participation assessments or reassessments shall be conducted as follows:

(1) (A) A regional center shall assess the cost participation for all parents of current consumers who meet the criteria specified in this section. A regional center shall use the most recent individual program plan or individualized family service plan for this purpose.

(B) A regional center shall assess the cost participation for parents of newly identified consumers at the time of the initial individual program plan or the individualized family service plan.

(C) Reassessments for cost participation shall be conducted as part of the individual program plan or individual family service plan review pursuant to subdivision (b) of Section ~~4646~~ *4646.5* of this code or subdivision (f) of Section 95020 of the Government Code.

1 (D) The parents are responsible for notifying the regional center  
2 when a change in family income occurs that would result in a  
3 change in the assessed amount of cost participation.

4 (2) Parents shall self-certify their gross annual income to the  
5 regional center by providing copies of W-2 Wage Earners  
6 Statements, payroll stubs, a copy of the prior year's state income  
7 tax return, or other documents and proof of other income.

8 (3) A regional center shall notify parents of the parents' assessed  
9 cost participation within 10 working days of receipt of the parents'  
10 complete income documentation.

11 (4) Parents who have not provided copies of income  
12 documentation pursuant to paragraph (2) shall be assessed the  
13 maximum cost participation based on the highest income level  
14 adjusted for family size until ~~such time as~~ the appropriate income  
15 documentation is provided. Parents who subsequently provide  
16 income documentation that results in a reduction in their cost  
17 participation shall be reimbursed for the actual cost difference  
18 incurred for services identified in the individual program plan or  
19 individualized family service plan for respite, ~~day care, daycare,~~  
20 and camping services, for 90 calendar days preceding the  
21 reassessment. The actual cost difference is the difference between  
22 the maximum cost participation originally assessed and the  
23 reassessed amount using the parents' complete income  
24 documentation, that is substantiated with receipts showing that the  
25 services have been purchased by the parents.

26 (5) The executive director of the regional center may grant a  
27 cost participation adjustment for parents who incur an unavoidable  
28 and uninsured catastrophic loss with direct economic impact on  
29 the family or who substantiate, with receipts, significant  
30 unreimbursed medical costs associated with care for a child who  
31 is a regional center consumer. A redetermination of the cost  
32 participation adjustment shall be made at least annually.

33 (h) A provider of respite, ~~day care, daycare,~~ or camping services  
34 shall not charge a rate for the parents' share of cost that is higher  
35 than the rate paid by the regional center for its share of cost.

36 (i) The department shall develop, and regional centers shall use,  
37 all forms and documents necessary to administer the program  
38 established pursuant to this section. The forms and documents  
39 shall be posted on the department's ~~Internet Web site. internet~~  
40 *website*. A regional center shall provide appropriate materials to

1 parents at the initial individual program plan or individualized  
2 family service plan meeting and subsequent individual program  
3 plan or individualized family service plan review meetings. These  
4 materials shall include a description of the Family Cost  
5 Participation Program.

6 (j) The department shall include an audit of the Family Cost  
7 Participation Program during its audit of a regional center.

8 (k) (1) Parents of children ~~ages three through~~ *3 to 17 years of*  
9 ~~age~~ *age, inclusive*, may appeal an error in the amount of the  
10 parents' cost participation to the executive director of the regional  
11 center within 30 days of notification of the amount of the assessed  
12 cost participation. The parents may appeal to the Director of  
13 Developmental Services, or ~~his or her~~ *the director's* designee, any  
14 decision by the executive director made pursuant to this subdivision  
15 within 15 days of receipt of the written decision of the executive  
16 director.

17 (2) Parents of children ~~ages three through~~ *3 to 17 years of age*  
18 ~~age~~ *age, inclusive*, who dispute the decision of the executive director  
19 pursuant to paragraph (5) of subdivision (g) shall have a right to  
20 a fair hearing as described in, and the regional center shall provide  
21 notice pursuant to, Chapter 7 (commencing with Section 4700).  
22 This paragraph shall become inoperative on July 1, 2006.

23 (3) On and after July 1, 2006, a parent described in paragraph  
24 (2) shall have the right to appeal the decision of the executive  
25 director to the Director of Developmental Services, or ~~his or her~~  
26 *the director's* designee, within 15 days of receipt of the written  
27 decision of the executive director.

28 (l) For parents of children ~~ages zero through~~ *to two years of*  
29 ~~age~~ *age, inclusive*, the complaint, mediation, and due process  
30 procedures set forth in Sections 52170 to 52174, inclusive, of Title  
31 17 of the California Code of Regulations shall be used to resolve  
32 disputes regarding this section.

33 (m) The department may adopt emergency regulations to  
34 implement this section. The adoption, amendment, repeal, or  
35 readoption of a regulation authorized by this section is deemed to  
36 be necessary for the immediate preservation of the public peace,  
37 health and safety, or general welfare, for purposes of Sections  
38 11346.1 and 11349.6 of the Government Code, and the department  
39 is ~~hereby~~ exempted from the requirement that it describe specific  
40 facts showing the need for immediate action. A certificate of

1 compliance for these implementing regulations shall be filed within  
2 24 months following the adoption of the first emergency  
3 regulations filed pursuant to this subdivision.

4 (n) By April 1, 2005, and annually thereafter, the department  
5 shall report to the appropriate fiscal and policy committees of the  
6 Legislature on the status of the implementation of the Family Cost  
7 Participation Program established under this section. On and after  
8 April 1, 2006, the report shall contain all of the following:

9 (1) The annual total purchase of services savings attributable  
10 to the program per regional center.

11 (2) The annual costs to the department and each regional center  
12 to administer the program.

13 (3) The number of families assessed a cost participation per  
14 regional center.

15 (4) The number of cost participation adjustments granted  
16 pursuant to paragraph (5) of subdivision (g) per regional center.

17 (5) The number of appeals filed pursuant to subdivision (k) and  
18 the number of those appeals granted, modified, or denied.

19 (o) *Commencing July 1, 2022, to June 30, 2023, inclusive,*  
20 *regional centers shall suspend existing and new assessments and*  
21 *reassessments of the cost participation. The suspensions shall no*  
22 *longer occur on or after July 1, 2023.*

23 *SEC. 77. Section 4785 of the Welfare and Institutions Code is*  
24 *amended to read:*

25 4785. (a) (1) ~~Effective July 1, 2011, a~~ A regional center shall  
26 assess an annual family program fee, as described in subdivision  
27 (b), from parents whose adjusted gross family income is at or above  
28 400 percent of the federal poverty level based upon family size  
29 and who have a child to whom all of the following apply:

30 (A) The child has a developmental disability or is eligible for  
31 services under the California Early Intervention Services Act (Title  
32 14 (commencing with Section 95000) of the Government Code).

33 (B) The child is less than 18 years of age.

34 (C) The child lives with ~~his or her~~ *the child's* parent.

35 (D) The child or family receives services beyond eligibility  
36 determination, needs assessment, and service coordination.

37 (E) The child does not receive services through the Medi-Cal  
38 program.

39 (2) An annual family program fee shall not be assessed or  
40 collected pursuant to this section if the child receives only respite,

1 ~~day-care, daycare,~~ or camping services from the regional center,  
2 and a cost for participation is assessed to the parents under the  
3 Family Cost Participation Program.

4 (3) The annual family program fee shall be initially assessed  
5 by a regional center at the time of the development, scheduled  
6 review, or modification of the individual program plan (IPP)  
7 pursuant to Sections 4646 and 4646.5, or the individualized family  
8 services plan (IFSP) pursuant to Section 95020 of the Government  
9 Code, but no later than June 30, 2012, and annually thereafter.

10 (4) Application of this section to children ~~zero-through~~ to two  
11 years of age, inclusive, shall be contingent upon necessary approval  
12 by the United States Department of Education.

13 (b) (1) The annual family program fee for parents described in  
14 paragraph (1) of subdivision (a) shall be two hundred dollars (\$200)  
15 per family, regardless of the number of children in the family with  
16 developmental disabilities or who are eligible for services under  
17 the California Early Intervention Services Act.

18 (2) Notwithstanding paragraph (1), parents described in  
19 paragraph (1) of subdivision (a) who demonstrate to the regional  
20 center that their adjusted gross family income is less than 800  
21 percent of the federal poverty level shall be required to pay an  
22 annual family program fee of one hundred fifty dollars (\$150) per  
23 family, regardless of the number of children in the family with  
24 developmental disabilities or who are eligible for services under  
25 the California Early Intervention Services Act.

26 (c) At the time of intake or at the time of development,  
27 scheduled review, or modification of a consumer's IPP or IFSP,  
28 but no later than June 30, 2012, the regional center shall provide  
29 to parents described in paragraph (1) of subdivision (a) a form and  
30 an envelope for the mailing of the annual family program fee to  
31 the department. The form, which shall include the name of the  
32 children in the family currently being served by a regional center  
33 and their unique client identifiers, shall be sent, with the family's  
34 annual program fee, to the department.

35 (d) The department shall notify each regional center at least  
36 quarterly of the annual family program fees collected.

37 (e) The regional center shall, within 30 days after notification  
38 from the department pursuant to subdivision (d), provide a written  
39 notification to the parents from whom the department has not  
40 received the annual family program fees. Regional centers shall

1 notify the department if a family receiving notification pursuant  
2 to this section has failed to pay its annual family program fees  
3 based on the subsequent notice pursuant to subdivision (d). For  
4 these families, the department shall pursue collection pursuant to  
5 the Accounts Receivable Management Act (Chapter 4.3  
6 (commencing with Section 16580) of Part 2 of Division 4 of Title  
7 2 of the Government Code).

8 (f) A regional center may grant an exemption to the assessment  
9 of an annual family program fee if the parents demonstrate any of  
10 the following:

11 (1) That the exemption is necessary to maintain the child in the  
12 family home.

13 (2) The existence of an extraordinary event that impacts the  
14 parents' ability to pay the fee or the parents' ability to meet the  
15 care and supervision needs of the child.

16 (3) The existence of a catastrophic loss that temporarily limits  
17 the ability of the parents to pay and creates a direct economic  
18 impact on the family. For purposes of this paragraph, catastrophic  
19 loss may include, but is not limited to, natural disasters, accidents  
20 involving, or major injuries to, an immediate family member, and  
21 extraordinary medical expenses.

22 (g) Services shall not be delayed or denied for a consumer or  
23 child based upon the lack of payment of the annual family program  
24 fee.

25 (h) For purposes of this section, "parents" means the parents,  
26 whether natural, adoptive, or both, of a child with developmental  
27 disabilities under 18 years of age.

28 (i) Parents described in paragraph (1) of subdivision (a) shall  
29 be jointly and severally responsible for the annual family program  
30 fee, unless a court order directs otherwise.

31 (j) (1) "Total adjusted gross family income" means income  
32 acquired, earned, or received by parents as payment for labor or  
33 services, support, gift, or inheritance, or parents' return on  
34 investments. It also includes the community property interest of a  
35 parent in the gross adjusted income of a stepparent.

36 (2) The total adjusted gross family income shall be determined  
37 by adding the gross income of both parents, regardless of whether  
38 they are divorced or legally separated, unless a court order directs  
39 otherwise, or unless the custodial parent certifies in writing that  
40 income information from the noncustodial parent cannot be

1 obtained from the noncustodial parent and in this circumstance  
2 only the income of the custodial parent shall be used to determine  
3 the annual family program fee.

4 *(k) Commencing July 1, 2022, to June 30, 2023, inclusive,*  
5 *regional centers shall suspend existing and new assessments,*  
6 *reassessments, and collections of the annual family program fee.*  
7 *The suspensions shall no longer occur on or after July 1, 2023.*

8 SEC. 78. Section 4785.1 is added to the Welfare and Institutions  
9 Code, to read:

10 4785.1. *On or before January 10, 2023, the State Department*  
11 *of Developmental Services shall submit to the Legislature, as part*  
12 *of the annual budget process, a plan to revise the Family Cost*  
13 *Participation Program, as established pursuant to Section 4783,*  
14 *and the annual family program fee, as established pursuant to*  
15 *Section 4785. The plan shall be developed with input from*  
16 *stakeholders. The plan shall consider changes that include, but*  
17 *are not limited to, those that promote administrative efficiency*  
18 *and program compliance.*

19 SEC. 79. Section 4851 of the Welfare and Institutions Code is  
20 amended to read:

21 4851. The definitions contained in this chapter shall govern  
22 the construction of this chapter, with respect to habilitation services  
23 provided through the regional center, and unless the context  
24 requires otherwise, the following terms shall have the following  
25 meanings:

26 (a) “Habilitation services” means community-based services  
27 purchased or provided for adults with developmental disabilities,  
28 including services provided under the Work Activity Program and  
29 the Supported Employment Program, to prepare and maintain them  
30 at their highest level of vocational functioning, or to prepare them  
31 for referral to vocational rehabilitation services.

32 (b) “Individual program plan” means the overall plan developed  
33 by a regional center pursuant to Section 4646.

34 (c) “Individual habilitation service plan” means the service plan  
35 developed by the habilitation service vendor to meet employment  
36 goals in the individual program plan.

37 (d) “Department” means the State Department of Developmental  
38 Services.

39 (e) “Work activity program” includes, but is not limited to,  
40 sheltered workshops or work activity centers, or community-based



1 work activity programs certified pursuant to subdivision (f) or  
2 accredited by CARF, the Rehabilitation Accreditation Commission.

3 (f) "Certification" means certification procedures developed by  
4 the Department of Rehabilitation.

5 (g) "Work activity program day" means the period of time  
6 during which a Work Activity Program provides services to  
7 consumers.

8 ~~(h) "Full day of service" means, for purposes of billing, a day~~  
9 ~~in which the consumer attends a minimum of the declared and~~  
10 ~~approved work activity program day, less 30 minutes, excluding~~  
11 ~~the lunch period.~~

12 ~~(i) "Half day of service" means, for purposes of billing, any day~~  
13 ~~in which the consumer's attendance does not meet the criteria for~~  
14 ~~billing for a full day of service as defined in subdivision (g), and~~  
15 ~~the consumer attends the work activity program not less than two~~  
16 ~~hours, excluding the lunch period.~~

17 ~~(j)~~

18 (h) "Supported employment program" means a program that  
19 meets the requirements of subdivisions ~~(n) to (s)~~, (l) to (q),  
20 inclusive.

21 ~~(k)~~

22 (i) "Consumer" means any adult who receives services  
23 purchased under this chapter.

24 ~~(l)~~

25 (j) "Accreditation" means a determination of compliance with  
26 the set of standards appropriate to the delivery of services by a  
27 work activity program or supported employment program,  
28 developed by CARF, the Rehabilitation Accreditation Commission,  
29 and applied by the commission or the department.

30 ~~(m)~~

31 (k) "CARF" means CARF the Rehabilitation Accreditation  
32 Commission.

33 ~~(n)~~

34 (l) "Supported employment" means paid work that is integrated  
35 in the community for individuals with developmental disabilities.

36 ~~(o)~~

37 (m) "Integrated work" means the engagement of an employee  
38 with a disability in work in a setting typically found in the  
39 community in which individuals interact with individuals without  
40 disabilities other than those who are providing services to those

1 individuals, to the same extent that individuals without disabilities  
2 in comparable positions interact with other persons.

3 ~~(p)~~

4 (n) “Supported employment placement” means the employment  
5 of an individual with a developmental disability by an employer  
6 in the community, directly or through contract with a supported  
7 employment program. This includes provision of ongoing support  
8 services necessary for the individual to retain employment.

9 ~~(q)~~

10 (o) “Allowable supported employment services” means the  
11 services approved in the individual program plan and specified in  
12 the individual habilitation service plan for the purpose of achieving  
13 supported employment as an outcome, and may include any of the  
14 following:

15 (1) Job development, to the extent authorized by the regional  
16 center.

17 (2) Program staff time for conducting job analysis of supported  
18 employment opportunities for a specific consumer.

19 (3) Program staff time for the direct supervision or training of  
20 a consumer or consumers while they engage in integrated work  
21 unless other arrangements for consumer supervision, including,  
22 but not limited to, employer supervision reimbursed by the  
23 supported employment program, are approved by the regional  
24 center.

25 (4) Community-based training in adaptive functional and social  
26 skills necessary to ensure job adjustment and retention.

27 (5) Counseling with a consumer’s significant other to ensure  
28 support of a consumer in job adjustment.

29 (6) Advocacy or intervention on behalf of a consumer to resolve  
30 problems affecting the consumer’s work adjustment or retention.

31 (7) Ongoing support services needed to ensure the consumer’s  
32 retention of the job.

33 ~~(r)~~

34 (p) “Group services” means job coaching in a group supported  
35 employment placement at a job coach-to-consumer ratio of not  
36 less than one-to-three nor more than one-to-eight where services  
37 to a minimum of three consumers are funded by the regional center  
38 or the Department of Rehabilitation. For consumers receiving  
39 group services, ongoing support services shall be limited to job  
40 coaching and shall be provided at the worksite.

(s)

(q) “Individualized services” means job coaching and other supported employment services for regional center-funded consumers in a supported employment placement at a job coach-to-consumer ratio of one-to-one, and that decrease over time until stabilization is achieved. Individualized services may be provided on or off the jobsite.

*SEC. 80. Section 4863 of the Welfare and Institutions Code is amended to read:*

4863. (a) In accordance with regulations adopted by the department, and if agreed upon by the work activity program and the regional center, hourly billing shall be permitted, provided that it does not increase the regional center’s costs when used in lieu of full-day ~~or half-day~~ billing. A work activity program shall be required to submit a request for the hourly billing option to the regional center not less than 60 days prior to the program’s proposed implementation of this billing option.

(b) If a work activity program and the regional center elect to utilize hourly billing, the hourly billing process shall be required to be used for a minimum of one year.

(c) When the hourly billing process is being used, the definitions contained in subdivisions (h) and (i) of Section 4851 shall not apply.

*SEC. 81. Section 4870.2 is added to the Welfare and Institutions Code, to read:*

4870.2. (a) *In addition to the program described in Section 4870, subject to an appropriation in the Budget Act for this purpose, the department shall establish, by December 31, 2022, a three-year pilot program that focuses on competitive integrated employment, postsecondary education, and career readiness for individuals with developmental disabilities exiting work activity programs or secondary education in order to explore inclusive options, including, but not limited to, paid internships, competitive integrated employment, and college-to-career programs.*

(b) *The pilot program established pursuant to subdivision (a) shall satisfy all of the following requirements:*

(1) *Be developed in consultation with stakeholders, including, but not limited to, individuals with disabilities, including those who will be served by the program, family members, provider association representatives, consumer advocates, regional centers,*

1 *the State Council on Developmental Disabilities, the State*  
2 *Department of Education, and the Department of Rehabilitation.*  
3 *The consultation described in this paragraph shall commence no*  
4 *later than August 31, 2022.*

5 *(2) Provide person-centered and time-limited services, aligning*  
6 *with each individual's needs and a person-centered approach,*  
7 *focused on preparing individuals for career pathways.*

8 *(3) Consider evidence-based or promising practices for reducing*  
9 *or eliminating barriers to competitive integrated employment for*  
10 *people with intellectual and developmental disabilities in the*  
11 *development of innovated service options.*

12 *(4) Require service providers participating in the pilot program*  
13 *to report on defined outcome measures, as determined by the*  
14 *department.*

15 *(c) Notwithstanding the rulemaking provisions of the*  
16 *Administrative Procedure Act (Chapter 3.5 (commencing with*  
17 *Section 11340) of Part 1 of Division 3 of Title 2 of the Government*  
18 *Code), the department may implement, interpret, or make specific*  
19 *this section by means of written directives or similar instructions,*  
20 *without taking any regulatory action.*

21 *SEC. 82. Section 7505 of the Welfare and Institutions Code is*  
22 *amended to read:*

23 *7505. (a) Notwithstanding any other law, the State Department*  
24 *of Developmental Services shall not admit anyone to a*  
25 *developmental center unless the person has been determined*  
26 *eligible for services under Division 4.5 (commencing with Section*  
27 *4500) and the person is any of the following:*

28 *(1) An adult committed by a court to Porterville Developmental*  
29 *Center, secure treatment program, pursuant to Section 1370.1 of*  
30 *the Penal Code.*

31 *(2) Committed by a court to an acute crisis home operated by*  
32 *the department pursuant to Article 2 (commencing with Section*  
33 *6500) of Chapter 2 of Part 2 of Division 6 due to an acute crisis,*  
34 *pursuant to Section 4418.7.*

35 *(3) An adult committed by a court to Porterville Developmental*  
36 *Center, secure treatment program, pursuant to Article 2*  
37 *(commencing with Section 6500) of Chapter 2 of Part 2 of Division*  
38 *6 as a result of involvement with the criminal justice system, and*  
39 *the court has determined the person is mentally incompetent to*  
40 *stand trial.*

1 (4) A person committed by a court on or before June 30, ~~2022~~,  
2 2023, to Canyon Springs Community Facility pursuant to Article  
3 2 (commencing with Section 6500) of Chapter 2 of Part 2 of  
4 Division 6 who otherwise meets the criteria for admission described  
5 in Section 4418.7 due to an acute crisis, as defined in paragraph  
6 (1) of subdivision (d) of Section 4418.7.

7 (5) (A) A person committed by a court on or before June 30,  
8 ~~2022~~, 2023, to the Canyon Springs Community Facility pursuant  
9 to Article 2 (commencing with Section 6500) of Chapter 2 of Part  
10 2 of Division 6, who is currently admitted to either an acute  
11 psychiatric hospital or an acute crisis facility pursuant to Article  
12 2 (commencing with Section 6500) of Chapter 2 of Part 2 of  
13 Division 6 due to an acute crisis, as defined in paragraph (1) of  
14 subdivision (d) of Section 4418.7, but who requires continued  
15 treatment to achieve stabilization and successful community  
16 transition.

17 (B) Prior to admission pursuant to this paragraph, the regional  
18 center shall prepare an assessment for inclusion in the consumer's  
19 file detailing all considered community-based services and  
20 supports, including, but not limited to, rate adjustments as provided  
21 by law, supplemental services as set forth in subparagraph (F) of  
22 paragraph (9) of subdivision (a) of Section 4648, emergency and  
23 crisis intervention services as set forth in paragraph (10) of  
24 subdivision (a) of Section 4648, community crisis home services  
25 pursuant to Article 8 (commencing with Section 4698) of Chapter  
26 6 of Division 4.5, and an explanation of why those options could  
27 not meet the consumer's needs. Prior to admission, the Director  
28 of Developmental Services or the director's designee shall certify  
29 that there are no community-based options that can meet the  
30 consumer's needs.

31 (C) When a person is admitted pursuant to this paragraph, the  
32 regional center shall notify the clients' rights advocate, as described  
33 in Section 4433, of the admission. A comprehensive assessment  
34 shall be completed by the regional center in coordination with  
35 Canyon Springs Community Facility staff. The comprehensive  
36 assessment shall include the identification of the services and  
37 supports needed for stabilization and the timeline for identifying  
38 or developing the services and supports needed to transition the  
39 consumer back to a community setting. Immediately following  
40 the comprehensive assessment, and not later than 30 days following

1 admission, the regional center and staff at the Canyon Springs  
2 Community Facility shall jointly convene an individual program  
3 plan meeting to determine the services and supports needed for  
4 crisis stabilization and to develop a plan to transition the consumer  
5 into community living pursuant to Section 4418.3. The clients'  
6 rights advocate for the regional center shall be notified of the  
7 individual program plan meeting and may participate in the  
8 individual program plan meeting unless the consumer objects on  
9 their own behalf.

10 (D) The population of consumers admitted pursuant to this  
11 paragraph shall not exceed five. An admission pursuant to this  
12 paragraph shall not extend beyond June 30, ~~2022~~. 2023.

13 (E) For purposes of this paragraph, "acute psychiatric hospital"  
14 means a facility as defined in subdivision (b) of Section 1250 of  
15 the Health and Safety Code, including an institution for mental  
16 disease.

17 (6) (A) A person exercising the right of return described in  
18 Section 4508 on or before June 30, 2021.

19 (B) Prior to admission pursuant to this paragraph, the regional  
20 center shall prepare an assessment for inclusion in the consumer's  
21 file detailing all considered community-based services and  
22 supports, including, but not limited to, rate adjustments as provided  
23 by law, supplemental services as set forth in subparagraph (F) of  
24 paragraph (9) of subdivision (a) of Section 4648, emergency and  
25 crisis intervention services as set forth in paragraph (10) of  
26 subdivision (a) of Section 4648, community crisis home services  
27 pursuant to Article 8 (commencing with Section 4698) of Chapter  
28 6 of Division 4.5, and an explanation of why those options could  
29 not meet the consumer's needs. Prior to admission, the Director  
30 of Developmental Services or the director's designee shall certify  
31 that there are no community-based options that can meet the  
32 consumer's needs.

33 (C) When a person is admitted pursuant to this paragraph, the  
34 regional center shall notify the clients' rights advocate, as described  
35 in Section 4433, of the admission. A comprehensive assessment  
36 shall be completed by the regional center in coordination with  
37 developmental center staff. The comprehensive assessment shall  
38 include the identification of the services and supports needed for  
39 stabilization and the timeline for identifying or developing the  
40 services and supports needed to transition the consumer back to a

community setting. Immediately following the comprehensive assessment, and not later than 30 days following admission, the regional center and staff at the developmental center shall jointly convene an individual program plan meeting to determine the services and supports needed for crisis stabilization and to develop a plan to transition the consumer into community living pursuant to Section 4418.3. The clients' rights advocate for the regional center shall be notified of the individual program plan meeting and may participate in the individual program plan meeting unless the consumer objects on their own behalf.

(D) Notwithstanding Section 4508, the population of consumers admitted pursuant to this paragraph shall not exceed five. An admission pursuant to this paragraph shall not extend beyond June 30, ~~2022~~ 2023.

(7) Committed by a court to Porterville Developmental Center, pursuant to Article 2 (commencing with Section 6500) of Chapter 2 of Part 2 of Division 6 due to an acute crisis, as described in Section 4418.7. The population of consumers admitted pursuant to this paragraph shall not exceed 10. An admission pursuant to this paragraph shall not extend beyond ~~December 31, 2020~~ June 30, 2023, or upon the opening of the state-operated community acute crisis homes approved for development in the Budget Act of 2019.

*(b) A person admitted to the Canyon Springs Community Facility pursuant to paragraphs (4) and (5) of subdivision (a) shall be subject to enhanced monitoring that includes the following:*

*(1) Department clinical staff shall make monthly monitoring visits to observe the implementation of treatment plans.*

*(2) The department shall conduct monthly calls with regional centers to update transition planning and identify available placement options.*

*(3) The facility shall complete an initial transition plan within 60 days from admission.*

*(4) The facility shall conduct a transition review meeting 45 days prior to transitioning an individual from the facility.*

~~(b)~~

*(c) The State Department of Developmental Services shall not admit a person to a developmental center after July 1, 2012, as a result of a criminal conviction or when the person is competent to*

1 stand trial for the criminal offense and the admission is ordered in  
2 lieu of trial.

3 (e)

4 (d) Commencing with the first quarterly update to legislative  
5 staff after July 1, 2021, in the information provided pursuant to  
6 Section 4474.17, the State Department of Developmental Services  
7 shall provide a written update regarding efforts to reduce the  
8 reliance on Canyon Springs Community Facility for admissions  
9 due to an acute crisis, as defined in paragraph (1) of subdivision  
10 (d) of Section 4418.7 and the development of additional community  
11 resources, including person-centered efforts. The update shall  
12 include data and descriptors of people admitted to Canyon Springs  
13 in the previous year, including age and duration of stay to date,  
14 the status of transition planning meetings for those individuals,  
15 and their discharge status. *For persons admitted to Canyon Springs*  
16 *Community-Facility beginning July 1, 2022, the update shall*  
17 *include all alternative placement options examined for each person*  
18 *prior to admission.*

19 SEC. 83. Section 11464 of the Welfare and Institutions Code  
20 is amended to read:

21 11464. (a) The Legislature finds and declares all of the  
22 following:

23 (1) Children who are consumers of regional center services and  
24 also receiving Aid to Families with Dependent Children-Foster  
25 Care (AFDC-FC), Approved Relative Caregiver Funding Program  
26 (ARC) payments, Kinship Guardianship Assistance Payment  
27 (Kin-GAP) benefits, or Adoption Assistance Program (AAP)  
28 benefits have special needs that can require care and supervision  
29 beyond that typically provided to children in foster care. Clarifying  
30 the roles of the child welfare and developmental disabilities  
31 services systems will ensure that these children receive the services  
32 and ~~support~~ *supports* they need in a timely manner and encourage  
33 the successful adoption of these children, where appropriate.

34 (2) To address the extraordinary care and supervision needs of  
35 children who are consumers of regional center services and also  
36 receiving AFDC-FC, ARC, Kin-GAP, or AAP benefits, it is  
37 necessary to provide a rate for care and supervision of these  
38 children that is higher than the average rate they would otherwise  
39 receive through the foster care system and higher than the rate



1 other children with medical and other significant special needs  
2 receive.

3 (3) Despite the enhanced rate provided in this section, some  
4 children who are consumers of regional center services and also  
5 receiving AFDC-FC, ARC, Kin-GAP, or AAP benefits may have  
6 care and supervision needs that are so extraordinary that they  
7 cannot be addressed within that rate. In these limited circumstances,  
8 a process should be established whereby a supplement may be  
9 provided in addition to the enhanced rate.

10 (4) Children who receive rates pursuant to this section shall be  
11 afforded the same due process rights as all children who apply for  
12 AFDC-FC, ARC, Kin-GAP, and AAP benefits pursuant to Section  
13 10950.

14 (b) Rates for children who are both regional center consumers  
15 and recipients of AFDC-FC, ARC, or Kin-GAP benefits under  
16 this chapter shall be determined as provided in Section 4684 and  
17 this section.

18 (c) (1) The rate to be paid for 24-hour out-of-home care and  
19 supervision provided to children who are both consumers of  
20 regional center services pursuant to subdivision (d) of Section  
21 4512 and recipients of AFDC-FC, ARC, or Kin-GAP benefits  
22 under this chapter shall be two thousand six dollars (\$2,006) per  
23 child per month.

24 (2) (A) The county, at its sole discretion, may authorize a  
25 supplement of up to one thousand dollars (\$1,000) to the rate for  
26 children three years of age and older, if it determines the child has  
27 the need for extraordinary care and supervision that cannot be met  
28 within the rate established pursuant to paragraph (1). The State  
29 Department of Social Services and the State Department of  
30 Developmental Services, in consultation with stakeholders  
31 representing county child welfare agencies, regional centers, and  
32 children who are both consumers of regional center services and  
33 recipients of AFDC-FC, ARC, Kin-GAP, or AAP benefits, shall  
34 develop objective criteria to be used by counties in determining  
35 eligibility for and the level of the supplements provided pursuant  
36 to this paragraph. The State Department of Social Services shall  
37 issue an all-county letter to implement these criteria within 120  
38 days of the effective date of this act. The criteria shall take into  
39 account the extent to which the child has any of the following:

40 (i) Severe impairment in physical coordination and mobility.

- 1 (ii) Severe deficits in self-help skills.
- 2 (iii) Severely disruptive or self-injurious behavior.
- 3 (iv) A severe medical condition.

4 (B) The caregiver may request the supplement described in  
5 subparagraph (A) directly or upon referral by a regional center.  
6 Referral by a regional center shall not create the presumption of  
7 eligibility for the supplement.

8 (C) When assessing a request for the supplement, the county  
9 shall seek information from the consumer's regional center to assist  
10 in the assessment. The county shall issue a determination of  
11 eligibility for the supplement within 90 days of receipt of the  
12 request. The county shall report to the State Department of Social  
13 Services the number and level of rate supplements issued pursuant  
14 to this paragraph.

15 (d) (1) The rate to be paid for 24-hour out-of-home care and  
16 supervision provided for children who are receiving services under  
17 the California Early Start Intervention Services Act, are not yet  
18 determined by their regional center to have a developmental  
19 disability, as defined in subdivisions (a) and (l) of Section 4512,  
20 and are receiving AFDC-FC, ARC, or Kin-GAP benefits under  
21 this chapter, shall be eight hundred ninety-eight dollars (\$898) per  
22 child per month. If a regional center subsequently determines that  
23 the child is an individual with a developmental disability as that  
24 term is defined by subdivisions (a) and (l) of Section 4512, the  
25 rate to be paid from the date of that determination shall be  
26 consistent with subdivision (c).

27 (2) The rates to be paid for 24-hour out-of-home nonmedical  
28 care and supervision for children who are recipients of AFDC-FC,  
29 ARC, or Kin-GAP and consumers of regional center services from  
30 a community care facility licensed pursuant to Chapter 3  
31 (commencing with Section 1500) of Division 2 of the Health and  
32 Safety Code and vendored by a regional center pursuant to Section  
33 56004 of Title 17 of the California Code of Regulations, shall be  
34 the facility rate established by the State Department of  
35 Developmental Services.

36 (e) Rates paid pursuant to this section are subject to all of the  
37 following requirements:

38 (1) The rates paid to the foster care provider under subdivision  
39 (c) and paragraph (1) of subdivision (d) are only for the care and  
40 supervision of the child, as defined in subdivision (b) of Section

1 11460 and shall not be applicable to facilities described in  
2 paragraph (2) of subdivision (d).

3 (2) Regional centers shall separately purchase or secure the  
4 services that are contained in the child's Individualized Family  
5 Service Plan (IFSP) or Individual Program Plan (IPP), pursuant  
6 to Section 4684.

7 (3) Beginning with the 2011–12 fiscal year, the rates in  
8 paragraph (1) of subdivision (c) and paragraph (1) of subdivision  
9 (d) shall be adjusted annually by the percentage change in the  
10 California Necessities Index, as set forth in paragraph (2) of  
11 subdivision (g) of Section 11461. No county shall be reimbursed  
12 for any increase in this rate that exceeds the adjustments made in  
13 accordance with this methodology.

14 (f) (1) The AFDC-FC rates paid on behalf of a regional center  
15 consumer who is a recipient of AFDC-FC prior to July 1, 2007,  
16 shall remain in effect unless a change in the placement warrants  
17 redetermination of the rate or if the child is no longer AFDC-FC  
18 eligible. However, AFDC-FC rates paid on behalf of these children  
19 that are lower than the rates specified in paragraph (1) of  
20 subdivision (c) or paragraph (1) of subdivision (d), respectively,  
21 shall be increased as appropriate to the amount set forth in  
22 paragraph (1) of subdivision (c) or paragraph (1) of subdivision  
23 (d), effective July 1, 2007, and shall remain in effect unless a  
24 change in the placement or a change in AFDC-FC eligibility of  
25 the child warrants redetermination of the rate.

26 (2) For a child who is receiving AFDC-FC benefits or for whom  
27 a foster care eligibility determination is pending, and for whom  
28 an eligibility determination for regional center services pursuant  
29 to subdivision (a) of Section 4512 is pending or approved, and for  
30 whom, prior to July 1, 2007, a State Department of Developmental  
31 Services facility rate determination request has been made and is  
32 pending, the rate shall be the State Department of Developmental  
33 Services facility rate determined by the regional center through an  
34 individualized assessment, or the rate established in paragraph (1)  
35 of subdivision (c), whichever is greater. The rate shall remain in  
36 effect until the child is no longer eligible to receive AFDC-FC, or,  
37 if still AFDC-FC eligible, is found ineligible for regional center  
38 services as an individual described in subdivision (a) of Section  
39 4512. Other than the circumstances described in this section,

1 regional centers shall not establish facility rates for AFDC-FC  
2 purposes.

3 (g) (1) The department shall adopt emergency regulations in  
4 accordance with Chapter 3.5 (commencing with Section 11340)  
5 of Part 1 of Division 3 of Title 2 of the Government Code, and for  
6 the purposes of that chapter, including Section 11349.6 of the  
7 Government Code, on or before July 1, 2009.

8 (2) The adoption of regulations pursuant to paragraph (1) shall  
9 be deemed an emergency and necessary for the immediate  
10 preservation of the public peace, health, safety, and general welfare.  
11 The regulations authorized by this subdivision shall remain in  
12 effect for no more than 180 days, by which time final regulations  
13 shall be adopted.

14 (h) (1) The State Department of Social Services and the State  
15 Department of Developmental Services shall provide to the Joint  
16 Legislative Budget Committee, ~~on a semiannual~~ *an annual* basis,  
17 the data set forth in paragraph (2) to facilitate legislative review  
18 of the outcomes of the changes made by the addition of ~~this section~~  
19 ~~and the amendments made to Sections 4684 and 16121 by the act~~  
20 ~~adding this section.~~ *this section*. The first report shall be submitted  
21 on October 1, 2007, ~~with subsequent reports submitted on March~~  
22 ~~1 and October 1 of each year.~~ *2007*.

23 (2) The following data shall be provided pursuant to this  
24 subdivision:

25 (A) The number of, and services provided to, children who are  
26 consumers of regional center services and who are receiving AAP,  
27 ARC, Kin-GAP, or AFDC-FC, broken out by children receiving  
28 the amount pursuant to paragraph (1) of subdivision (c), the amount  
29 pursuant to paragraph (1) of subdivision (d), and the level of  
30 supplement pursuant to subparagraph (A) of paragraph (2) of  
31 subdivision (c).

32 (B) A comparison of services provided to these children and  
33 similar children who are regional center consumers who do not  
34 receive AFDC-FC, ARC, Kin-GAP, or AAP benefits, broken out  
35 by children receiving the amount pursuant to paragraph (1) of  
36 subdivision (c), the amount pursuant to paragraph (1) of subdivision  
37 (d), and the level of supplement pursuant to subparagraph (A) of  
38 paragraph (2) of subdivision (c).

39 (C) The number and nature of appeals filed regarding services  
40 provided or secured by regional centers for these children,

1 consistent with Section 4714, broken out by children receiving the  
2 amount pursuant to paragraph (1) of subdivision (c), the amount  
3 pursuant to paragraph (1) of subdivision (d), and the level of  
4 supplement pursuant to subparagraph (A) of paragraph (2) of  
5 subdivision (c).

6 (D) The number of these children who are adopted before and  
7 after the act adding this section, broken out by children receiving  
8 the amount pursuant to paragraph (1) of subdivision (c), the amount  
9 pursuant to paragraph (1) of subdivision (d), and the level of  
10 supplement pursuant to subparagraph (A) of paragraph (2) of  
11 subdivision (c).

12 (E) The number and levels of supplements requested pursuant  
13 to subparagraph (B) of paragraph (2) of subdivision (c).

14 (F) The number of appeals requested of the decision by counties  
15 to deny the request for the supplement pursuant to subparagraph  
16 (A) of paragraph (2) of subdivision (c).

17 (G) The total number and levels of supplements authorized  
18 pursuant to subparagraph (A) of paragraph (2) of subdivision (c)  
19 and the number of these supplements authorized upon appeal.

20 *(i) The State Department of Social Services and the State*  
21 *Department of Developmental Services shall provide public*  
22 *transparency regarding implementation of this section through*  
23 *the annual posting of the data in paragraph (2) of subdivision (h)*  
24 *on their respective internet websites. Each department shall also*  
25 *maintain a link to the other department's data on their respective*  
26 *internet websites.*

27 *(i)*

28 *(j) (1) Commencing January 1, 2012, and prior to July 1, 2017,*  
29 *the rate described in subdivision (c) shall be paid for an eligible*  
30 *nonminor dependent who is under 21 years of age, is receiving*  
31 *AFDC-FC or Kin-GAP benefits pursuant to Section 11403, and*  
32 *is a consumer of regional center services.*

33 *(2) Commencing July 1, 2017, the rate described in subdivision*  
34 *(c) shall be paid for an eligible nonminor dependent who is under*  
35 *21 years of age, is receiving AFDC-FC, ARC, or Kin-GAP benefits*  
36 *pursuant to Section 11403, and is a consumer of regional center*  
37 *services.*

38 *SEC. 84. Notwithstanding the rulemaking provisions of the*  
39 *Administrative Procedure Act (Chapter 3.5 (commencing with*  
40 *Section 11340) of Part 1 of Division 3 of Title 2 of the Government*

1 Code), the State Department of Developmental Services may  
2 implement, interpret, or make specific the changes made by this  
3 act to Sections 4519.2, 4646, 4646.4, 4705, 4706, 4707, 4710,  
4 4710.5, 4710.6, 4710.7, 4710.8, 4710.9, 4711, 4711.5, 4711.7,  
5 4712, 4712.2, 4712.5, 4712.7, 4713, 4714, 4715, 4725, 4726, 4728,  
6 4729, and 4731 of, and Article 1 (commencing with Section 4700)  
7 of Chapter 7 of Division 4.5 of, the Welfare and Institutions Code,  
8 and may implement, interpret, or make specific Sections 4705.5,  
9 4708, 4713.5, and 4717 of the Welfare and Institutions Code, by  
10 means of written directives or similar instructions, until regulations  
11 are adopted.

12 SEC. 85. No reimbursement is required by this act pursuant  
13 to Section 6 of Article XIII B of the California Constitution for  
14 certain costs that may be incurred by a local agency or school  
15 district because, in that regard, this act creates a new crime or  
16 infraction, eliminates a crime or infraction, or changes the penalty  
17 for a crime or infraction, within the meaning of Section 17556 of  
18 the Government Code, or changes the definition of a crime within  
19 the meaning of Section 6 of Article XIII B of the California  
20 Constitution.

21 However, if the Commission on State Mandates determines that  
22 this act contains other costs mandated by the state, reimbursement  
23 to local agencies and school districts for those costs shall be made  
24 pursuant to Part 7 (commencing with Section 17500) of Division  
25 4 of Title 2 of the Government Code.

26 SEC. 86. Pursuant to paragraph (1) of subdivision (c) of  
27 Section 14670.31 of the Government Code, the sum of three million  
28 five hundred thousand dollars (\$3,500,000) is hereby appropriated  
29 from the General Fund to the State Department of Developmental  
30 Services for allocation to the City of Costa Mesa to facilitate the  
31 disposition of the Fairview Developmental Center property, by  
32 amending the general plan of the city and any appropriate planning  
33 documents and zoning ordinances, completing any environmental  
34 review, and addressing the economic feasibility of future  
35 development for the purposes intended by the Legislature.

36 SEC. 87. This act is a bill providing for appropriations related  
37 to the Budget Bill within the meaning of subdivision (e) of Section  
38 12 of Article IV of the California Constitution, has been identified  
39 as related to the budget in the Budget Bill, and shall take effect  
40 immediately.

1     ~~SECTION 1. It is the intent of the Legislature to enact statutory~~  
2     ~~changes, relating to the Budget Act of 2021.~~

O